

# IBM Model 1 and Machine Translation

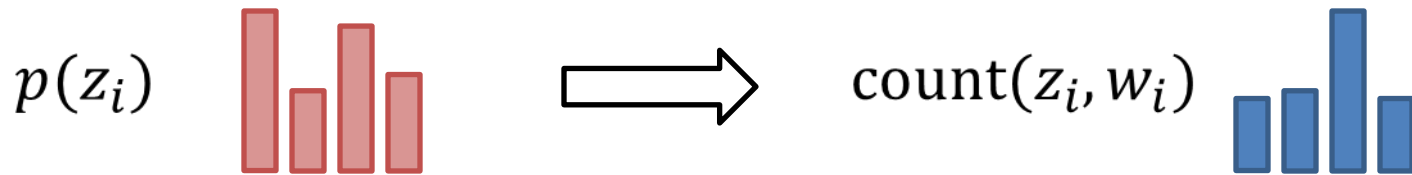
# Recap

# Expectation Maximization (EM)

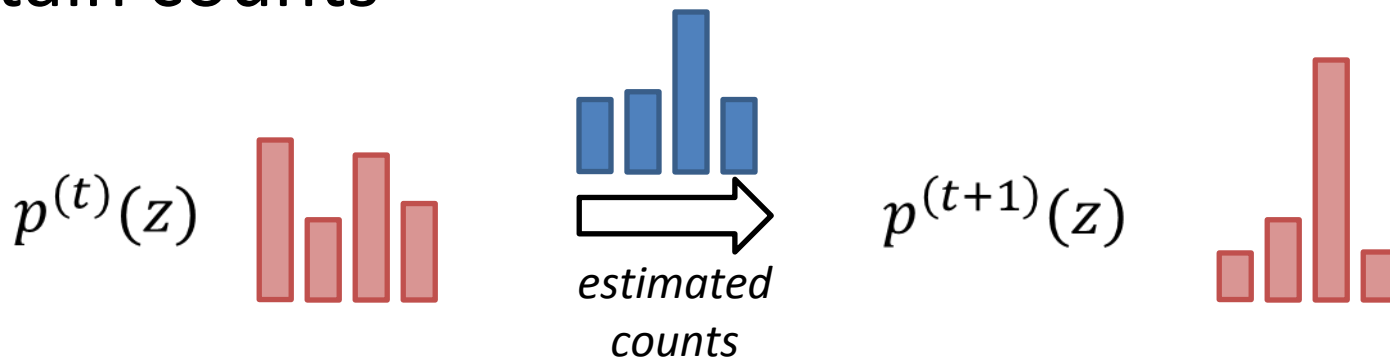
0. Assume *some* value for your parameters

Two step, iterative algorithm

1. E-step: count under uncertainty, assuming these parameters



2. M-step: maximize log-likelihood, assuming these uncertain counts



# Three Coins/Unigram With Class Example

Imagine three coins

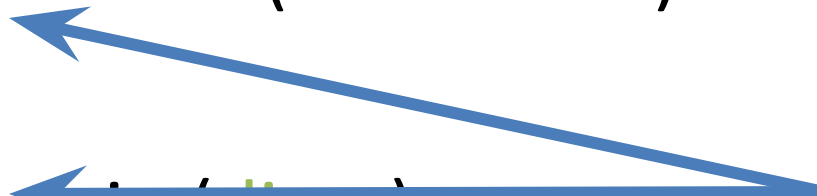


Flip 1<sup>st</sup> coin (**penny**)



unobserved:  
*vowel or consonant?*  
*part of speech?*

If heads: flip 2<sup>nd</sup> coin (**dollar coin**)

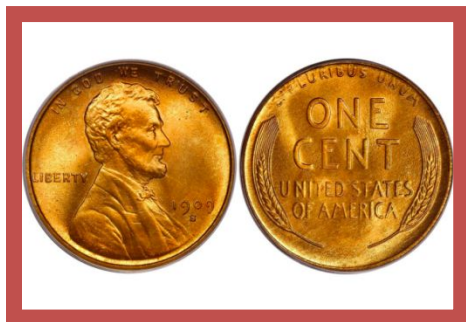


If tails: flip 3<sup>rd</sup> coin (**dime**)

observed:  
*a, b, e, etc.*  
We **run** the code, vs.  
The **run** failed

# Three Coins/Unigram With Class Example

Imagine three coins



Flip 1<sup>st</sup> coin (**penny**)

$$p(\text{heads}) = \lambda$$

$$p(\text{tails}) = 1 - \lambda$$

If heads: flip 2<sup>nd</sup> coin (**dollar coin**)

$$p(\text{heads}) = \gamma$$

$$p(\text{tails}) = 1 - \gamma$$

If tails: flip 3<sup>rd</sup> coin (**dime**)

$$p(\text{heads}) = \psi$$

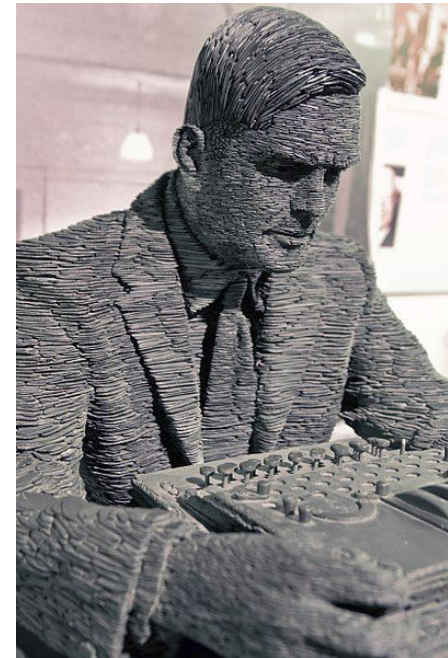
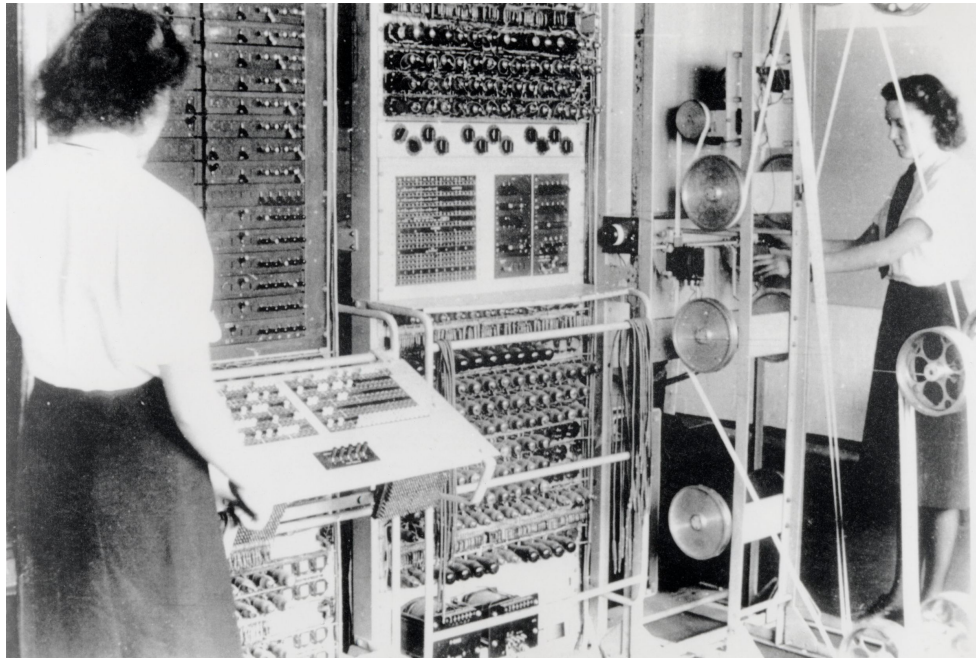
$$p(\text{tails}) = 1 - \psi$$

# Machine Translation



[https://upload.wikimedia.org/wikipedia/commons/c/ca/Rosetta\\_Stone\\_BW.jpeg](https://upload.wikimedia.org/wikipedia/commons/c/ca/Rosetta_Stone_BW.jpeg)

# Historical Context: World War II



From the National Archives (United Kingdom), via Wikimedia Commons, <https://commons.wikimedia.org/wiki/File%3AColossus.jpg>

By Antoine Taveneaux (Own work) [CC BY-SA 3.0 (<http://creativecommons.org/licenses/by-sa/3.0>)], via Wikimedia Commons  
[https://commons.wikimedia.org/wiki/File%3ATuring-statue-Bletchley\\_14.jpg](https://commons.wikimedia.org/wiki/File%3ATuring-statue-Bletchley_14.jpg)

# Warren Weaver's Note

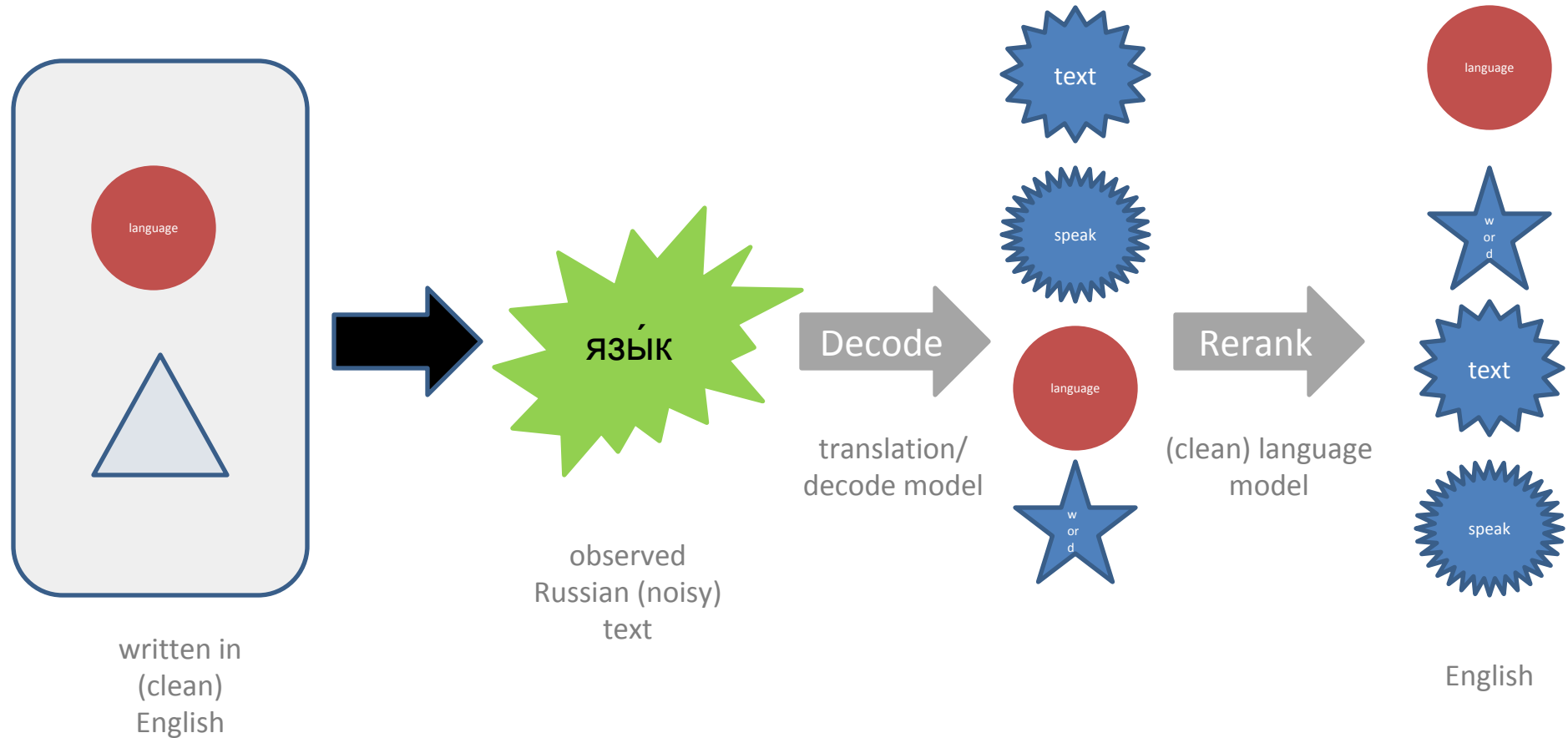
When I look at an article in Russian, I say “This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.”

(Warren Weaver, 1947)

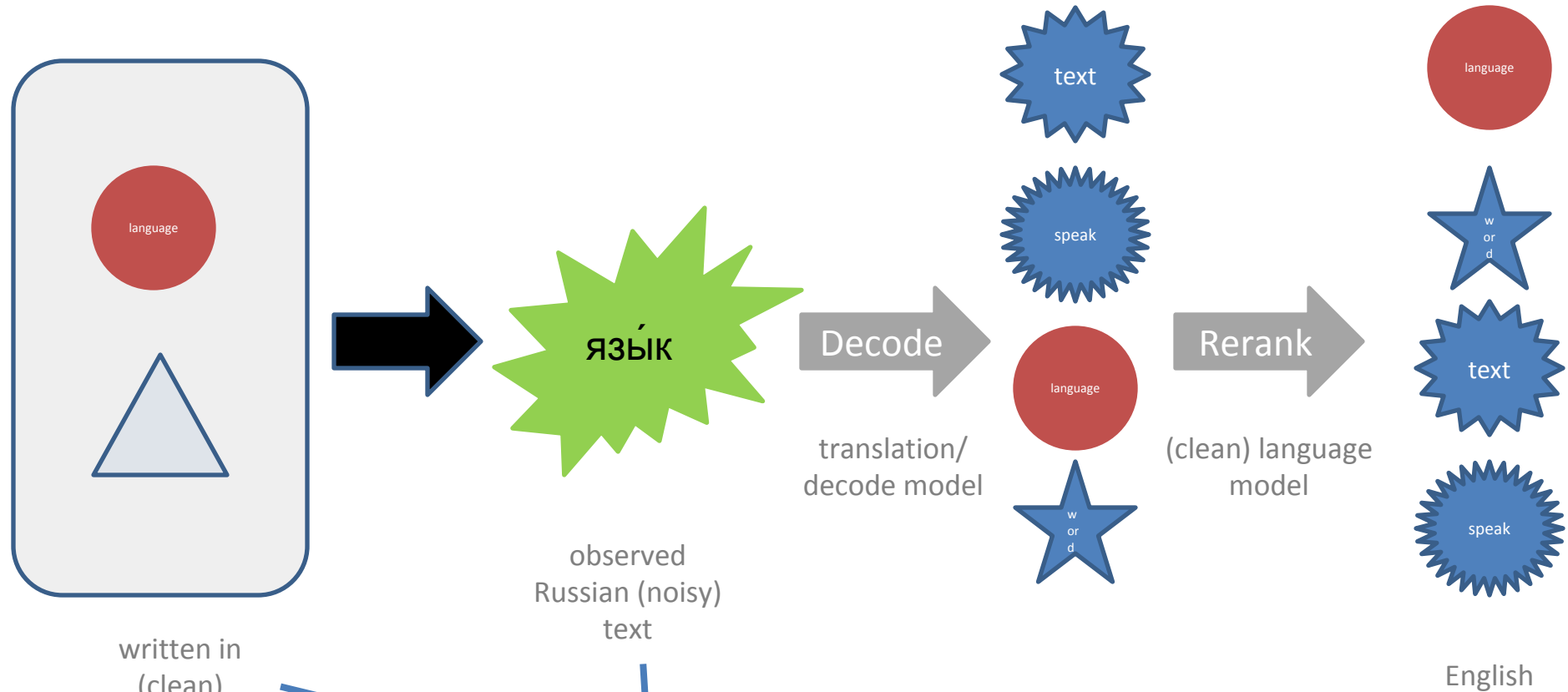
<http://www.mt-archive.info/Weaver-1949.pdf>



# Noisy Channel Model



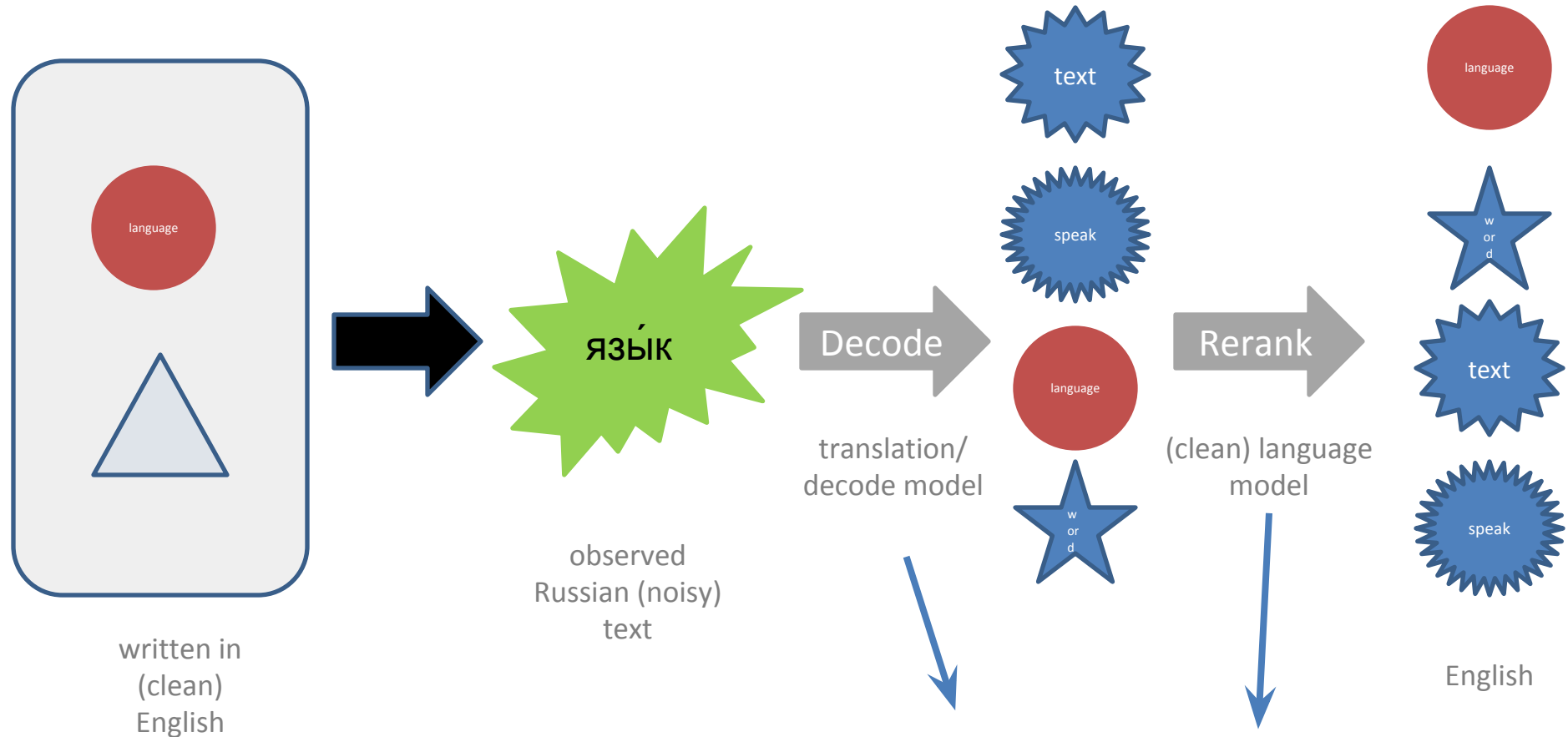
# Noisy Channel Model



written in  
(clean)  
English

$$p(X | Y) \propto p(Y | X) * p(X)$$

# Noisy Channel Model

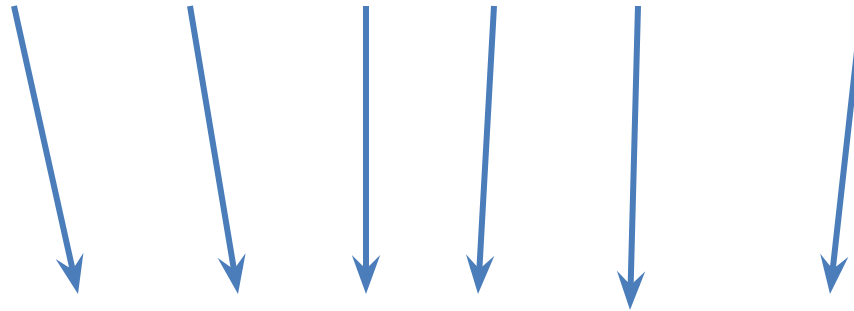


$$p(X | Y) \propto p(Y | X) * p(X)$$

# Translation

Translate French (observed) into English:

Le chat est sur la chaise.



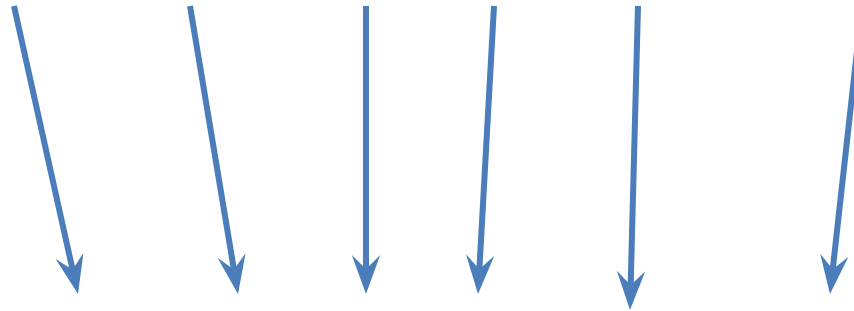
The cat is on the chair.

$$p(\textit{English}|\textit{French})$$

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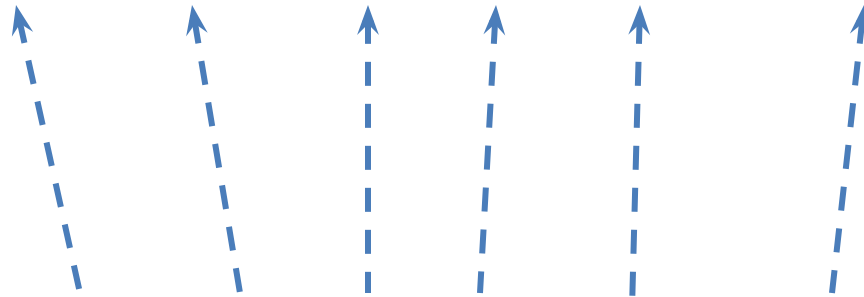
The cat is on the chair.

$$p(\textit{English}|\textit{French}) \propto p(\textit{French}|\textit{English}) * p(\textit{English})$$

# Translation

Translate French (observed) into English:

Le chat est sur la chaise.



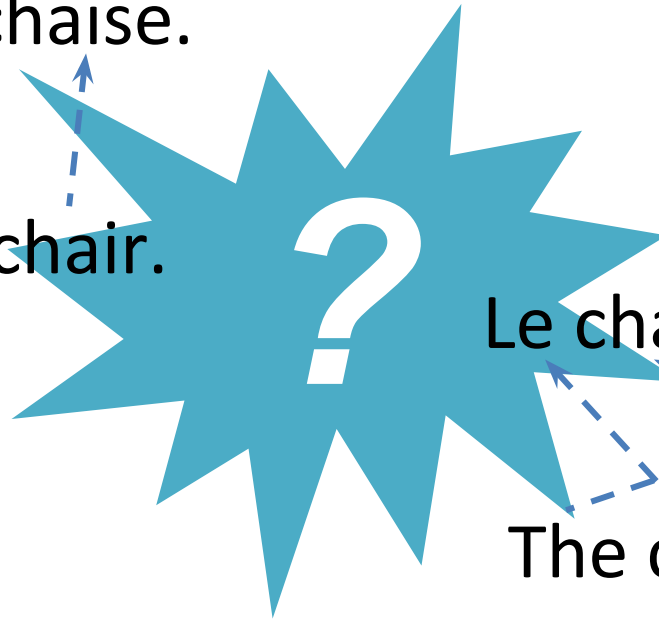
The cat is on the chair.

$$p(\textit{English}|\textit{French}) \propto p(\textit{French}|\textit{English}) * p(\textit{English})$$

# Alignment

Le chat est sur la chaise.

The cat is on the chair.



Le chat est sur la chaise.

The cat is on the chair.

$$p(\textit{English}|\textit{French}) \propto p(\textit{French}|\textit{English}) * p(\textit{English})$$

# Parallel Texts

Whereas recognition of the inherent dignity and of the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world,

Whereas disregard and contempt for human rights have resulted in barbarous acts which have outraged the conscience of mankind, and the advent of a world in which human beings shall enjoy freedom of speech and belief and freedom from fear and want has been proclaimed as the highest aspiration of the common people,

Whereas it is essential, if man is not to be compelled to have recourse, as a last resort, to rebellion against tyranny and oppression, that human rights should be protected by the rule of law,

Whereas it is essential to promote the development of friendly relations between nations,

...

<http://www.un.org/en/universal-declaration-human-rights/>

Yolki, pampa ni tlatepanitalotl, ni tlasenkauajkayotl iuan ni kual nemilistli ipan ni tlalpan, yaya ni moneki moixmatis uan monemilis, ijkinoy nochi kual tiitstosej ika touampoyouaj.

Pampa tlaj amo tikixmatij tlatepanialistli uan tlen kual nemilistli ipan ni tlalpan, yeka onkatok kualantli, onkatok tlateuilistli, onkatok majmajtli uan sekinok tlamantli teixpanolistli; yeka moneki ma kual timouikakaj ika nochi touampoyouaj, ma amo onkaj majmajyotl uan teixpanolistli; moneki ma onkaj yejyektlalistli, ma titlajtlajtokaj uan ma tijneltokakaj tlen tojuantij tijnekij tijneltokasej uan amo tlen ma topanti, kenke, pampa tijnekij ma onkaj tlatepanialistli.

Pampa ni tlatepanitalotl moneki ma tiyejyekokaj, ma tijchiuakaj uan ma tijmanauikaj; ma nojkia kiixmatikaj tekiuajtinij, uejueyij tekiuajtinij, ijkinoy amo onkas nopeka se akajya touampoj san tlen ueli kinekis techchiuilis, technauatis, kinekis technauatis ma tijchiuakaj se tlamantli tlen amo kual; yeka ni tlatepanitalotl tlauei moneki ipan tonemilis ni tlalpan.

Pampa nojkia tlauei moneki ma kual timouikakaj, ma tielikaj keuak tiiknimej, nochi tlen tlakamej uan siuamej tlen tiitstokej ni tlalpan.

...

<http://www.ohchr.org/EN/UDHR/Pages/Language.aspx?LangID=nhn>



# Preprocessing

Whereas recognition of the inherent dignity and of the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world,

Whereas disregard and contempt for human rights have resulted in barbarous acts which have outraged the conscience of mankind, and the advent of a world in which human beings shall enjoy freedom of speech and belief and freedom from fear and want has been proclaimed as the highest aspiration of the common people,

Whereas it is essential, if man is not to be compelled to have recourse, as a last resort, to rebellion against tyranny and oppression, that human rights should be protected by the rule of law,

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<http://www.un.org>

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...

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- Sentence align
- Clean corpus
- Tokenize
- Handle case
- Word segmentation (morphological, BPE, etc.)
- Language-specific preprocessing (example: pre-reordering)
- ...

# Alignments

- If we had word-aligned text, we could easily estimate  $P(f|e)$ .
  - But we don't usually have word alignments, and they are expensive to produce by hand...
- If we had  $P(f|e)$  we could produce alignments automatically.



# IBM Model 1 (1993)

- Lexical Translation Model
- Word Alignment Model
- The simplest of the original IBM models
- For all IBM models, see the original paper (Brown et al, 1993):

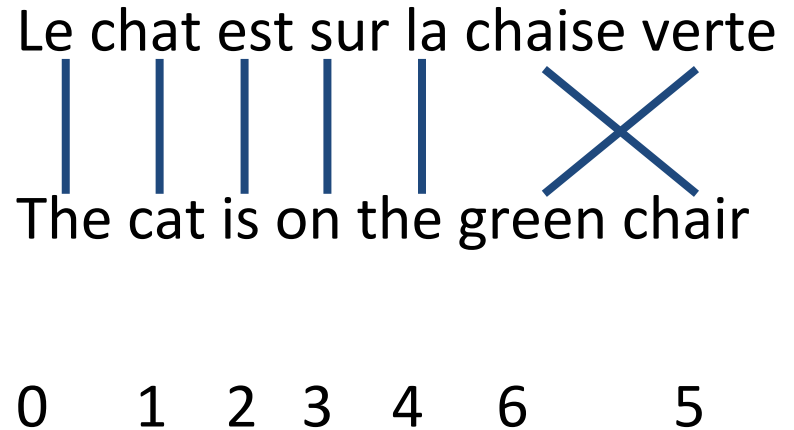
<http://www.aclweb.org/anthology/J93-2003>

# Simplified IBM 1

- We'll work through an example with a simplified version of IBM Model 1
- Figures and examples are drawn from [A Statistical MT Tutorial Workbook](#), Section 27, (Knight, 1999)
- **Simplifying assumption:** each source word must translate to exactly one target word and vice versa

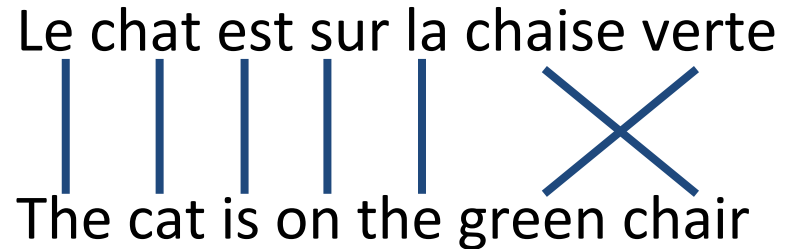
# IBM Model 1 (1993)

- $f$ : vector of French words  
*(visualization of alignment)*
- $e$ : vector of English words
- $a$ : vector of alignment indices



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- $f$ : vector of French words  
*(visualization of alignment)*
- $e$ : vector of English words



- $a$ : vector of alignment indices

0 1 2 3 4 6 5

$$P(a, f|e) = \prod_{j=1}^m t(f_j|e_{a_j}) = t(f_1|e_{a_1}) \cdots t(f_m|e_{a_m})$$

- $t(f_j|e_i)$ : translation probability of the word  $f_j$  given the word  $e_i$

$$P(f|e) = \sum_a P(a, f|e)$$

# Model and Parameters

**Want:**  $P(f|e)$

But don't know how to train this directly...

**Solution:** Use  $P(a, f|e)$ , where  $a$  is an alignment

Remember  $P(f|e) = \sum_a P(a, f|e)$



# Model and Parameters: Intuition

Translation prob.:  $t(f_j | e_i)$

**Example:**

$$t(\textit{chaise} | \textit{chair}) > t(\textit{chaise} | \textit{the})$$

**Interpretation:**

How probable is it that we see  $f_j$  given  $e_i$

# Model and Parameters: Intuition

Alignment/translation prob.:  $P(a, f | e)$

**Example** (visual representation of  $a$ ):

$$P(\begin{array}{c} \text{le chat} \\ \times \end{array} | \text{“the cat”}) < P(\begin{array}{c} \text{le chat} \\ | \quad | \end{array} | \text{“the cat”})$$

**Interpretation:**

How probable are the alignment  $a$  and the translation  $f$  (given  $e$ )

# Model and Parameters: Intuition

**Alignment prob.:**  $P(a|e, f)$

**Example:**

$P(\text{X} \mid \text{"le chat", "the cat"}) < P(\text{|} \mid \text{|} \mid \text{"le chat", "the cat"})$

**Interpretation:**

How probable is alignment  $a$  (given  $e$  and  $f$ )

# Model and Parameters

How to compute:

$$P(a, f|e) = \prod_{j=1}^m t(f_j|e_{a_j}) = t(f_1|e_{a_1}) \cdot \dots \cdot t(f_m|e_{a_m})$$

$$P(f|e) = \sum_a P(a, f|e)$$

$$P(a|e, f) = \frac{P(a, f|e)}{\sum_{a'} P(a', f|e)}$$

# Parameters

In the coin example, we had 3 parameters from which we could compute all others:

$$p(\text{heads}) = \lambda$$

$$p(\text{heads}) = \gamma$$

$$p(\text{heads}) = \psi$$

# Parameters

For IBM model 1, we can compute all parameters given translation parameters:

$$t(f_j | e_i)$$

How many of these are there?

# Parameters

For IBM model 1, we can compute all parameters given translation parameters:

$$t(f_j | e_i)$$

How many of these are there?

$$|\textit{French vocabulary}| \times |\textit{English vocabulary}|$$

# Data

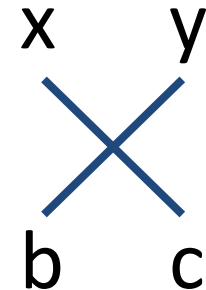
Two sentence pairs:

English	French
b c	x y
b	y



# All Possible Alignments

(French: x, y)



(English: b, c)

*Remember:  
simplifying  
assumption that  
each word must  
be aligned  
exactly once*



# Expectation Maximization (EM)

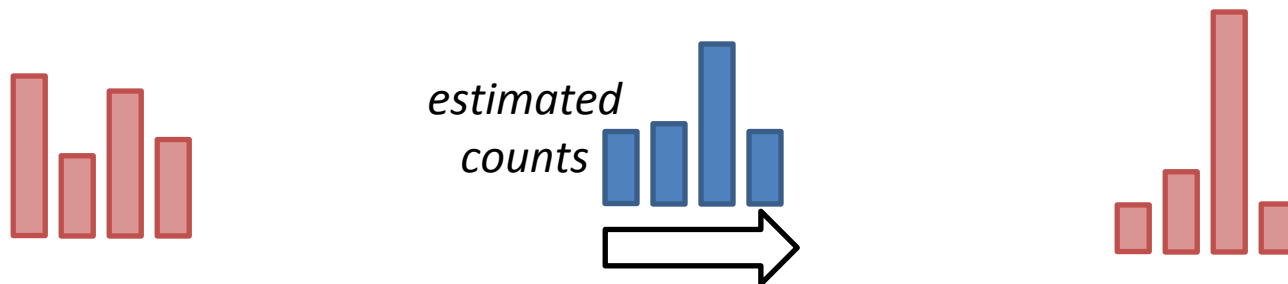
0. Assume *some* value for  $t(f_j | e_i)$  and compute other parameter values

## Two step, iterative algorithm

1. E-step: count alignments and translations under uncertainty, assuming these parameters



2. M-step: maximize log-likelihood (update parameters), using uncertain counts



# EM Step 0: Initialize

Set parameter values uniformly.

All translations have an equal chance of happening.

x y  
| |  
b c

x y  
X  
b c

y  
|  
b

$$t(x|b) = 1/2$$

$$t(y|b) = 1/2$$

$$t(x|c) = 1/2$$

$$t(y|c) = 1/2$$

# E-step: Compute $P(a, f | e)$

For all alignments,  
compute  $P(a, f | e)$

Remember:

$$P(a, f | e) = \prod_{j=1}^m t(f_j | e_{a_j})$$

$$t(x | b) = 1/2$$

$$t(y | b) = 1/2$$

$$t(x | c) = 1/2$$

$$t(y | c) = 1/2$$

$$P\left(\begin{array}{c} x \ y \\ | \ | \end{array} \mid b \ c\right) =$$

$$P\left(\begin{array}{c} x \ y \\ \times \ \times \end{array} \mid b \ c\right) =$$

$$P\left(\begin{array}{c} y \\ | \end{array} \mid b\right) = 1/2$$

?

# E-step: Compute $P(a, f | e)$

For all alignments,  
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Remember:

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$$t(x | b) = 1/2$$

$$t(y | b) = 1/2$$

$$t(x | c) = 1/2$$

$$t(y | c) = 1/2$$

$$P\left(\begin{array}{c} x \ y \\ | \ | \end{array} \mid b \ c\right) = 1/2 * 1/2 = 1/4$$

$$P\left(\begin{array}{c} x \ y \\ \times \end{array} \mid b \ c\right) = 1/2 * 1/2 = 1/4$$

$$P\left(\begin{array}{c} y \\ | \end{array} \mid b\right) = 1/2$$

# E-step: Compute $P(a | e, f)$

$$P(a | e, f) = \frac{P(a, f | e)}{\sum_{a'} P(a', f | e)}$$

$$P(\text{ | | } b c, x y) = (1/4)/(2/4) = 1/2$$

$$P(\overset{x}{\text{ | }} \overset{y}{\text{ | }} \text{ | } b c) = 1/4$$

$$P(\text{ X | } b c, x y) = (1/4)/(2/4) = 1/2$$

$$P(\overset{x}{\text{ X }} \overset{y}{\text{ | }} \text{ | } b c) = 1/4$$

$$P(\text{ | | } b) = (1/2)/(1/2) = 1$$

$$P(\overset{y}{\text{ | }} \text{ | } b) = 1/2$$

# Collect Counts: Example

$$P(\text{ } | \text{ } | b, c, x, y) = \frac{1}{2}$$

Count instances where  $b$  and  $y$  are aligned:

$$P(\text{X} | b, c, x, y) = \frac{1}{2}$$

$$\text{ct}(y|b) = \frac{1}{2} + 1$$

$$P(\text{ } | \text{ } | b, y) = 1$$

# Collect Counts

$$P(\text{ | } | b, c, x, y) = \frac{1}{2}$$

$$tc(x|b) = 1/2$$

$$tc(y|b) = 1/2 + 1 = 3/2$$

$$P(\text{ X } | b, c, x, y) = \frac{1}{2}$$

$$tc(x|c) = 1/2$$

$$tc(y|c) = 1/2$$

$$P(\text{ | } | b, y) = 1$$



# M-step: Normalize

$$tc(x|b) = 1/2$$

$$tc(y|b) = 1/2 + 1 = 3/2$$

$$tc(x|c) = 1/2$$

$$tc(y|c) = 1/2$$

$$t(x|b) = \frac{1/2}{4/2} = 1/4$$

$$t(y|b) = \frac{3/2}{4/2} = 3/4$$

$$t(x|c) = \frac{1/2}{1} = 1/2$$

$$t(y|c) = \frac{1/2}{1} = 1/2$$

# E-step (again!): $P(a, f | e)$

Compute  $P(a, f | e)$  using new parameters:

$$P(a, f | e) = \prod_{j=1}^m t(f_j | e_{a_j})$$

$$t(x|b) = \frac{1/2}{4/2} = 1/4$$

$$t(y|b) = \frac{3/2}{4/2} = 3/4$$

$$t(x|c) = \frac{1/2}{1} = 1/2$$

$$t(y|c) = \frac{1/2}{1} = 1/2$$

$$P\left(\begin{array}{c} x \\ | \\ y \\ | \end{array} \mid b \ c\right) =$$

$$P\left(\begin{array}{c} x \\ \times \\ y \\ | \end{array} \mid b \ c\right) =$$

$$P\left(\begin{array}{c} y \\ | \end{array} \mid b\right) = 3/4$$



# E-step (again!): $P(a, f | e)$

Compute  $P(a, f | e)$  using new parameters:

$$P(a, f | e) = \prod_{j=1}^m t(f_j | e_{a_j})$$

$$t(x|b) = \frac{1/2}{4/2} = 1/4$$

$$t(y|b) = \frac{3/2}{4/2} = 3/4$$

$$t(x|c) = \frac{1/2}{1} = 1/2$$

$$t(y|c) = \frac{1/2}{1} = 1/2$$

$$P\left(\begin{array}{c} x \\ | \\ y \\ | \end{array} \mid b \ c\right) = 1/4 * 1/2 = 1/8$$

$$P\left(\begin{array}{c} x \\ \times \\ y \end{array} \mid b \ c\right) = 1/2 * 3/4 = 3/8$$

$$P\left(\begin{array}{c} y \\ | \end{array} \mid b\right) = 3/4$$

# E-step (again): Compute $P(a|e,f)$

$$P(a|e, f) = \frac{P(a, f|e)}{\sum_{a'} P(a', f|e)}$$

$$P(\overset{x}{|} \overset{y}{|} | b c) = 1/8$$

$$P(\overset{x}{\times} \overset{y}{|} | b c) = 3/8$$

$$P(\overset{y}{|} | b) = 3/4$$

$$P(| | b c, x y) = (1/8)/(4/8) = 1/4$$

$$P(\times | b c, x y) = (3/8)/(4/8) = 3/4$$

$$P(| | b) = (3/4)/(3/4) = 1$$

# Collect Counts (again)

$$P(\text{ } | \text{ } | b, c, x, y) = 1/4$$

$$P(\text{ } | b, c, x, y) = 3/4$$

$$P(\text{ } | \text{ } | b, y) = 1$$

$$tc(x|b) = 1/4$$

$$tc(y|b) = 3/4 + 1 = 7/4$$

$$tc(x|c) = 3/4$$

$$tc(y|c) = 1/4$$

# M-step (again): Normalize Counts

Collected counts:

$$tc(x|b) = 1/4$$

$$tc(y|b) = 3/4 + 1 = 7/4$$

$$tc(x|c) = 3/4$$

$$tc(y|c) = 1/4$$

Normalized counts:

$$t(x|b) = 1/8$$

$$t(y|b) = 7/8$$

$$t(x|c) = 3/4$$

$$t(y|c) = 1/4$$

What is happening to  $t(f_j|e_i)$ ?

$t(x b) = 1/2$	$t(x b) = \frac{1/2}{4/2} = 1/4$	$t(x b) = 1/8$
$t(y b) = 1/2$	$t(y b) = \frac{3/2}{4/2} = 3/4$	$t(y b) = 7/8$
$t(x c) = 1/2$	$t(x c) = \frac{1/2}{1} = 1/2$	$t(x c) = 3/4$
$t(y c) = 1/2$	$t(y c) = \frac{1/2}{1} = 1/2$	$t(y c) = 1/4$

# What does that mean?

x    y  
|    |  
b    c

x    y  
  /    \  
b    c

Which alignments are more likely to be correct?

$$t(x|b) = 1/8$$

$$t(y|b) = 7/8$$

$$t(x|c) = 3/4$$

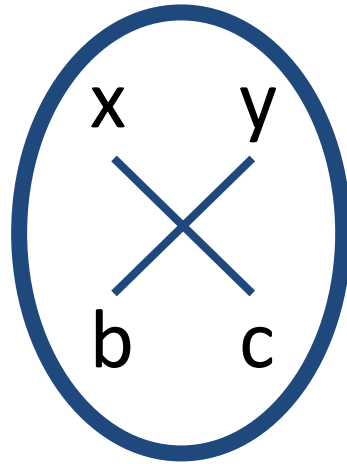
$$t(y|c) = 1/4$$

y  
|  
b



# What does that mean?

x      y  
|      |  
b      c



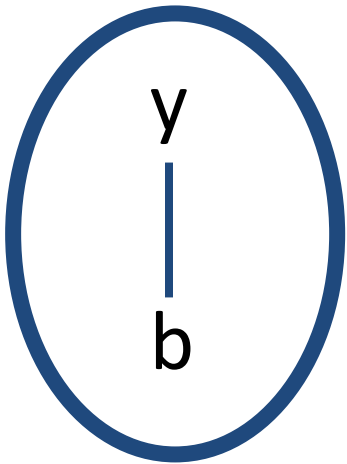
Which alignments are more likely to be correct?

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$$t(y|b) = 7/8$$

$$t(x|c) = 3/4$$

$$t(y|c) = 1/4$$



What would happen to  $t(f_j | e_i) \dots$

if we repeated these steps many times?

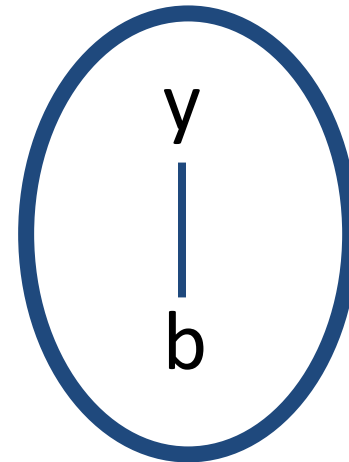
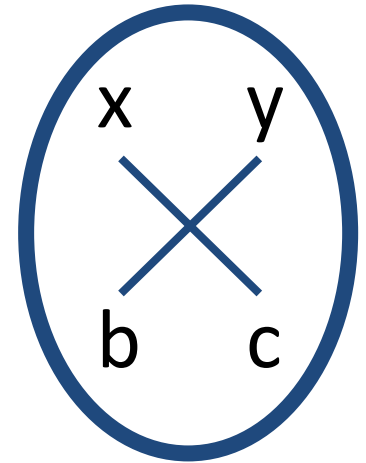
# Many Iterations of EM:

$$t(x|b) = 0.0001$$

$$t(y|b) = 0.9999$$

$$t(x|c) = 0.9999$$

$$t(y|c) = 0.0001$$



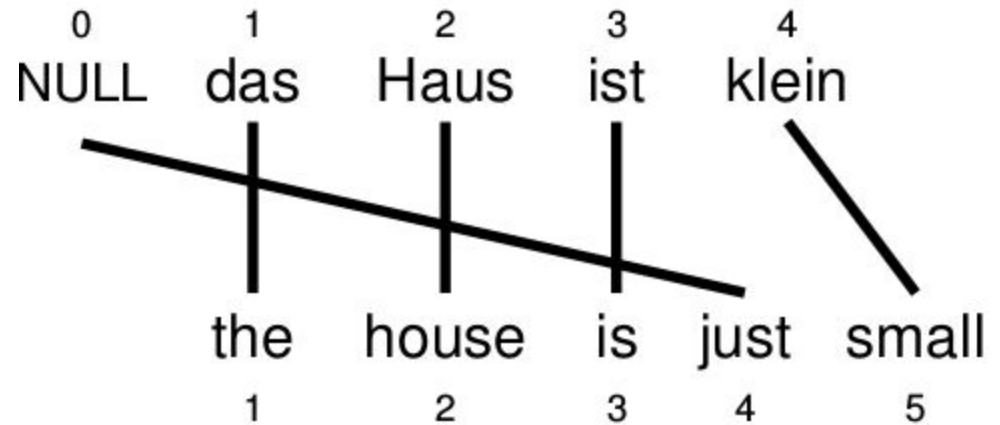
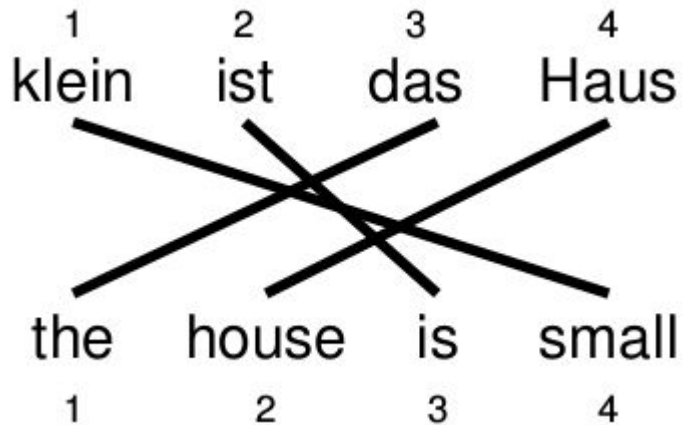
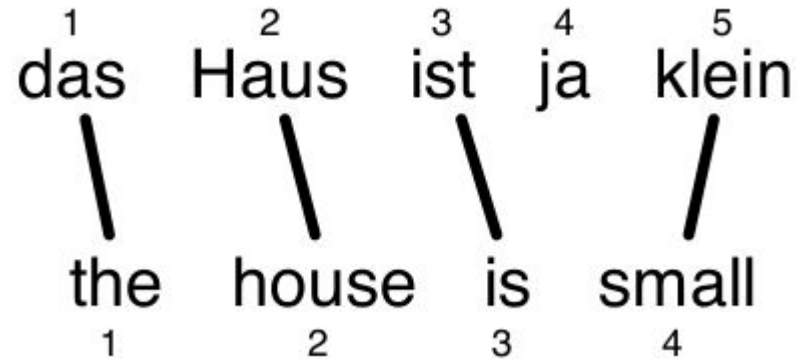
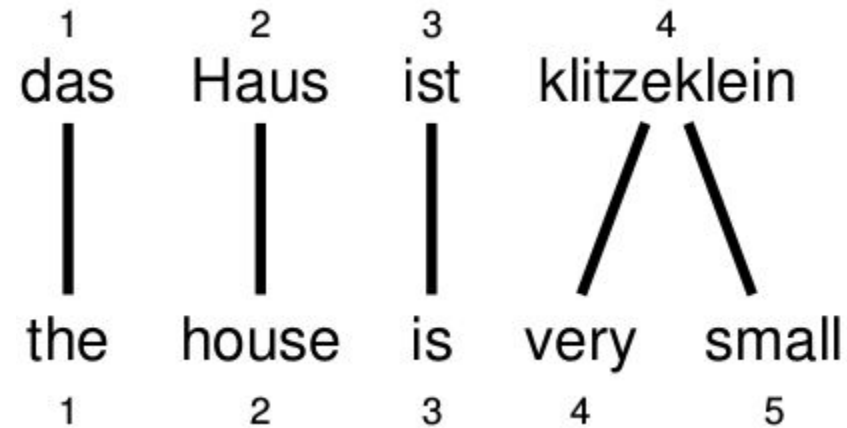
# Review of IBM Model 1 & EM

- Iteratively learned an alignment/translation model from sentence-aligned text (without “gold standard” alignments)
- Model can now be used for alignment and/or word-level translation
- We explored a simplified version of this; IBM Model 1 allows more types of alignments

# Uses for Alignments

- Component of machine translation systems
- Produce a translation lexicon automatically
- Cross-lingual projection/extraction of information
- Supervision for training other models (for example, neural MT systems)

# Alignment Examples (English-> German)



# Why is Model 1 insufficient?

- Why won't this produce great translations?

# Why is Model 1 insufficient?

- Why won't this produce great translations?
  - Indifferent to order (language model may help?)
  - Translates one word at a time
  - Translates each word in isolation
  - ...

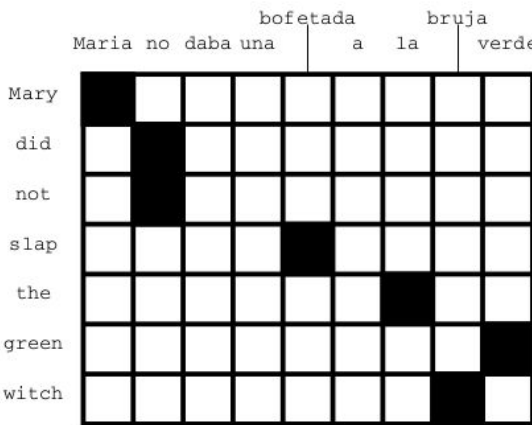


# Phrases

english to spanish



spanish to english



intersection



# Phrases

Maria no daba una bofetada a la bruja verde

Mary	█							
did		↘				█		
not		█						
slap			↘	←	█			
the						█		
green								█
witch							█	

# Decoding

What have we done so far?

- We can score alignments.
- We can score translations.

How do we *generate* translations?

- Decoding!

# Decoding

Why can't we just score all possible translations?

What do we do instead?

# Decoding

- Many translation options for a word/phrase.
- Decoding is NP-complete (can verify solutions in polynomial time; can't locate solutions efficiently).
- We use heuristics to limit the search space.
- See: [statmt.org/book/slides/06-decoding.pdf](http://statmt.org/book/slides/06-decoding.pdf)

The role of the decoder is to:

- Choose “good” translation options
- Arrange them in a “good” order

# How can this go wrong?

- Search doesn't find the best translation
  - Need to fix the search
- The best translation found is not good
  - Need to fix the model

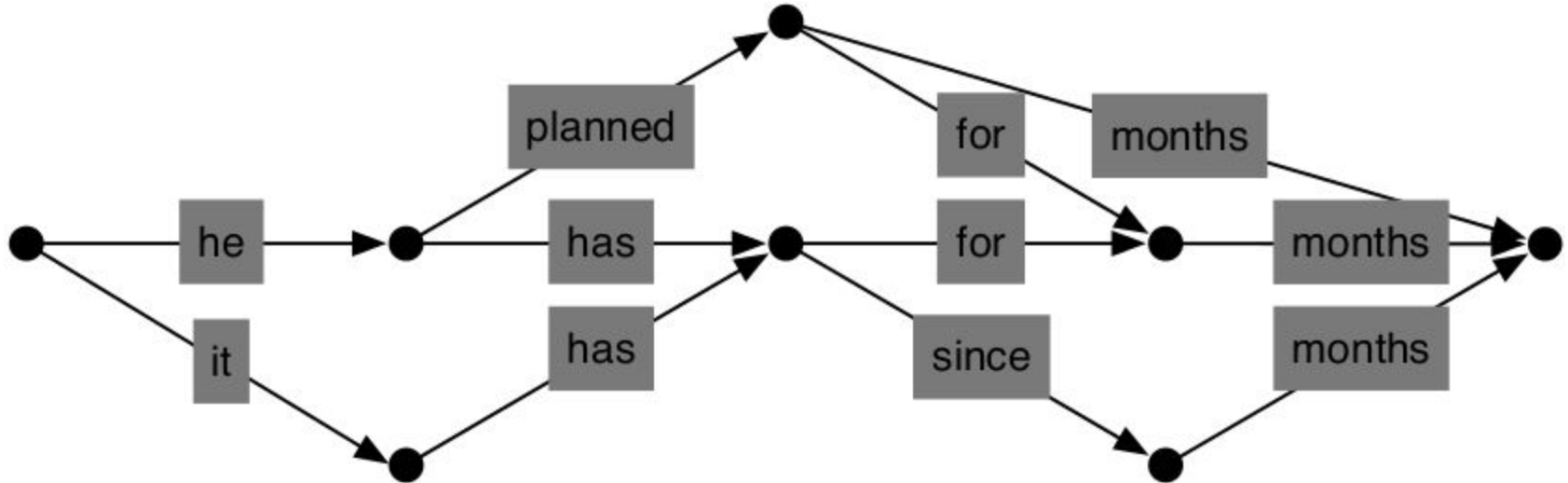
# Decoding Options

er	geht	ja	nicht	nach	hause
he	is	yes	not	after	house
it	are	is	do not	to	home
, it	goes	, of course	does not	according to	chamber
, he	go	,	is not	in	at home
it is		not		home	
he will be		is not		under house	
it goes		does not		return home	
he goes		do not		do not	
is			to		
are			following		
is after all			not after		
does			not to		
not					
is not					
are not					
is not a					

In this example from Koehn (2017) slides, there might be >2700 phrase pairs for this (short!) sentence. (<http://mt-class.org/jhu/slides/lecture-decoding.pdf>)

# Search Graph

Er hat seit Monaten geplant.



Koehn CAT slides (2016)



# Evaluating Machine Translation

Human evaluations:

- Test set (source, human reference translations, MT output)
- Humans judge the quality of MT output (in one of several possible ways)

**Judge Sentence**

You have already judged 14 of 3064 sentences, taking 86.4 seconds per sentence.

**Source:** les deux pays constituent plutôt un laboratoire nécessaire au fonctionnement interne de l'ue .

**Reference:** rather , the two countries form a laboratory needed for the internal working of the eu .

Translation	Adequacy	Fluency
both countries are rather a necessary laboratory the internal operation of the eu .	☐☐☐☐☐ 1 2 3 4 5	☐☐☐☐☐ 1 2 3 4 5
both countries are a necessary laboratory at internal functioning of the eu .	☐☐☐☐☐ 1 2 3 4 5	☐☐☐☐☐ 1 2 3 4 5
the two countries are rather a laboratory necessary for the internal workings of the eu .	☐☐☐☐☐ 1 2 3 4 5	☐☐☐☐☐ 1 2 3 4 5
the two countries are rather a laboratory for the internal workings of the eu .	☐☐☐☐☐ 1 2 3 4 5	☐☐☐☐☐ 1 2 3 4 5
the two countries are rather a necessary laboratory internal workings of the eu .	☐☐☐☐☐ 1 2 3 4 5	☐☐☐☐☐ 1 2 3 4 5

**Annotator:** Philipp Koehn **Task:** WMT06 French-English Annotate

Instructions

5= All Meaning	5= Flawless English
4= Most Meaning	4= Good English
3= Much Meaning	3= Non-native English
2= Little Meaning	2= Disfluent English
1= None	1= Incomprehensible

Koehn (2017), <http://mt-class.org/jhu/slides/lecture-evaluation.pdf>

# Evaluating Machine Translation

## Automatic evaluations:

- Test set (source, human reference translations, MT output)
- Aim to mimic (correlate with) human evaluations

## Many metrics:

- TER (Translation Error/Edit Rate)
- HTER (Human-Targeted Translation Edit Rate)
- BLEU (Bilingual Evaluation Understudy)
- METEOR (Metric for Evaluation of Translation with Explicit Ordering)

# Computer Aided Translation

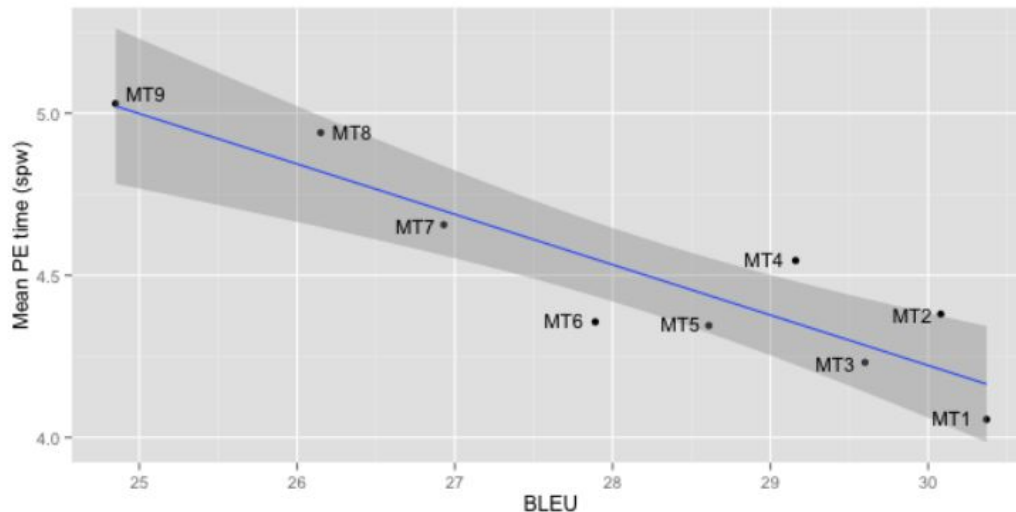
## Interactive Translation Prediction

In addition to this, there are more than 18 tailing heaps  
{a4}located right in the city{/a4}, which has caused serious  
health impacts":

Zusätzlich zu diesen gibt es

mehr als 18

## Post-Editing



# Questions?