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# CMSC 341

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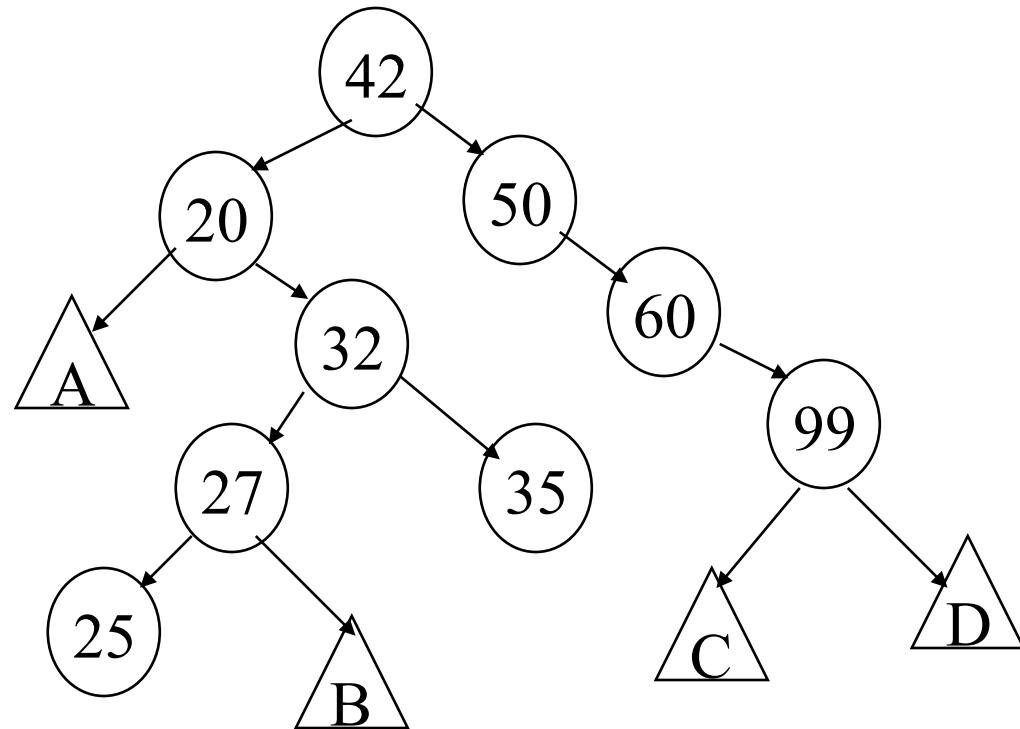
## Binary Search Trees

Textbook section: 4.3

# Binary Search Tree

- A ***Binary Search Tree*** is a Binary Tree in which, at every node  $v$ , the values stored in the left subtree of  $v$  are less than the value at  $v$  and the values stored in the right subtree are greater.
- The elements in the BST must be comparable.
- Duplicates are not allowed in our discussion.
- Note that each subtree of a BST is also a BST.

# A BST of integers



Describe the values which might appear in the subtrees labeled A, B, C, and D

# SearchTree ADT

## ■ The SearchTree ADT

- A *search tree* is a binary search tree which stores homogeneous elements with no duplicates.
- It is dynamic.
- The elements are ordered in the following ways
  - inorder -- as dictated by compareTo( )
  - preorder, postorder, levelorder -- as dictated by the structure of the tree

# BST Implementation

```
public class BinarySearchTree<AnyType extends Comparable<? super AnyType>>
{
    private static class BinaryNode<AnyType>
    {
        // Constructors
        BinaryNode( AnyType theElement )
        { this( theElement, null, null ); }

        BinaryNode( AnyType theElement,
                    BinaryNode<AnyType> lt, BinaryNode<AnyType> rt )
        { element = theElement; left = lt; right = rt; }

        AnyType element;                      // The data in the node
        BinaryNode<AnyType> left;             // Left child reference
        BinaryNode<AnyType> right;            // Right child reference
    }
}
```

# BST Implementation (2)

```
private BinaryNode<AnyType> root;  
  
public BinarySearchTree( )  
{  
    root = null;  
}  
  
public void makeEmpty( )  
{  
    root = null;  
}  
  
public boolean isEmpty( )  
{  
    return root == null;  
}
```

# BST “contains” Method

```
public boolean contains( AnyType x )
{
    return contains( x, root );
}

private boolean contains( AnyType x, BinaryNode<AnyType> t )
{
    if( t == null )
        return false;

    int compareResult = x.compareTo( t.element );

    if( compareResult < 0 )
        return contains( x, t.left );
    else if( compareResult > 0 )
        return contains( x, t.right );
    else
        return true;      // Match
}
```

# Performance of “contains”

- Searching in randomly built BST is  $O(\lg n)$  on average
  - but generally, a BST is not randomly built
- Asymptotic performance is  $O(\text{height})$  in all cases

# Implementation of printTree

```
public void printTree()  
{  
    printTree(root);  
}  
  
private void printTree( BinaryNode<AnyType> t )  
{  
    if( t != null )  
    {  
        printTree( t.left );  
        System.out.println( t.element );  
        printTree( t.right );  
    }  
}
```

# BST Implementation (3)

```
public AnyType findMin( )
{
    if( isEmpty( ) ) throw new UnderflowException( );
        return findMin( root ).element;
}
public AnyType findMax( )
{
    if( isEmpty( ) ) throw new UnderflowException( );
        return findMax( root ).element;
}
public void insert( AnyType x )
{
    root = insert( x, root );
}
public void remove( AnyType x )
{
    root = remove( x, root );
}
```

# The insert Operation

```
private BinaryNode<AnyType>
insert( AnyType x,  BinaryNode<AnyType> t )
{
    if( t == null )
        return new BinaryNode<AnyType>( x, null, null );

    int compareResult = x.compareTo( t.element );

    if( compareResult < 0 )
        t.left = insert( x, t.left );
    else if( compareResult > 0 )
        t.right = insert( x, t.right );
    else
        ; // Duplicate; do nothing
    return t;
}
```

# The remove Operation

```
private BinaryNode<AnyType>
remove( AnyType x,  BinaryNode<AnyType> t )
{
    if( t == null )
        return t;    // Item not found; do nothing
    int compareResult = x.compareTo( t.element );
    if( compareResult < 0 )
        t.left = remove( x, t.left );
    else if( compareResult > 0 )
        t.right = remove( x, t.right );
    else if( t.left != null && t.right != null ){ // 2 children
        t.element = findMin( t.right ).element;
        t.right = remove( t.element, t.right );
    }
    else // one child or leaf
        t = ( t.left != null ) ? t.left : t.right;
    return t;
}
```

# Implementations of find Max and Min

```
private BinaryNode<AnyType> findMin( BinaryNode<AnyType> t )
{
    if( t == null )
        return null;
    else if( t.left == null )
        return t;
    return findMin( t.left );
}

private BinaryNode<AnyType> findMax( BinaryNode<AnyType> t )
{
    if( t != null )
        while( t.right != null )
            t = t.right;

    return t;
}
```

# Performance of BST methods

- What is the asymptotic performance of each of the BST methods?

	<b>Best Case</b>	<b>Worst Case</b>	<b>Average Case</b>
<b>contains</b>			
<b>insert</b>			
<b>remove</b>			
<b>findMin/ Max</b>			
<b>makeEmpty</b>			

# Building a BST

- Given an array of elements, what is the performance (best/worst/average) of building a BST from scratch?

# Predecessor in BST

- Predecessor of a node  $v$  in a BST is the node that holds the data value that immediately precedes the data at  $v$  in order.
- Finding predecessor
  - $v$  has a left subtree
    - then predecessor must be the largest value in the left subtree (the rightmost node in the left subtree)
  - $v$  does not have a left subtree
    - predecessor is the first node on path back to root that does not have  $v$  in its left subtree

# Successor in BST

- Successor of a node  $v$  in a BST is the node that holds the data value that immediately follows the data at  $v$  in order.
- Finding Successor
  - $v$  has right subtree
    - successor is smallest value in right subtree  
(the leftmost node in the right subtree)
  - $v$  does not have right subtree
    - successor is first node on path back to root that does not have  $v$  in its right subtree

# Tree Iterators

- As we know there are several ways to traverse through a BST. For the user to do so, we must supply different kind of iterators. The iterator type defines how the elements are traversed.

- InOrderIterator<T>      **inOrderIterator () ;**
- PreOrderIterator<T>      **preOrderIterator () ;**
- PostOrderIterator<T>      **postOrderIterator () ;**
- LevelOrderIterator<T>      **levelOrderIterator () ;**

# Using Tree Iterator

```
public static void main (String args[] )  
{  
    BinarySearchTree<Integer> tree = new  
        BinarySearchTree<Integer>();  
  
    // store some ints into the tree  
  
    InOrderIterator<Integer> itr =  
        tree.inOrderIterator( );  
    while ( itr.hasNext( ) )  
    {  
        Object x = itr.next();  
        // do something with x  
    }  
}
```

# The InOrderIterator is a Disguised List Iterator

```
// An InOrderIterator that uses a list to store
// the complete in-order traversal
import java.util.*;
class InOrderIterator<T>
{
    Iterator<T> _listIter;
    List<T> _theList;

    T next()
    {      /*TBD*/ }

    boolean hasNext()
    {      /*TBD*/ }

    InOrderIterator(BinarySearchTree.BinaryNode<T> root)
    {      /*TBD*/ }
}
```

# List-Based InOrderIterator Methods

```
//constructor
InOrderIterator( BinarySearchTree.BinaryNode<T> root )
{
    fillListInorder( _theList, root );
    _listIter = _theList.iterator( );
}

// constructor helper function
void fillListInorder (List<T> list,
                     BinarySearchTree.BinaryNode<T> node)
{
    if (node == null) return;
    fillListInorder( list, node.left );
    list.add( node.element );
    fillListInorder( list, node.right );
}
```

# List-based InOrderIterator Methods

## Call List Iterator Methods

```
T next()  
{  
    return _listIter.next();  
}  
  
boolean hasNext()  
{  
    return _listIter.hasNext();  
}
```

# InOrderIterator Class with a Stack

```
// An InOrderIterator that uses a stack to mimic recursive traversal
class InOrderIterator
{
    Stack<BinarySearchTree.BinaryNode<T>> _theStack;

    //constructor
    InOrderIterator(BinarySearchTree.BinaryNode<T> root) {
        _theStack = new Stack();
        fillStack( root );
    }

    // constructor helper function
    void fillStack(BinarySearchTree.BinaryNode<T> node) {
        while(node != null) {
            _theStack.push(node);
            node = node.left;
        }
    }
}
```

# Stack-Based InOrderIterator

```
T next() {  
    BinarySearchTree.BinaryNode<T> topNode = null;  
    try {  
        topNode = _theStack.pop();  
    } catch (EmptyStackException e)  
    {  
        return null;  
    }  
    if (topNode.right != null){  
        fillStack(topNode.right);  
    }  
    return topNode.element;  
}  
  
boolean hasNext() {  
    return !_theStack.empty();  
}  
}
```

# More Recursive BST Methods

- static <T> bool **isBST** ( `BinaryNode<T> t` )  
returns true if the Binary tree is a BST
- static <T> T **findMin**( `BinaryNode<T> t` )  
returns the minimum value in a BST
- static <T>  
`int countFullNodes ( BinaryNode<T> t )`  
returns the number of full nodes (those with 2 children) in  
a binary tree
- static <T>  
`int countLeaves ( BinaryNode<T> t )`  
counts the number of leaves in a Binary Tree