

Lecture 5

Training Neural Networks

Slides adapted from Ranjay Krishna (UW)



Recap

Convolutional Neural Networks

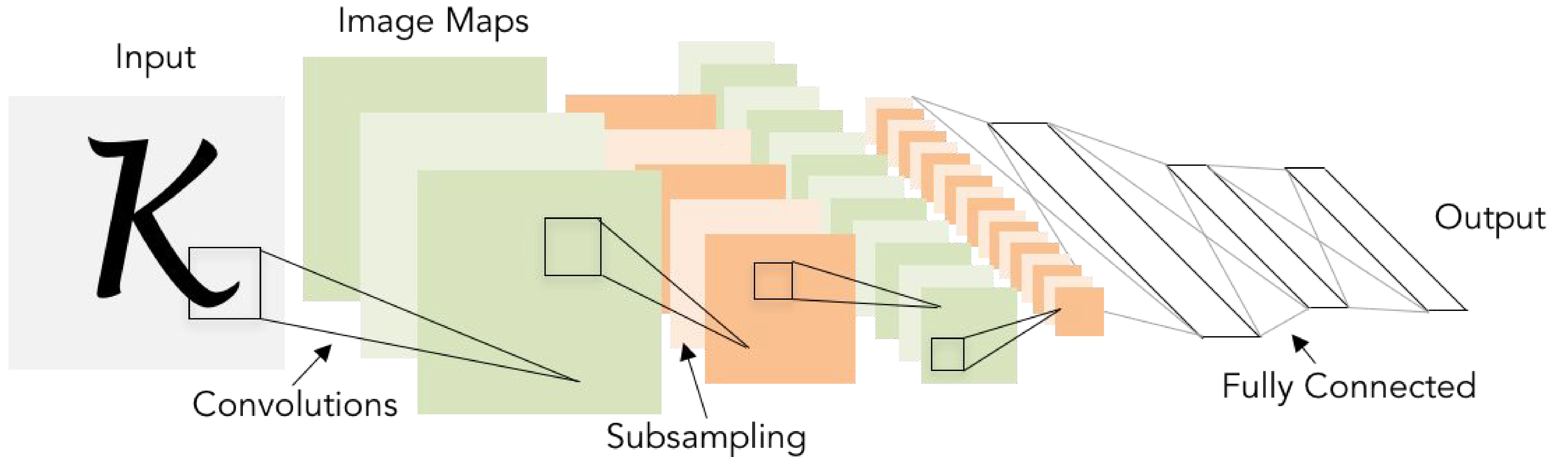


Illustration by LeCun et al. 1998 from CS231n 2017 Lecture 1

Recap

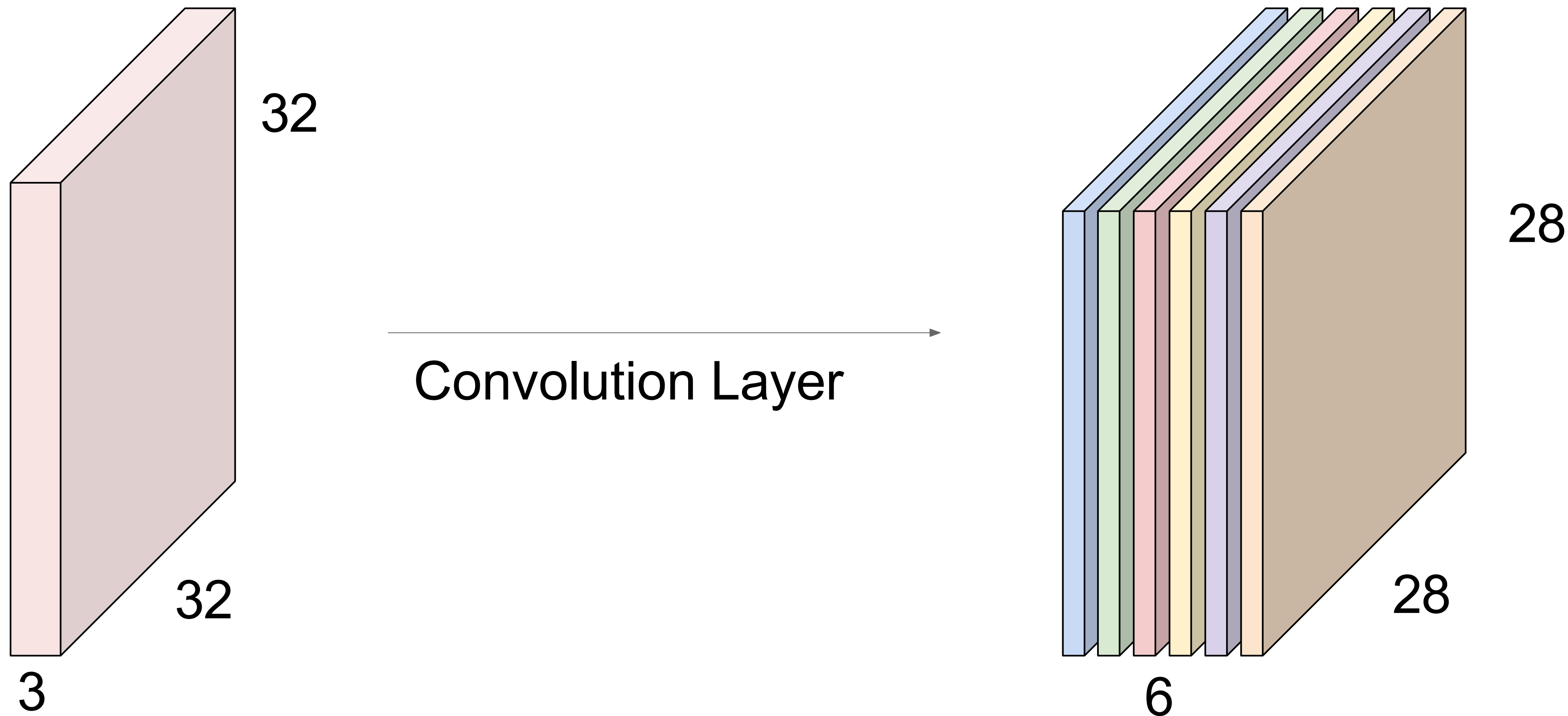
Convolution Layer



Recap

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

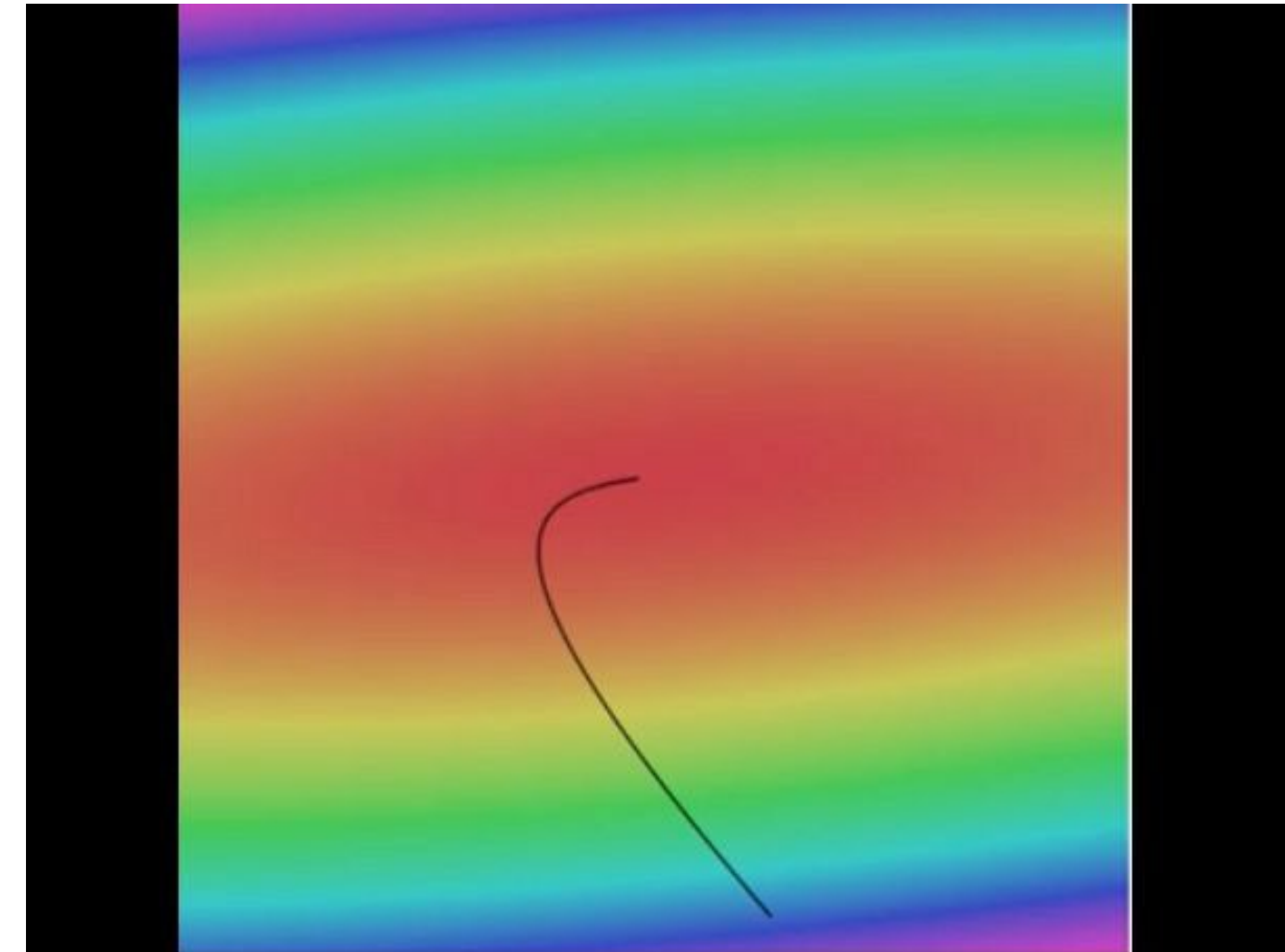
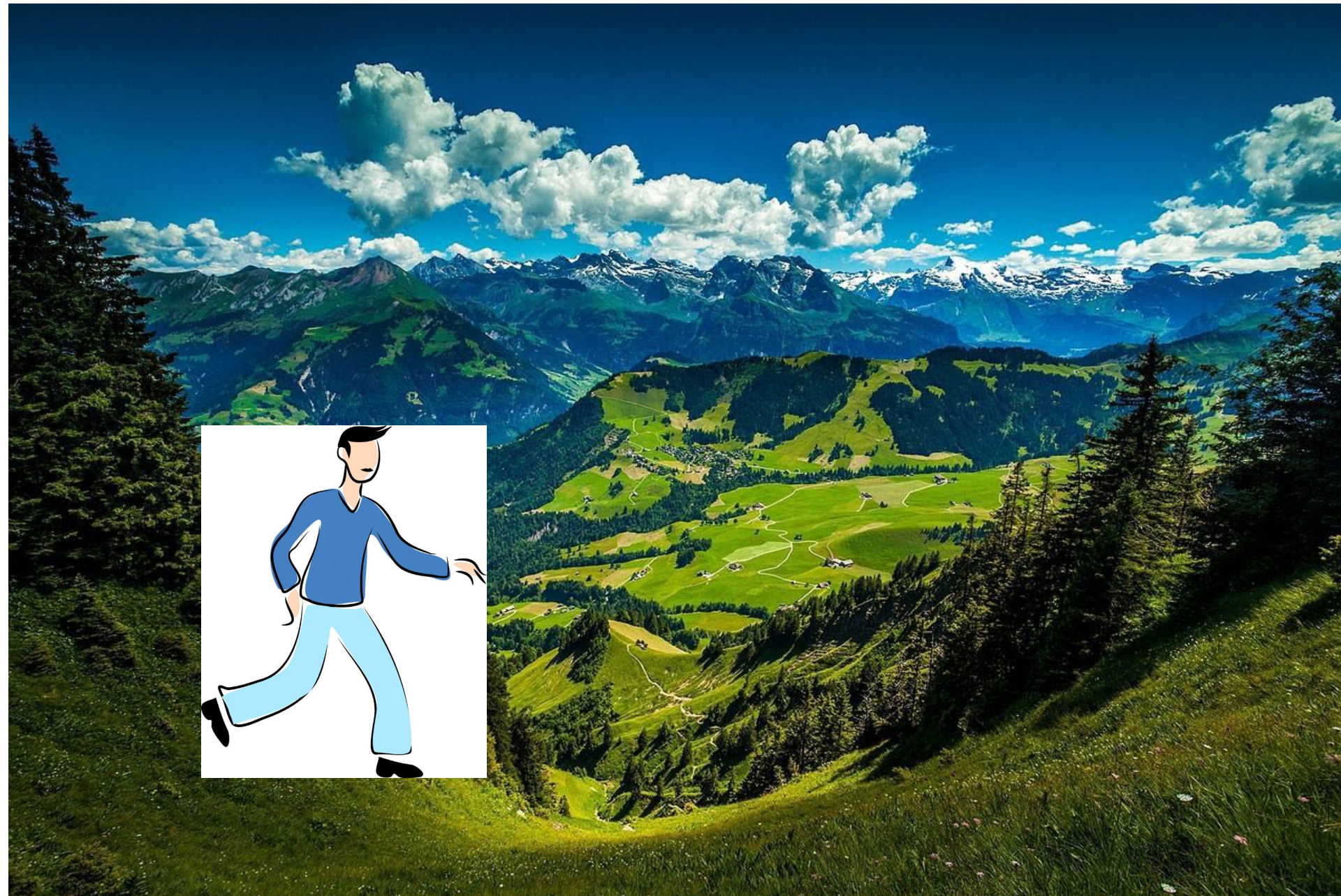
Convolution Layer



We stack these up to get a "new image" of size 28x28x6!

Recap

Learning network parameters through optimization



```
# Vanilla Gradient Descent

while True:
    weights_grad = evaluate_gradient(loss_fun, data, weights)
    weights += - step_size * weights_grad # perform parameter update
```

[Landscape image](#) is [CC0 1.0](#) public domain

[Walking man image](#) is [CC0 1.0](#) public domain

Mini-batch SGD

Loop:

1. **Sample** a batch of data
2. **Forward** prop it through the (network),
get loss
3. **Backprop** to calculate the gradients
4. **Update** the parameters using the gradient

Agenda:

Training Neural Networks

Lecture Overview:

PART I

Network and Optimizer Design

- Activation Functions
- Data Preprocessing
- Weight Initialization
- Normalization

PART II

Training Dynamics and Monitoring

- Optimizers
- Learning Rate
Scheduling
- Regularization
- Hyperparameters

PART III

Inference and Evaluation

- Visualizing Features
- Saliency Maps etc.
- Robustness
Evaluation (later)

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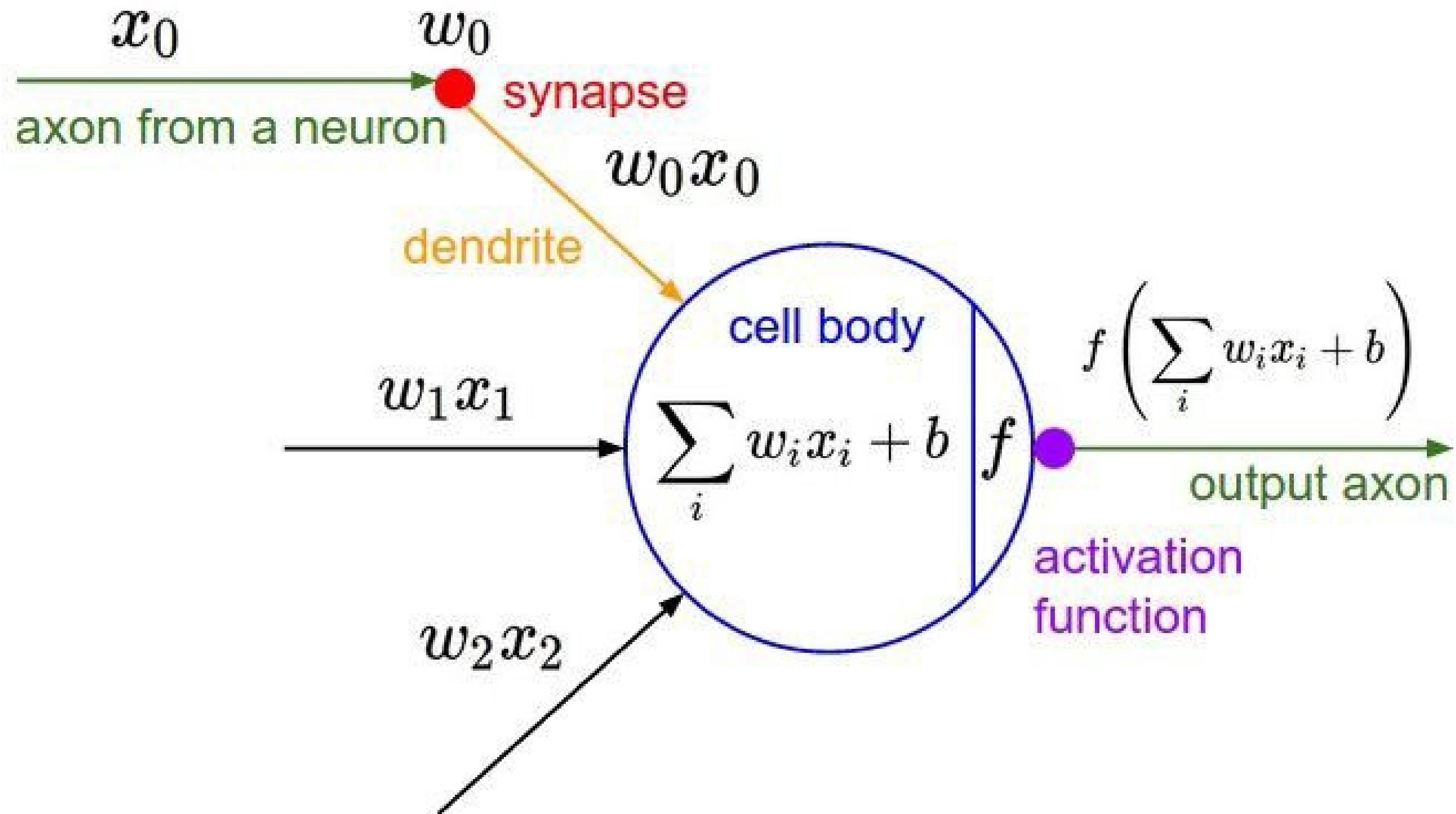
PART III

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- Visualizing Features
- Saliency Maps etc.
- Robustness
Evaluation (later)

Activation Functions

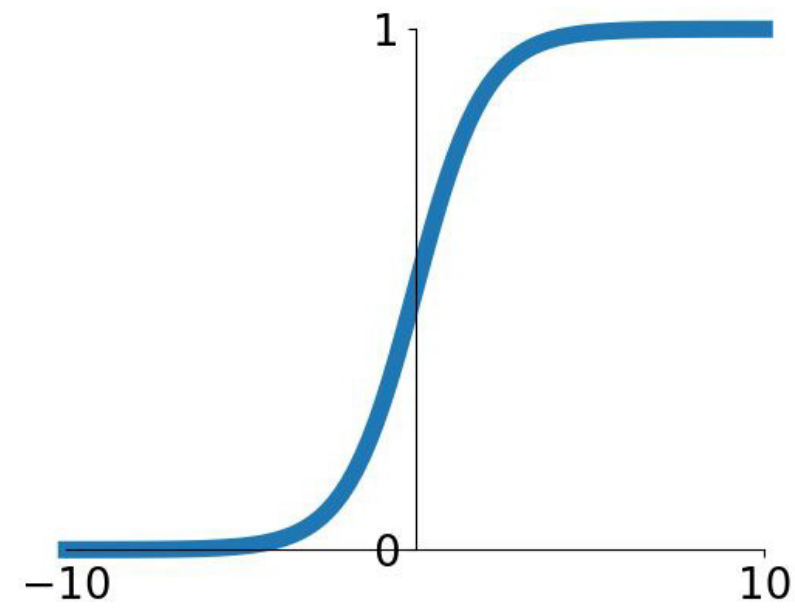
Activation Functions



Activation Functions

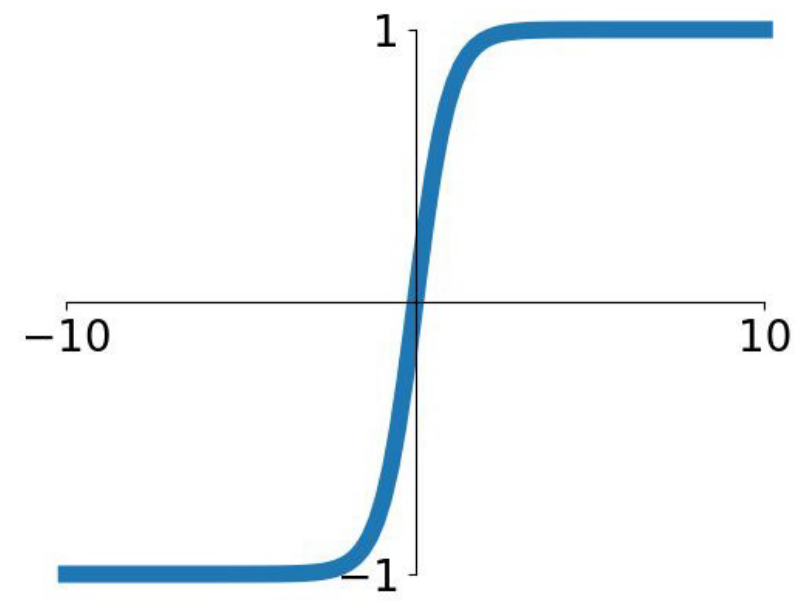
Sigmoid

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



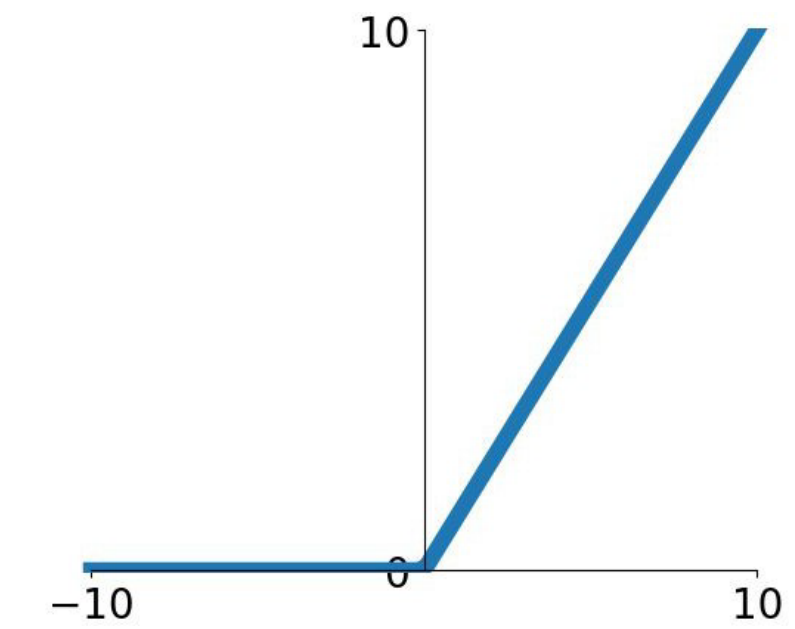
tanh

$$\tanh(x)$$



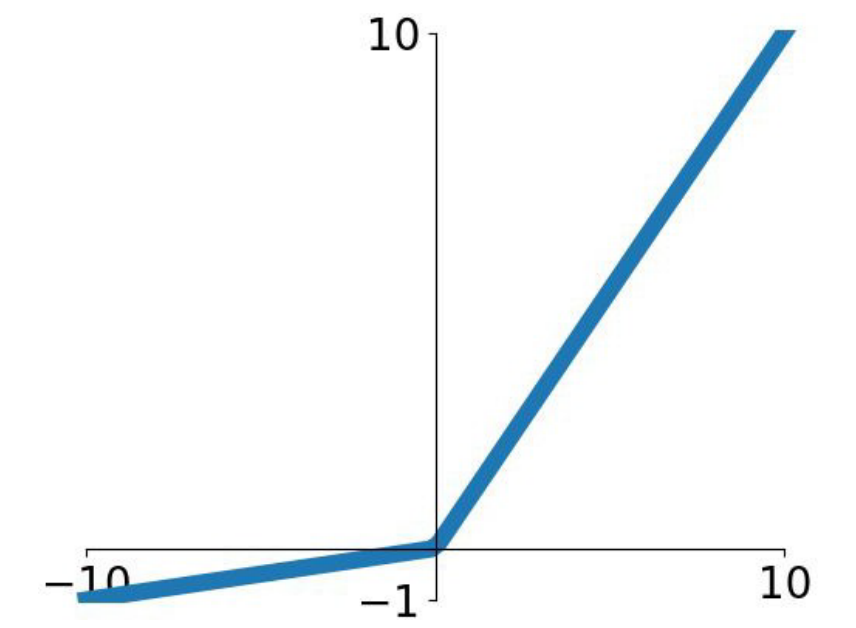
ReLU

$$\max(0, x)$$



Leaky ReLU

$$\max(0.1x, x)$$

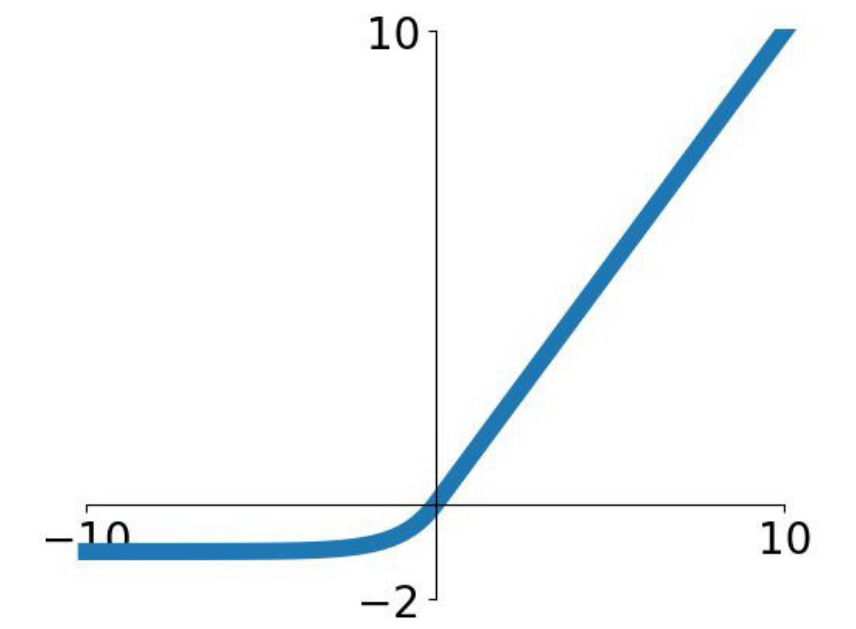


Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

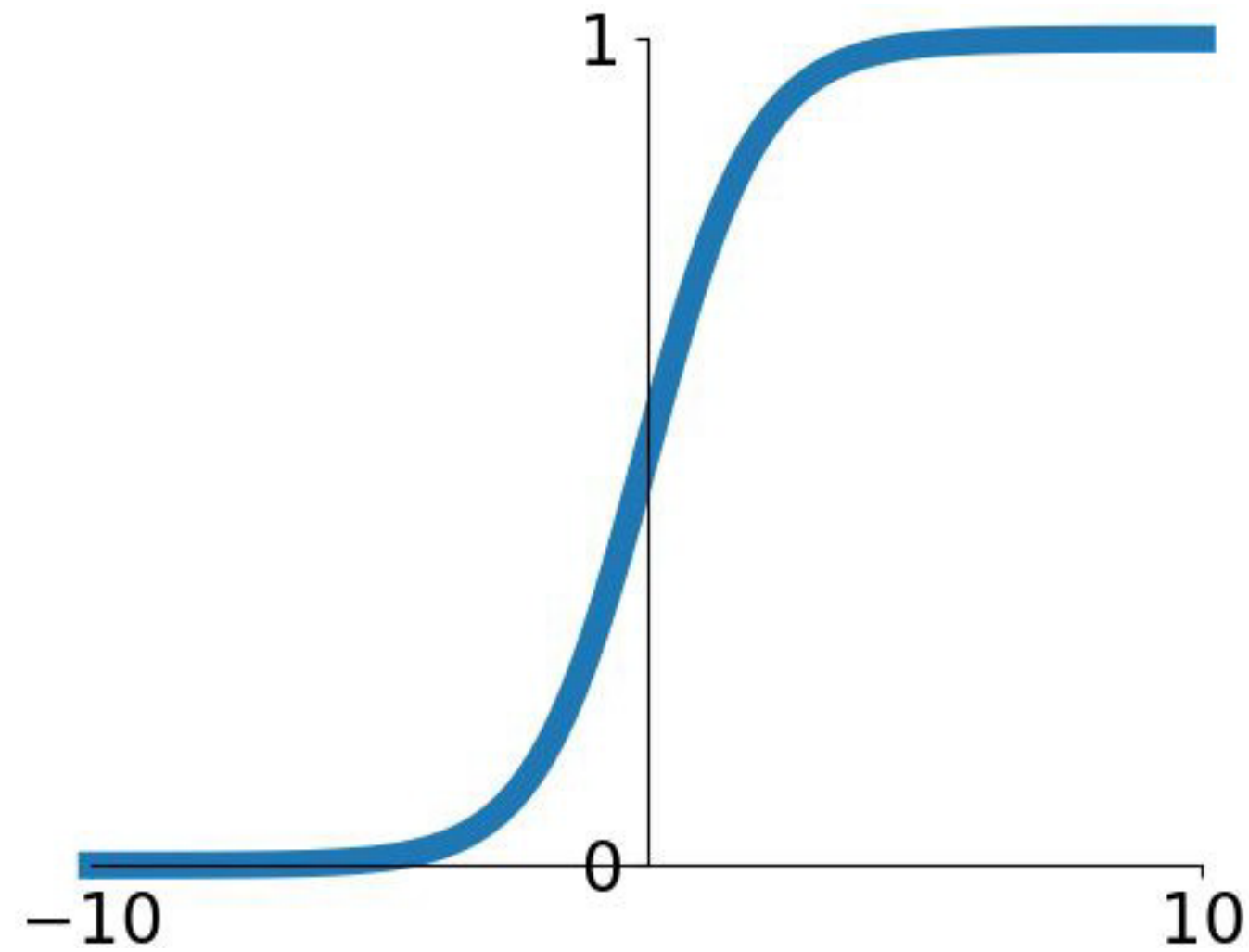
ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



Activation Functions

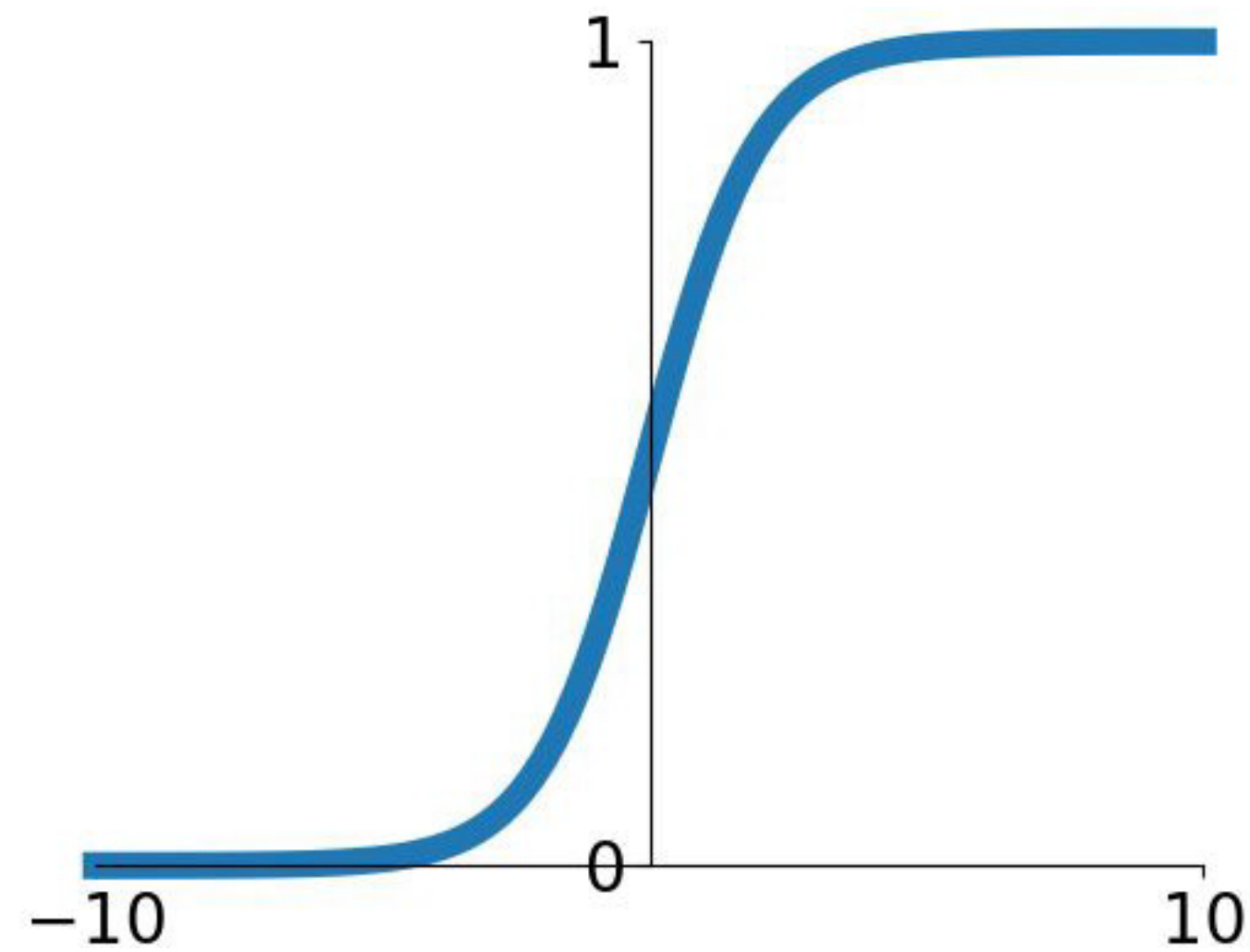
$$\sigma(x) = 1 / (1 + e^{-x})$$



Sigmoid

- Squashes numbers to range [0,1]
- Historically popular since they have nice interpretation as a saturating “firing rate” of a neuron

Activation Functions

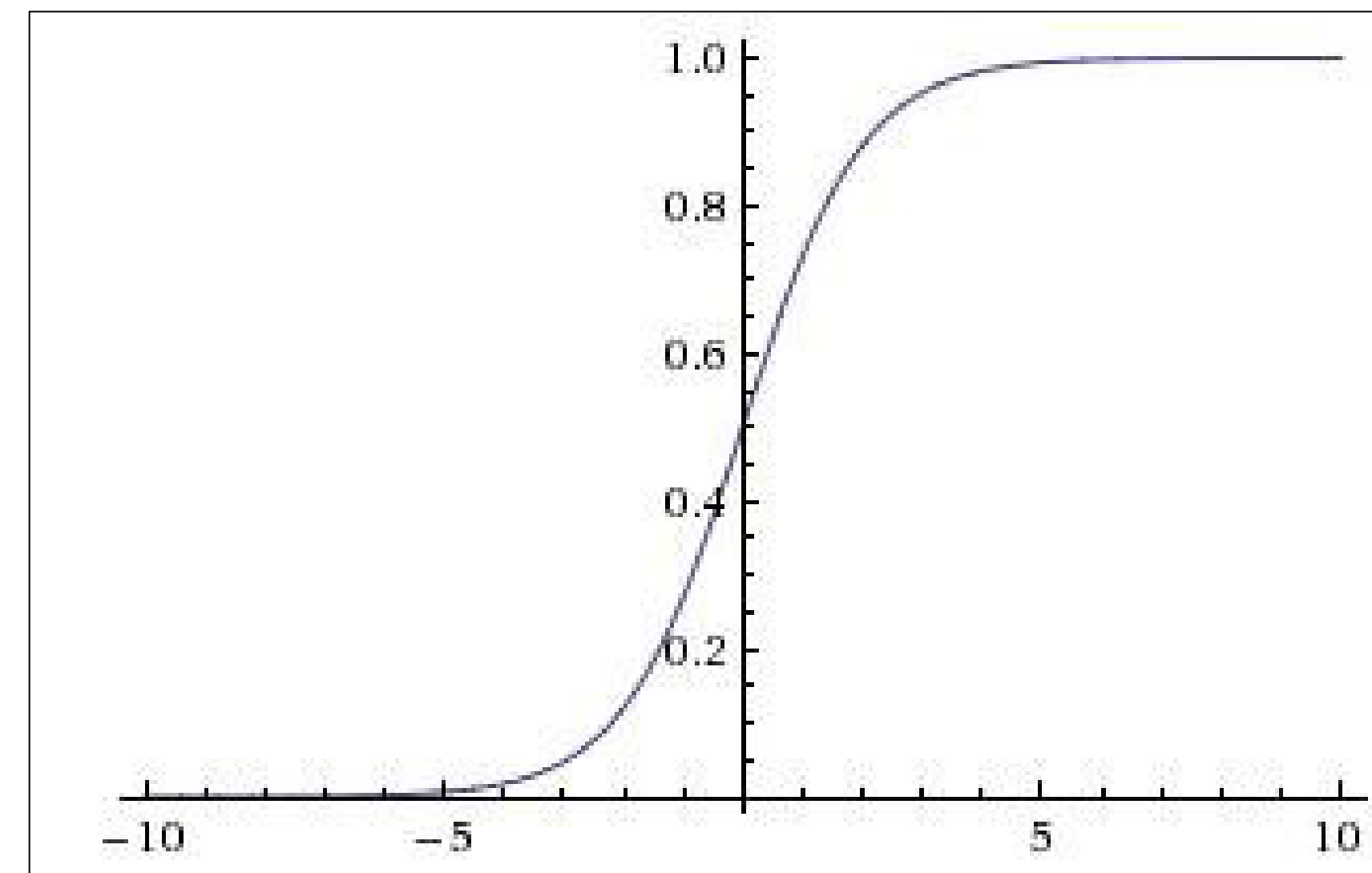
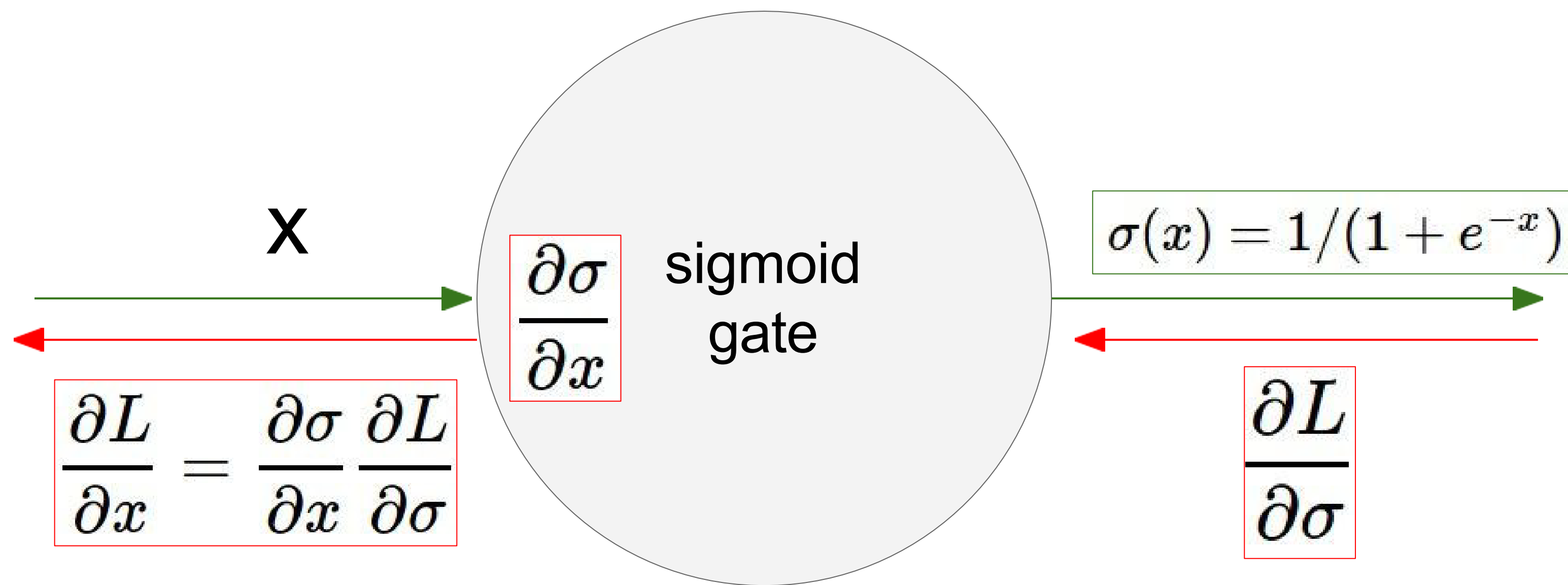


Sigmoid

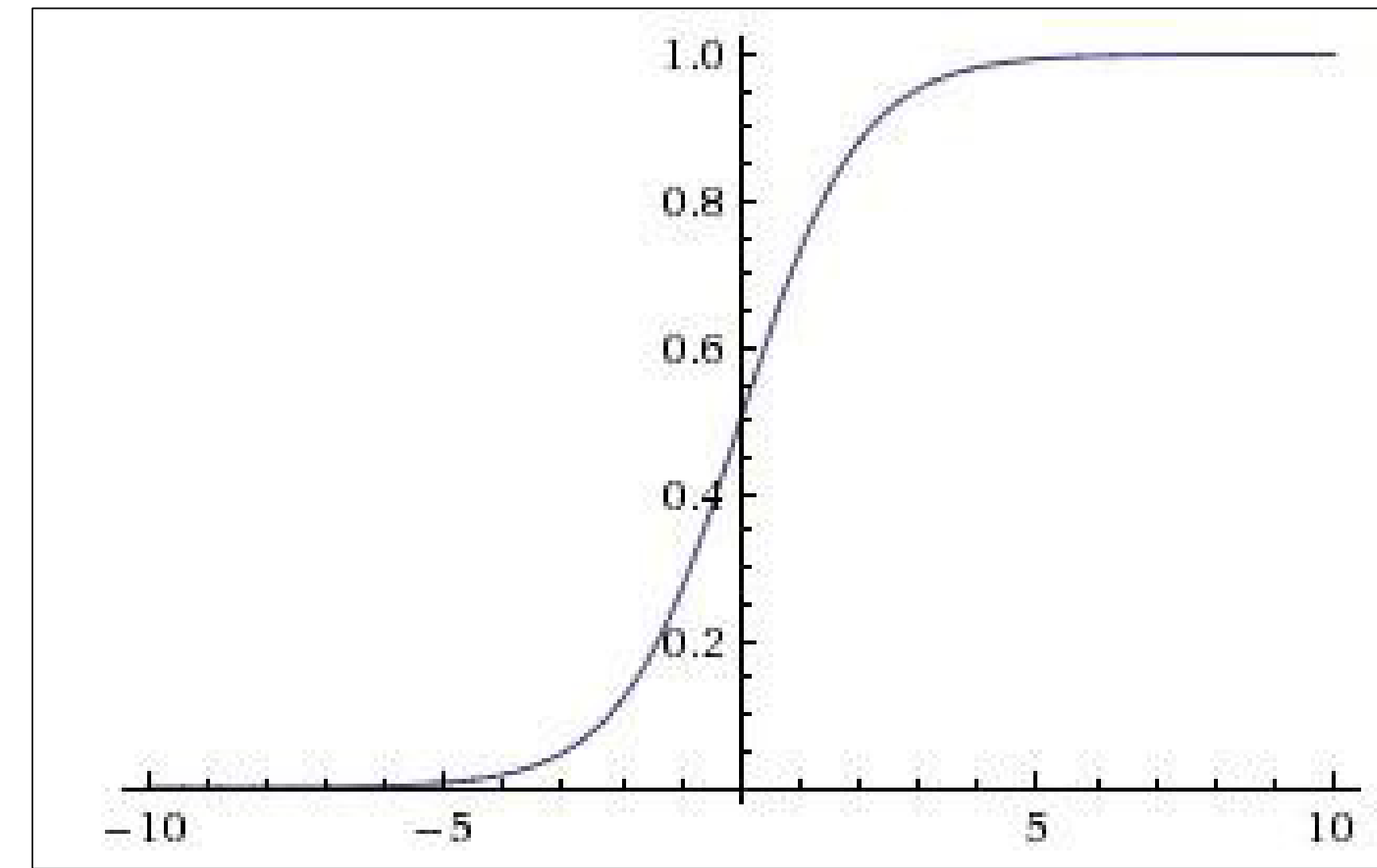
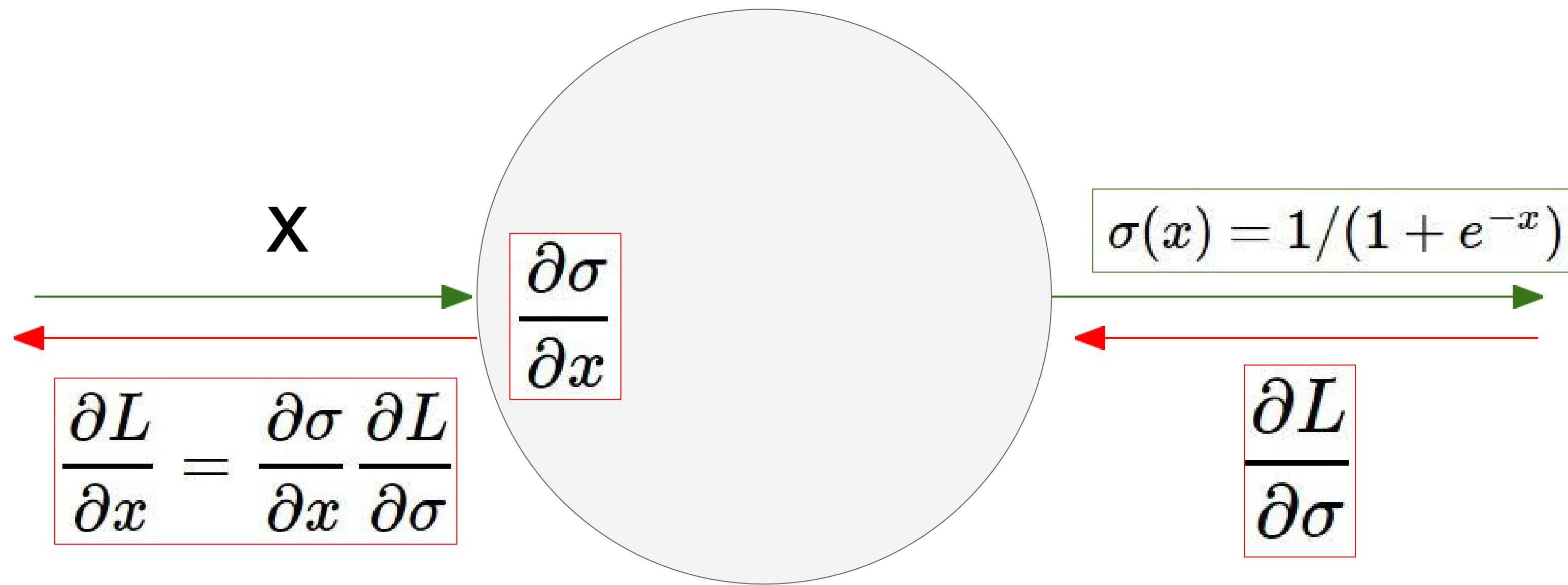
$$\sigma(x) = 1 / (1 + e^{-x})$$

- Squashes numbers to range [0,1]
- Historically popular since they have nice interpretation as a saturating “firing rate” of a neuron

1. Saturated neurons “kill” the gradients

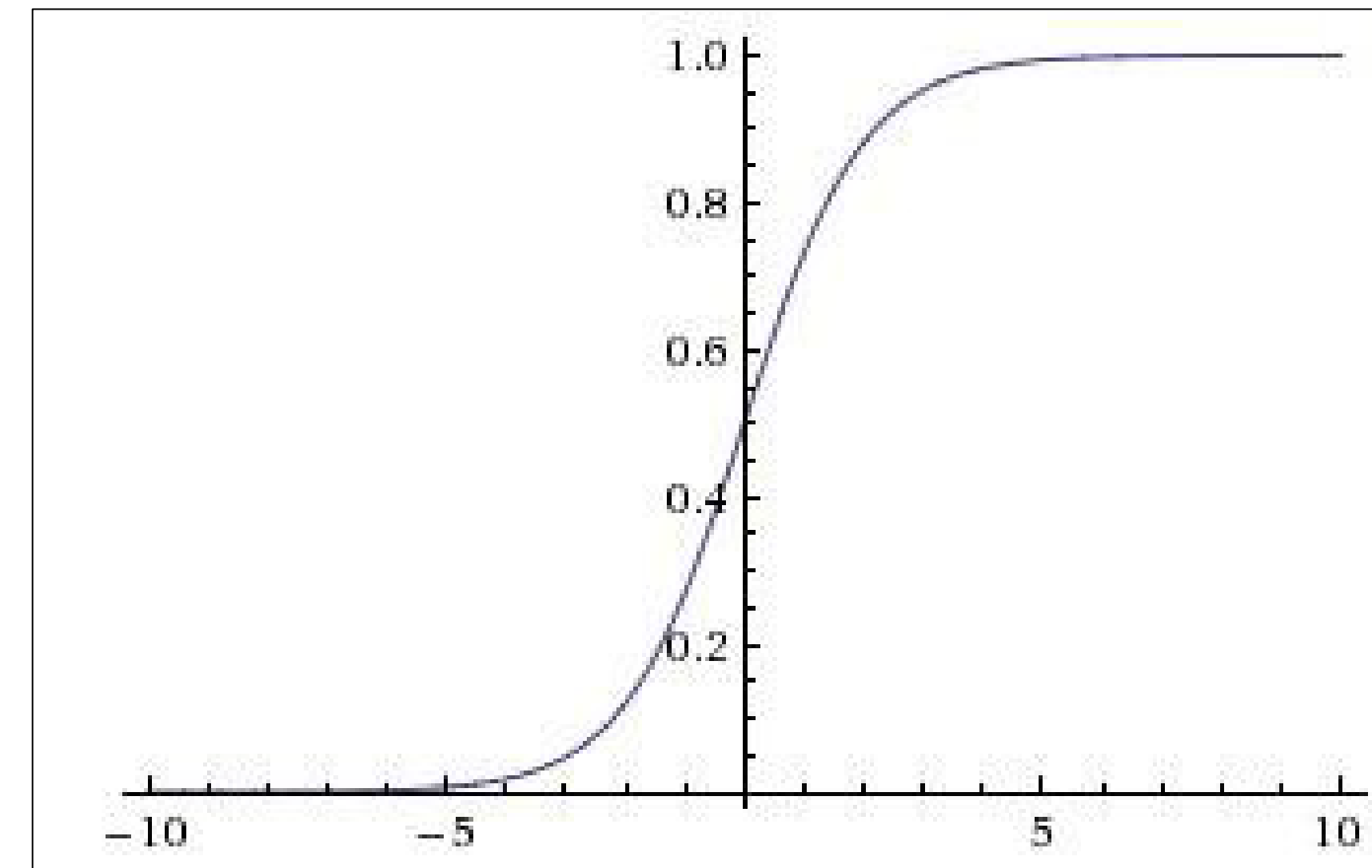
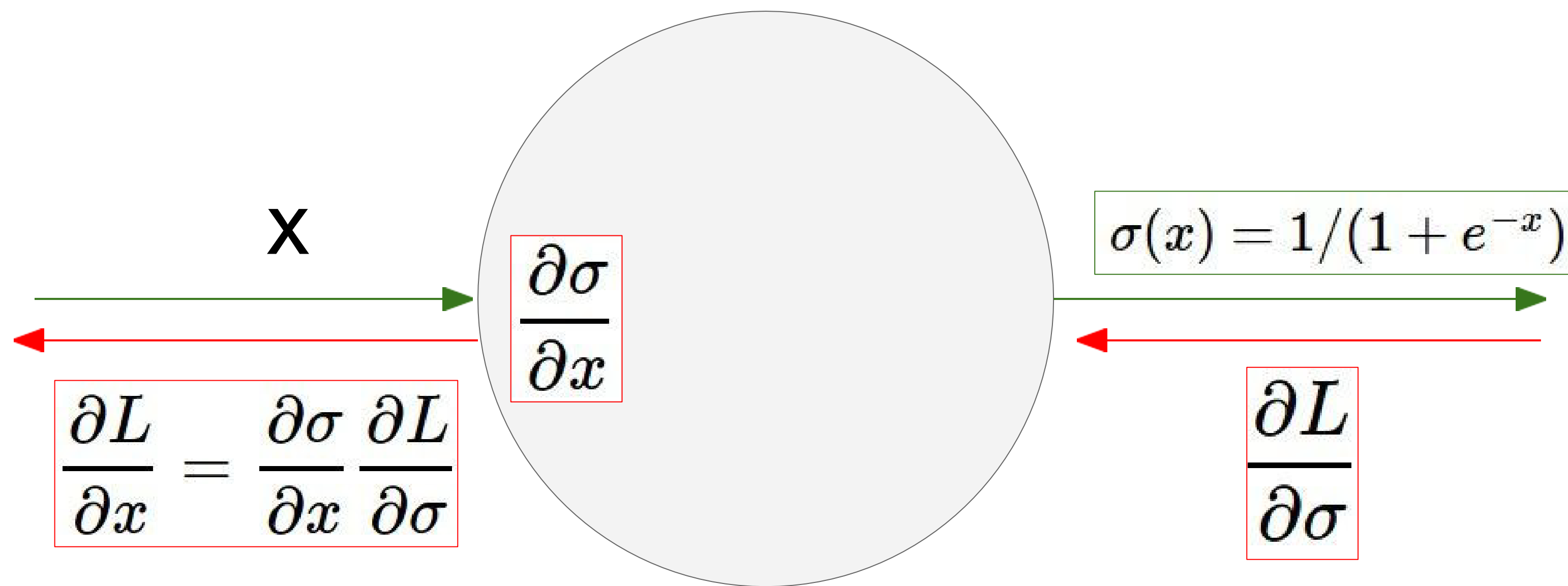


$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$



What happens when $x = -10$?

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$

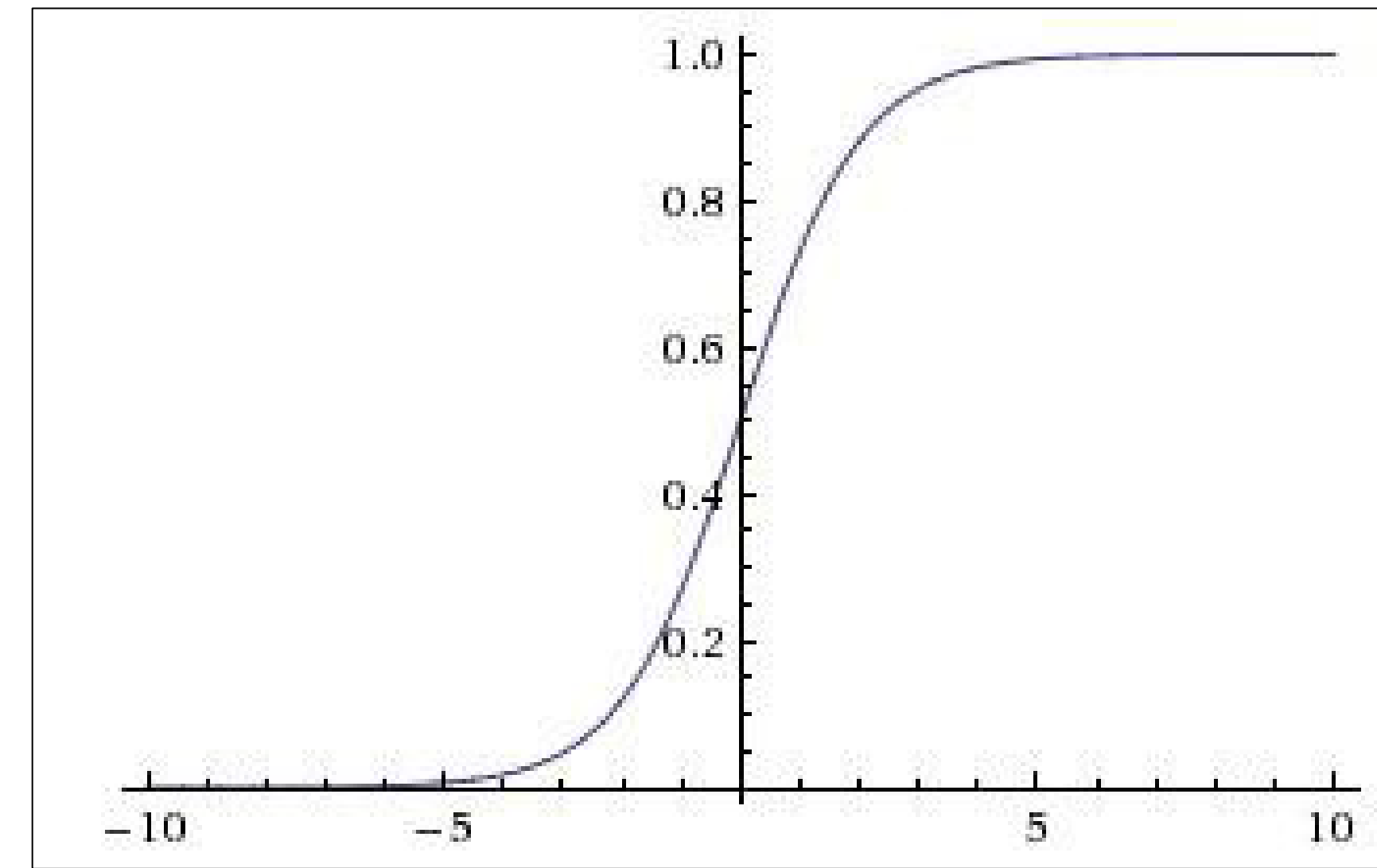
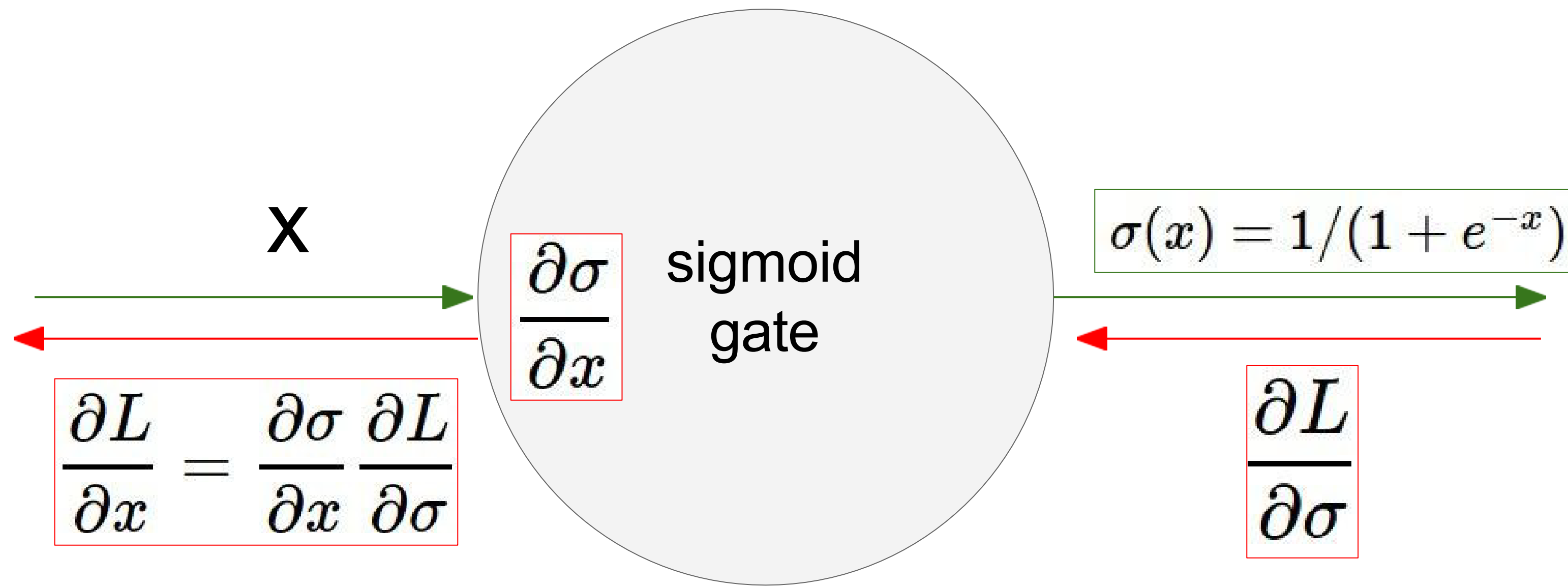


What happens when $x = -10$?

$$\sigma(x) \approx 0$$

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x)) = 0(1 - 0) = 0$$

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$

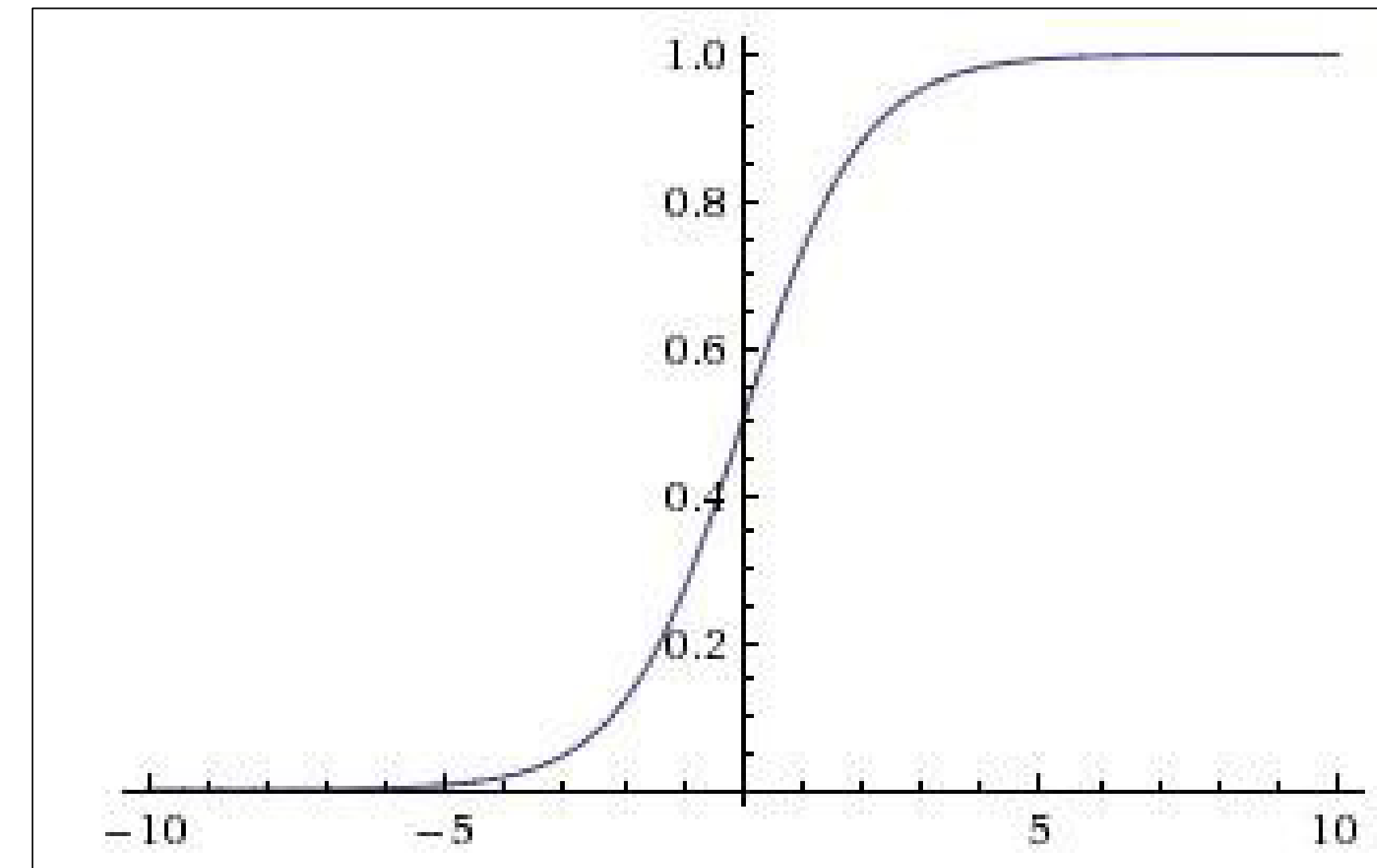
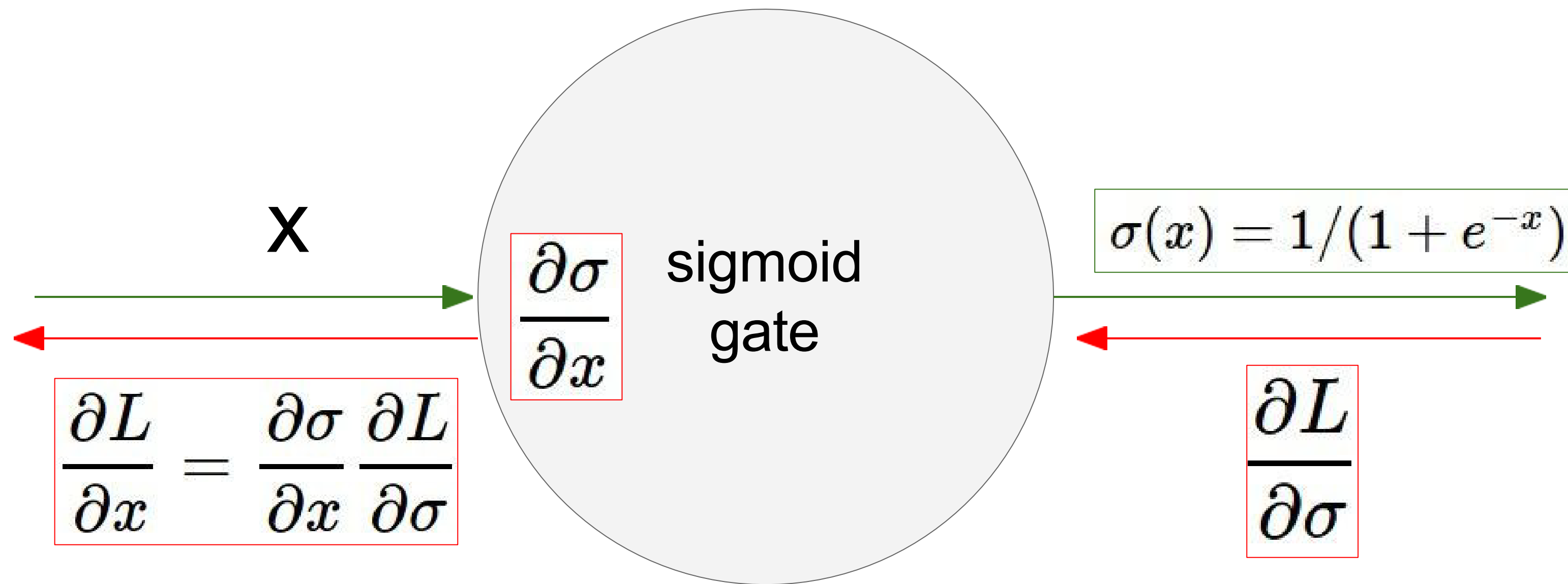


What happens when $x = -10$?

What happens when $x = 0$?

What happens when $x = 10$?

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$



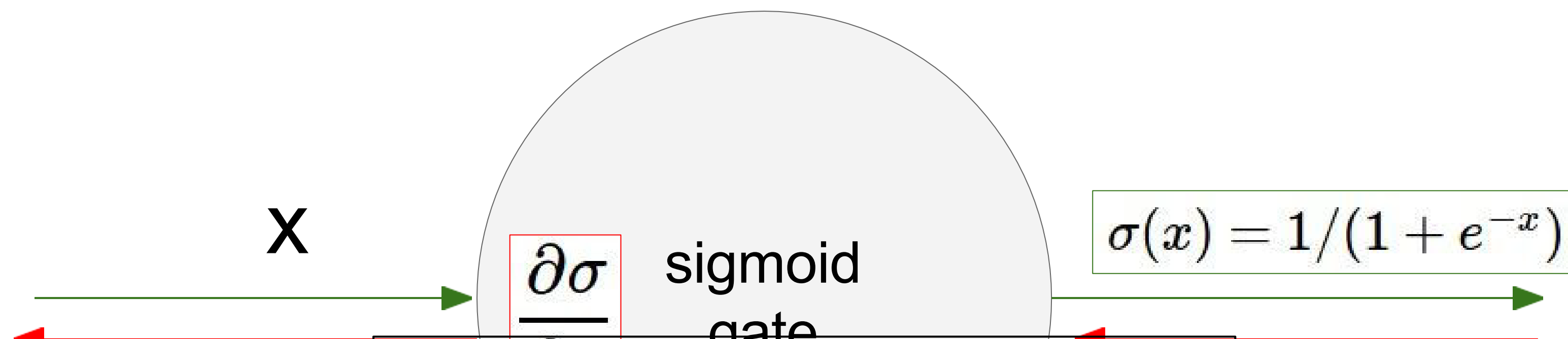
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$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$

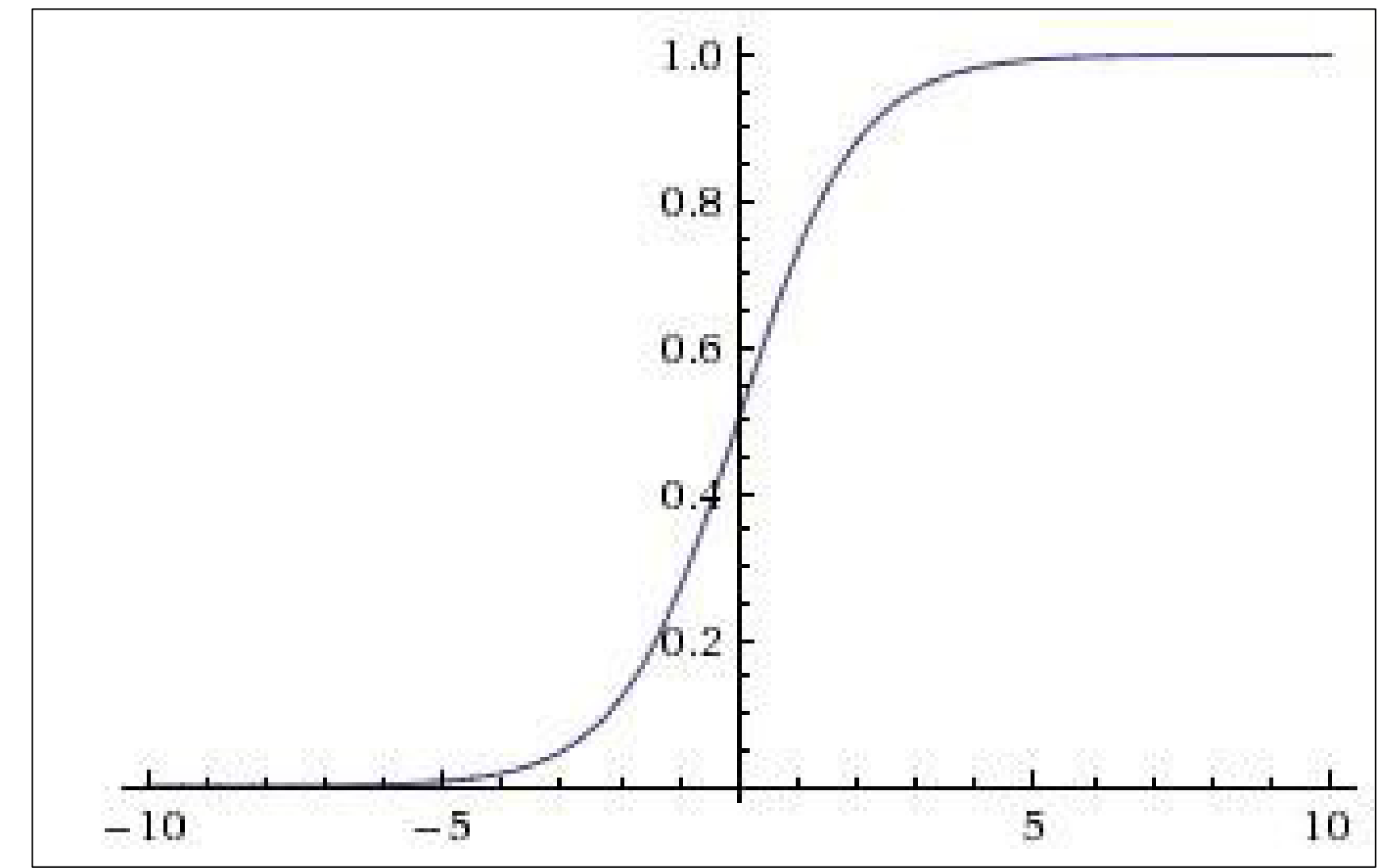
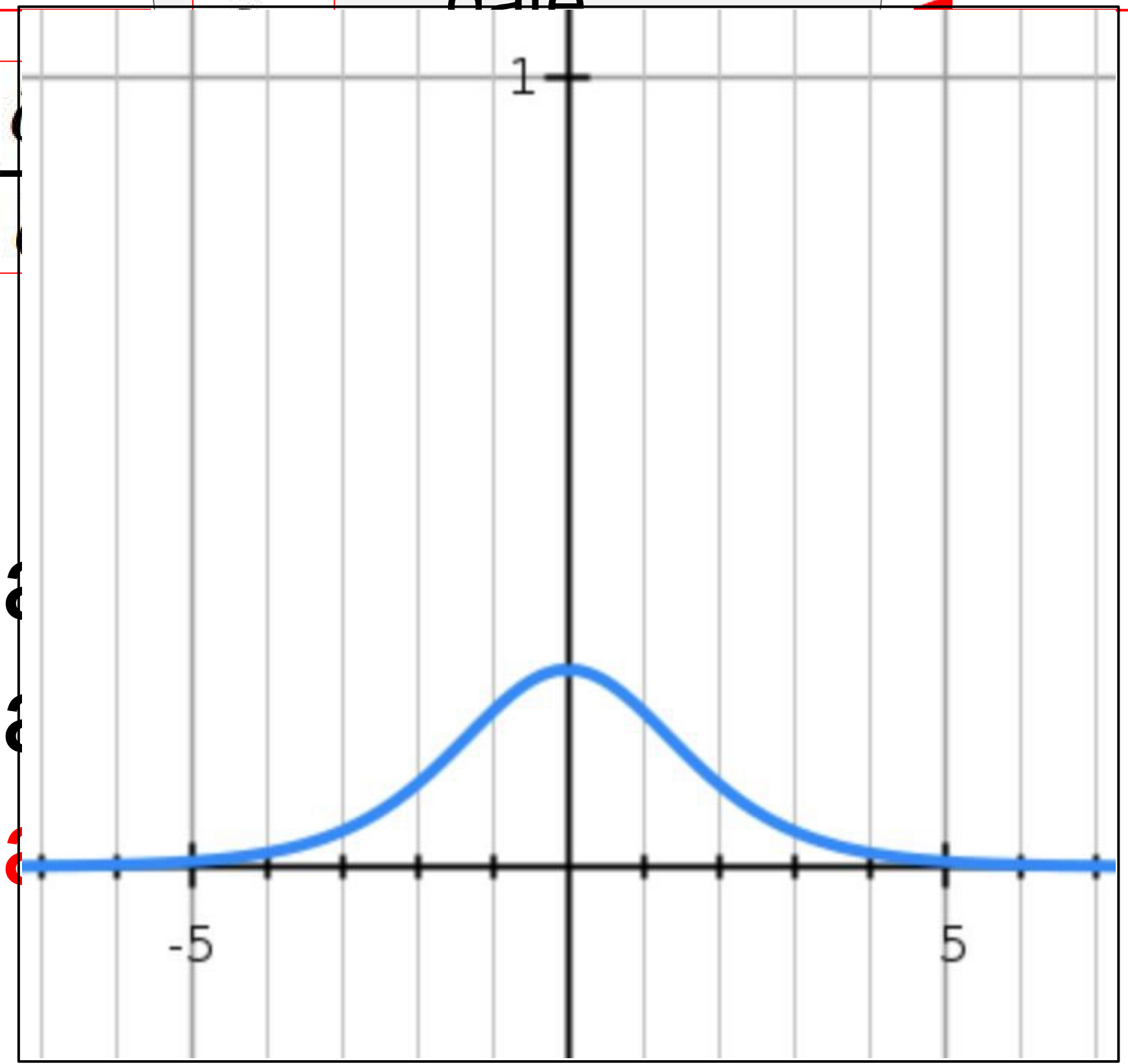
$$\sigma(x) \approx 1 \quad \frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x)) = 1(1 - 1) = 0$$



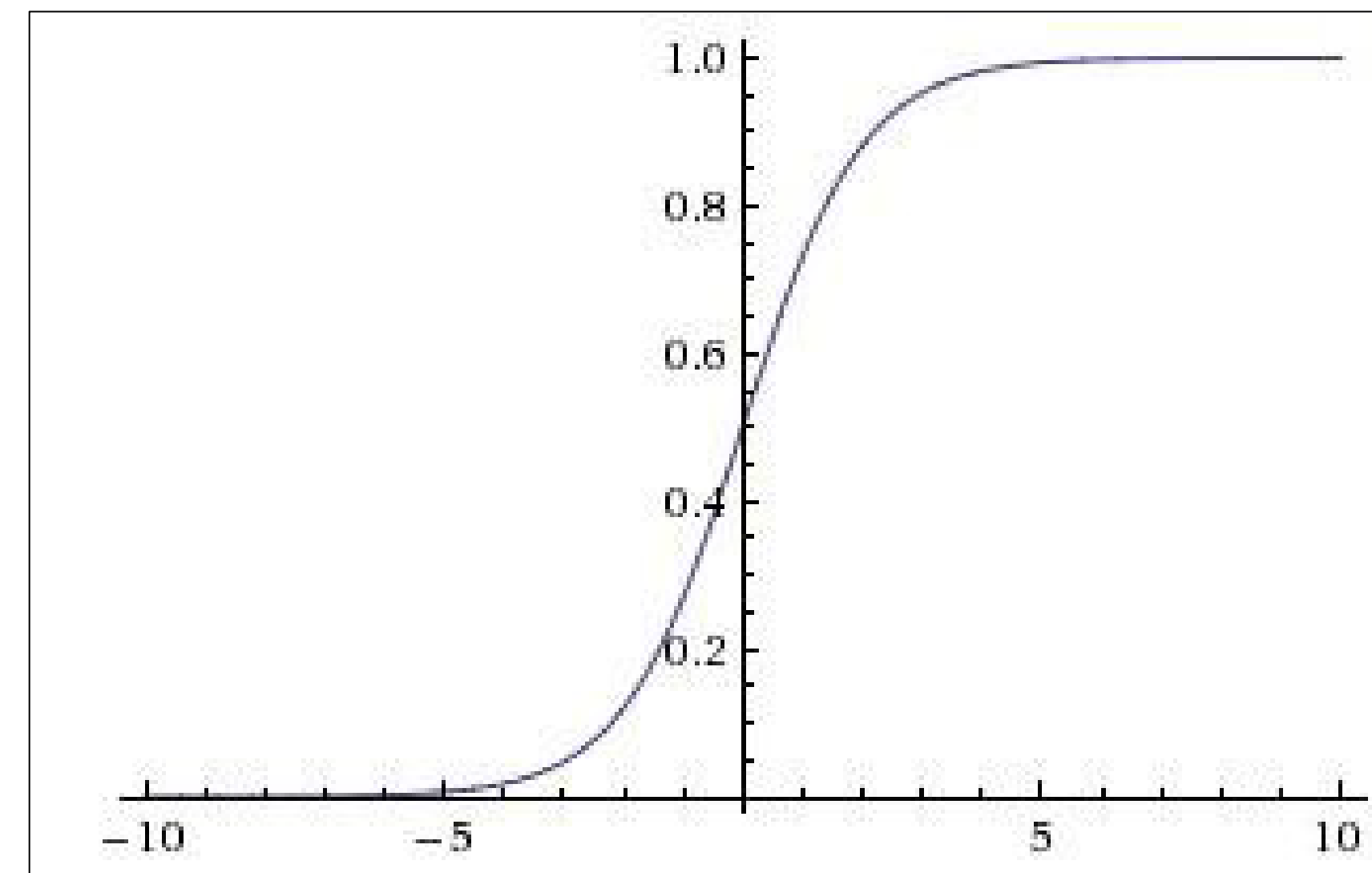
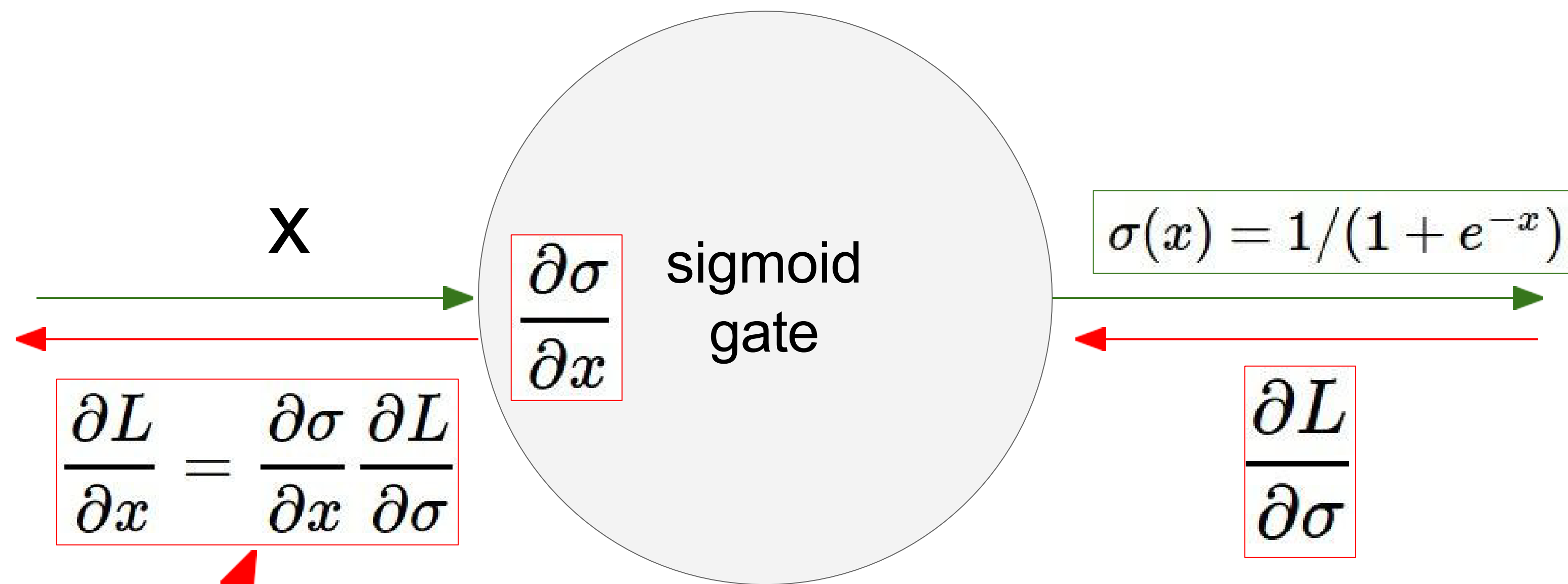
$$\frac{\partial L}{\partial x} = \frac{\partial \sigma}{\partial x}$$

$$\frac{\partial L}{\partial \sigma}$$

What has
 What has
 What has



$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$

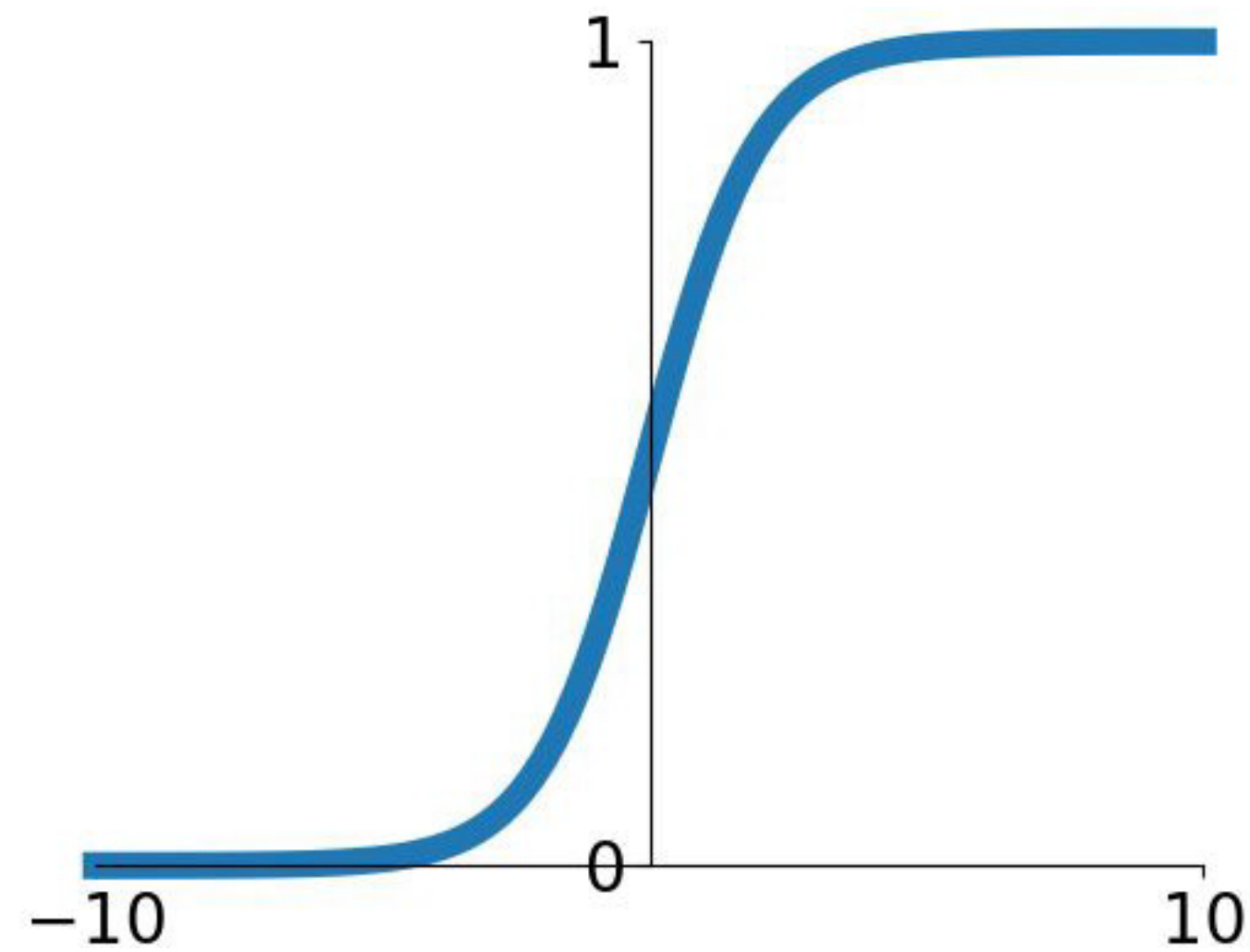


Why is this a problem?

If all gradients flowing back = 0,
weights will never change ...

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) (1 - \sigma(x))$$

Activation Functions



Sigmoid

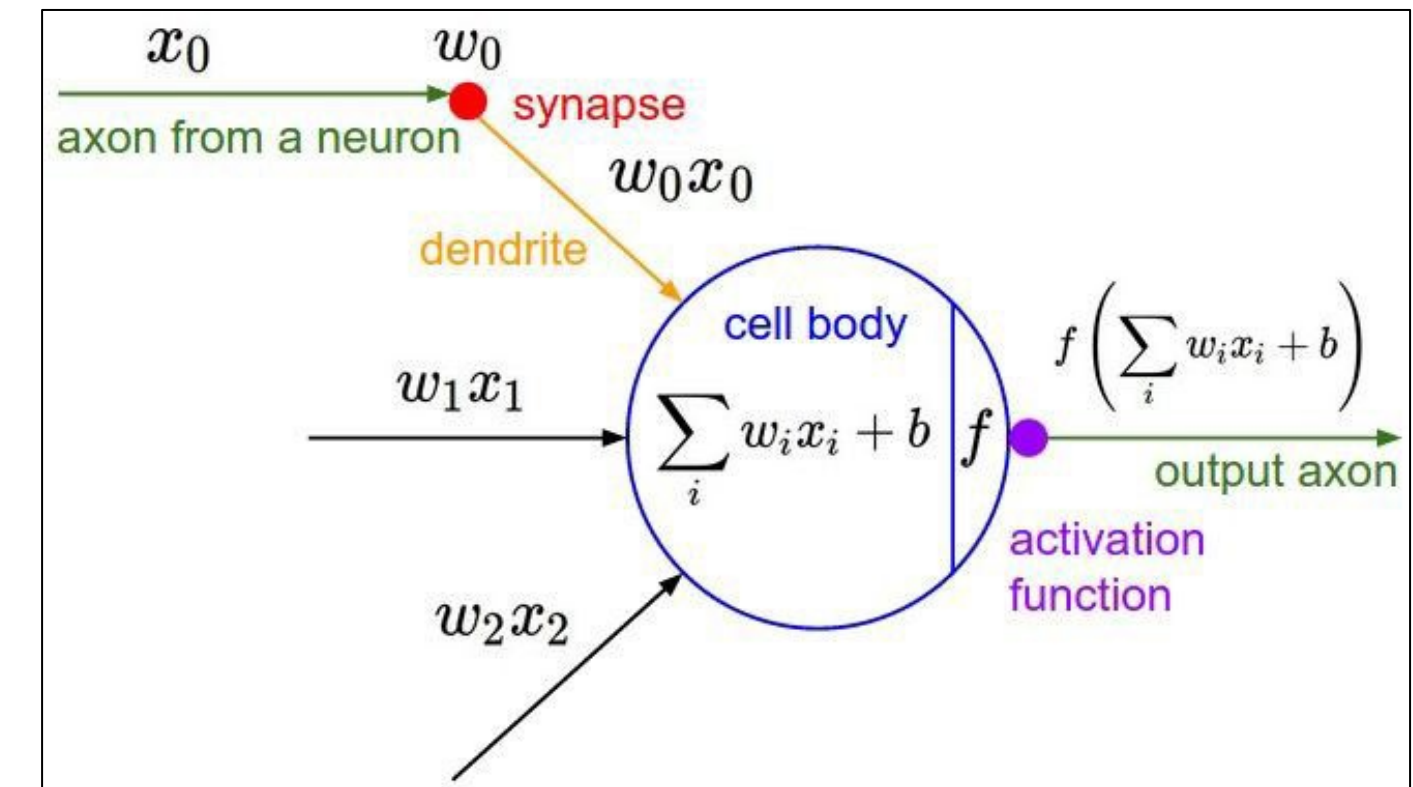
$$\sigma(x) = 1 / (1 + e^{-x})$$

- Squashes numbers to range [0,1]
- Historically popular since they have nice interpretation as a saturating “firing rate” of a neuron

1. Saturated neurons “kill” the gradients
2. Sigmoid outputs are not zero-centered

Consider what happens when the input to a neuron is always positive...

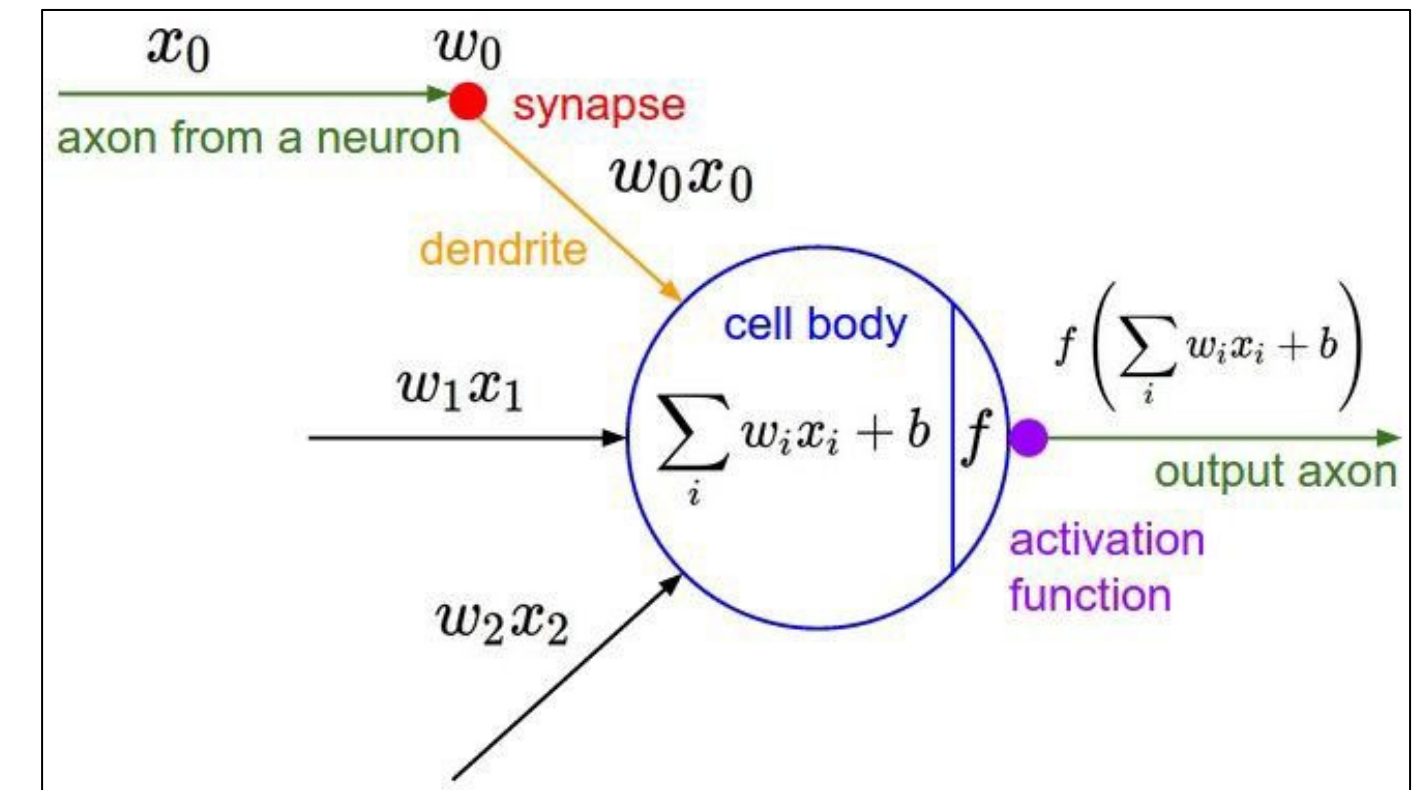
$$f\left(\sum_i w_i x_i + b\right)$$



What can we say about the gradients on \mathbf{w} ?

Consider what happens when the input to a neuron is always positive...

$$f\left(\sum_i w_i x_i + b\right)$$

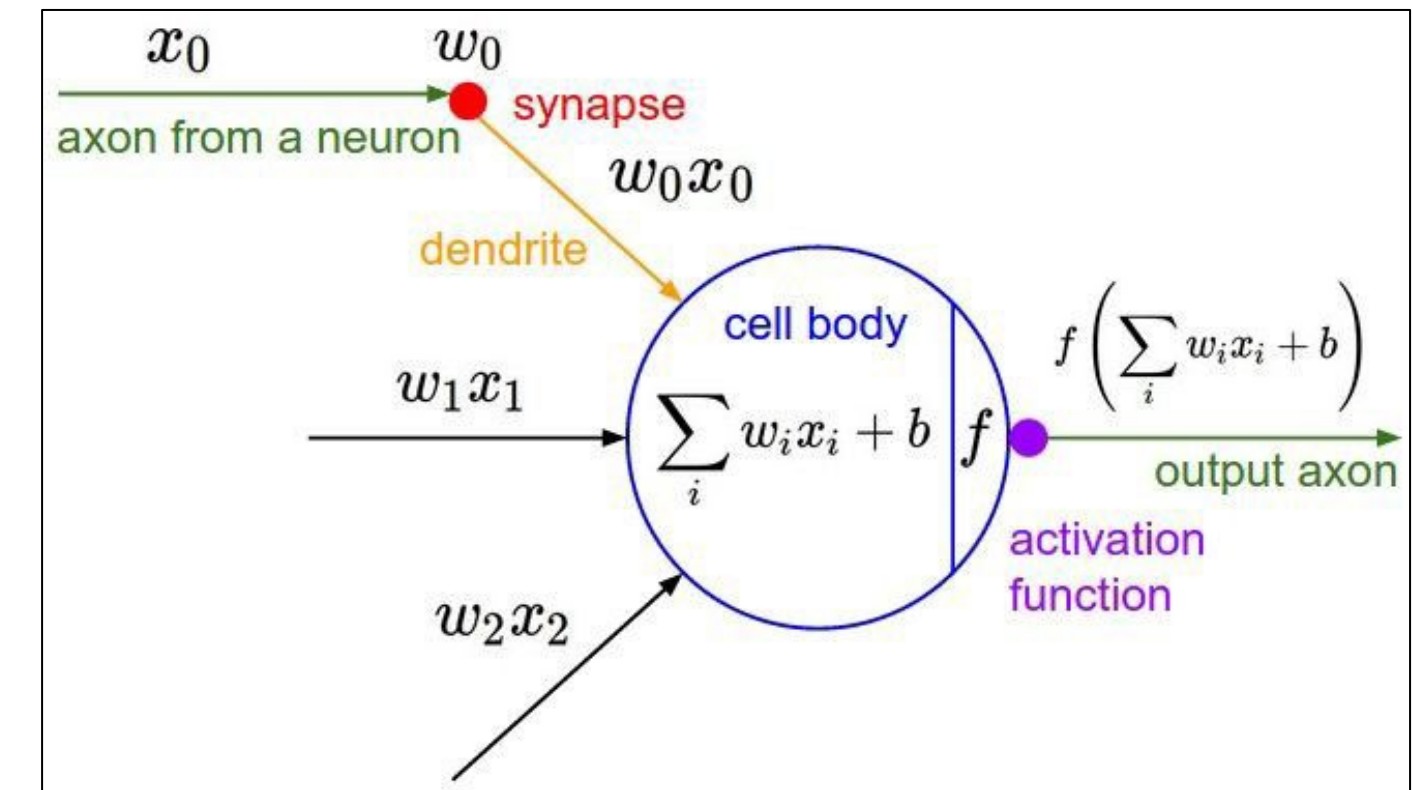


What can we say about the gradients on \mathbf{w} ?

$$\frac{\partial L}{\partial w} = \sigma\left(\sum_i w_i x_i + b\right) \left(1 - \sigma\left(\sum_i w_i x_i + b\right)\right) x \times \text{upstream_gradient}$$

Consider what happens when the input to a neuron is always positive...

$$f\left(\sum_i w_i x_i + b\right)$$



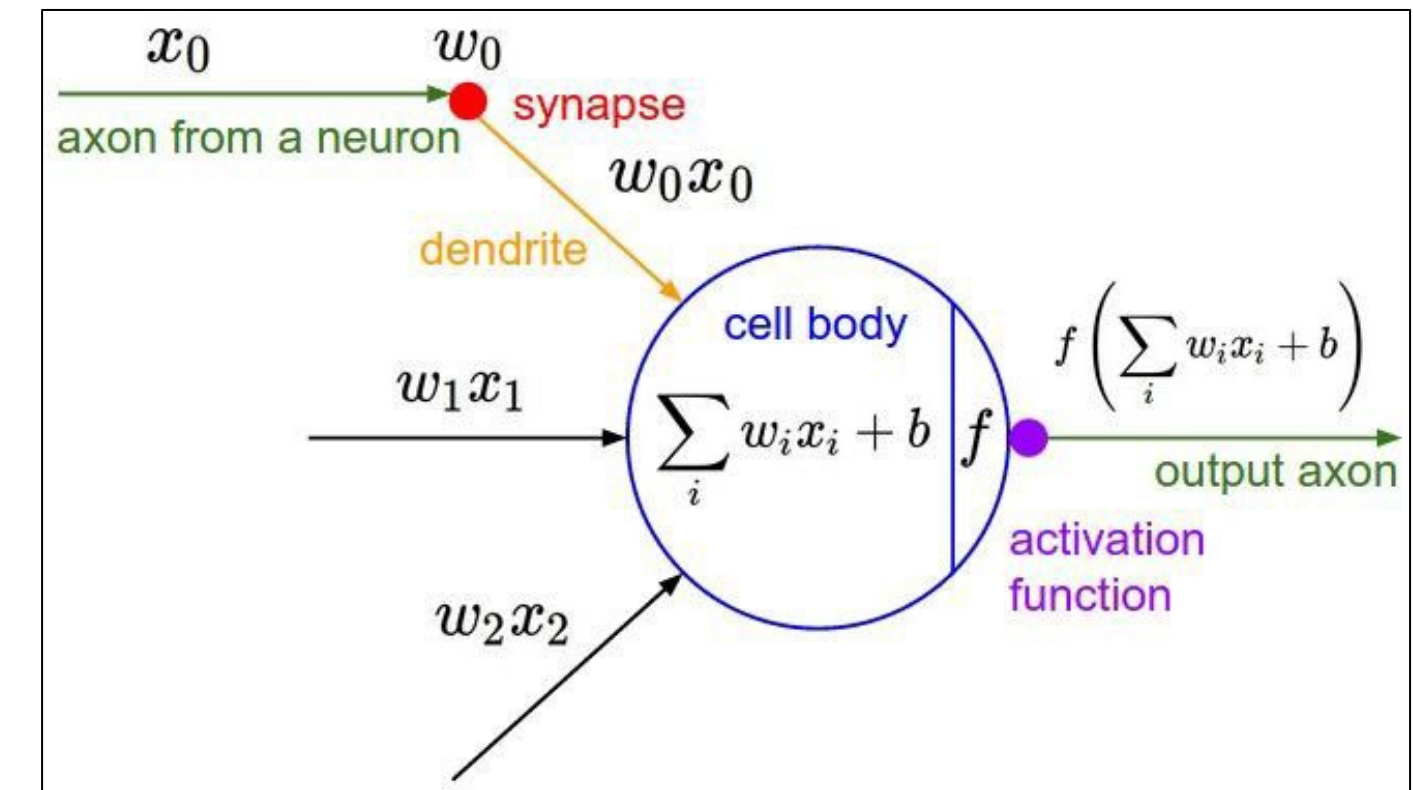
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We know that local gradient of sigmoid is always positive

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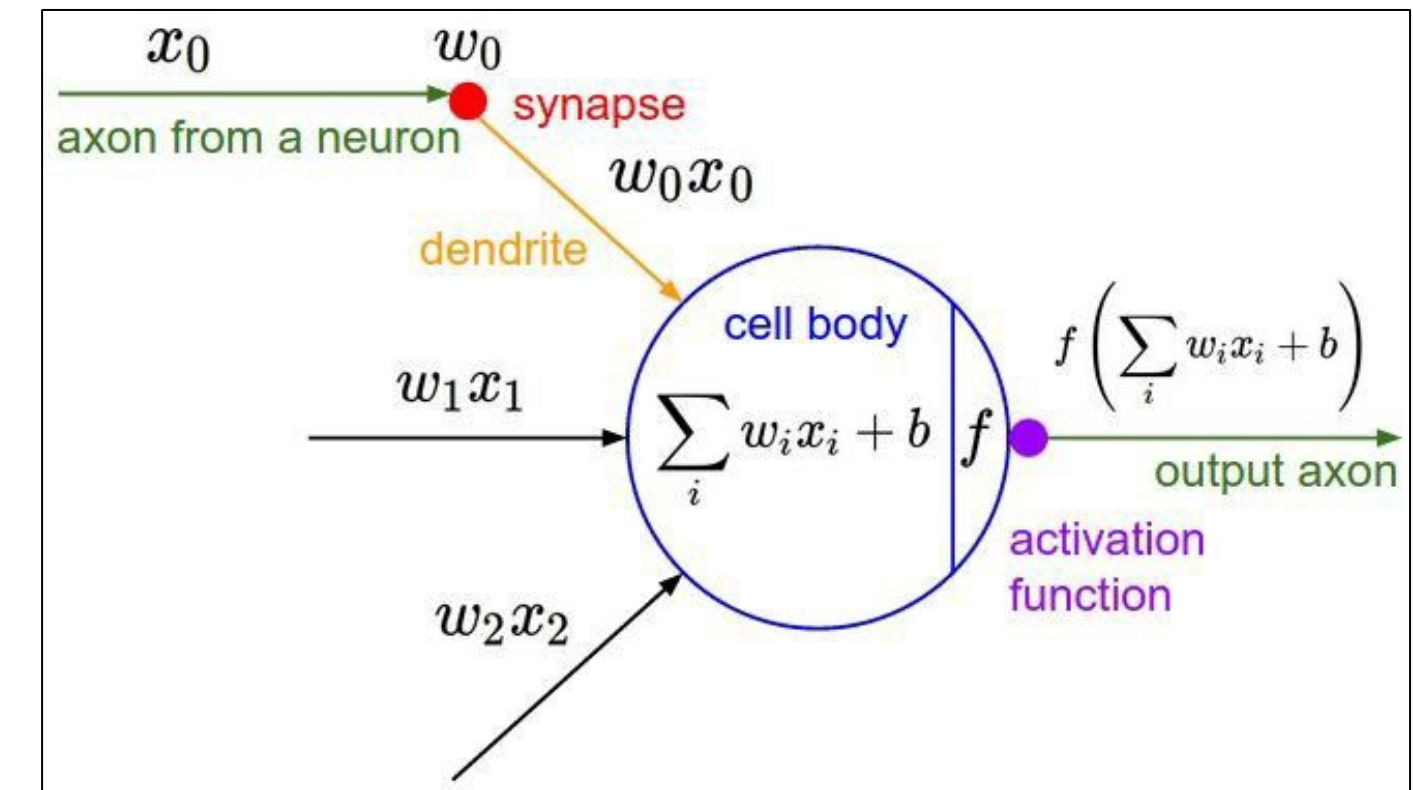
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We are assuming x is always positive

$$\frac{\partial L}{\partial w} = \sigma\left(\sum_i w_i x_i + b\right) \left(1 - \sigma\left(\sum_i w_i x_i + b\right)\right) x \times upstream_gradient$$

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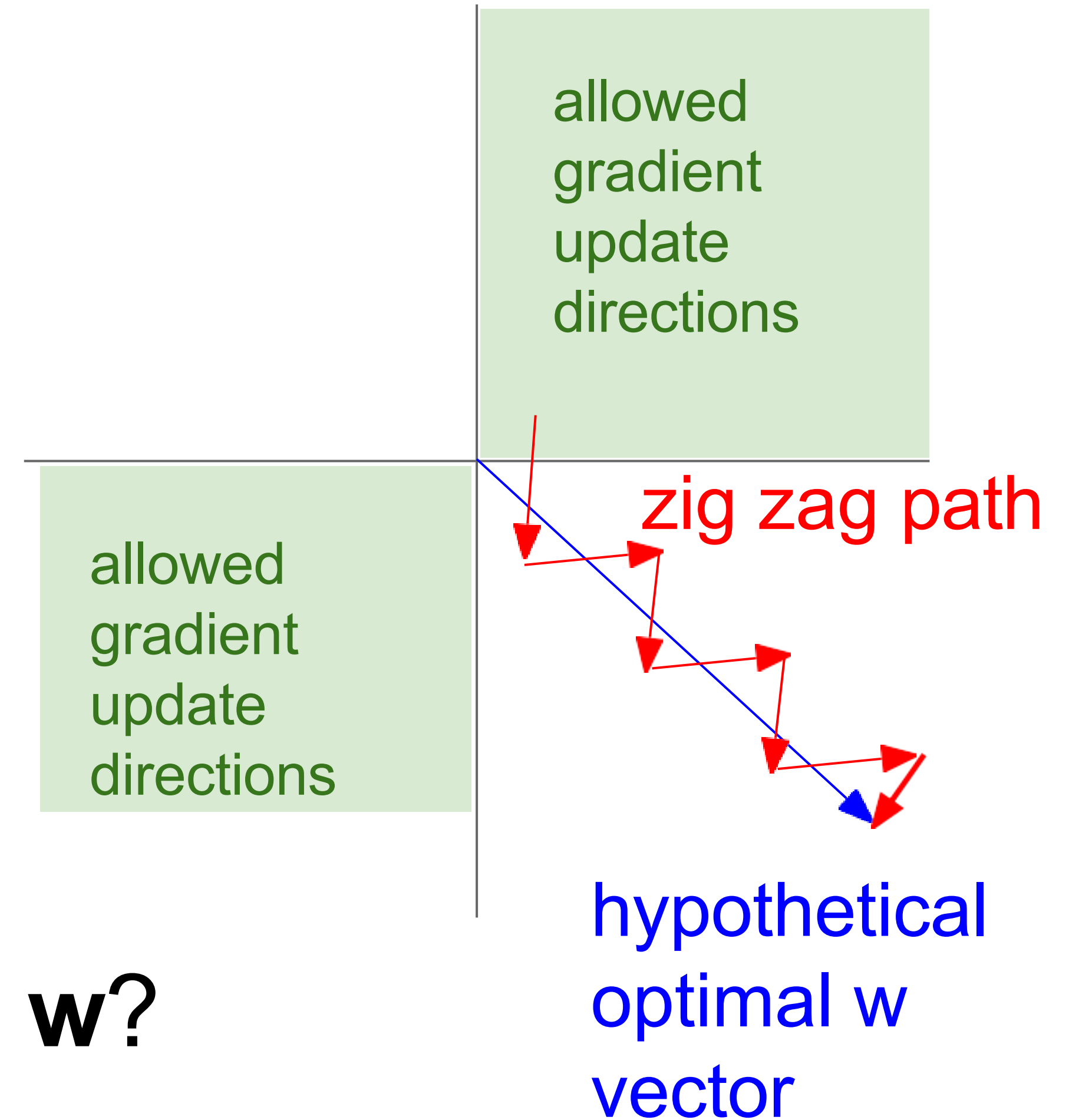
We are assuming x is always positive

So!! Sign of gradient **for all w_i** is the same as the sign of upstream scalar gradient!

$$\frac{\partial L}{\partial w} = \sigma\left(\sum_i w_i x_i + b\right) (1 - \sigma\left(\sum_i w_i x_i + b\right)) x \times \text{upstream_gradient}$$

Consider what happens when the input to a neuron is always positive...

$$f\left(\sum_i w_i x_i + b\right)$$

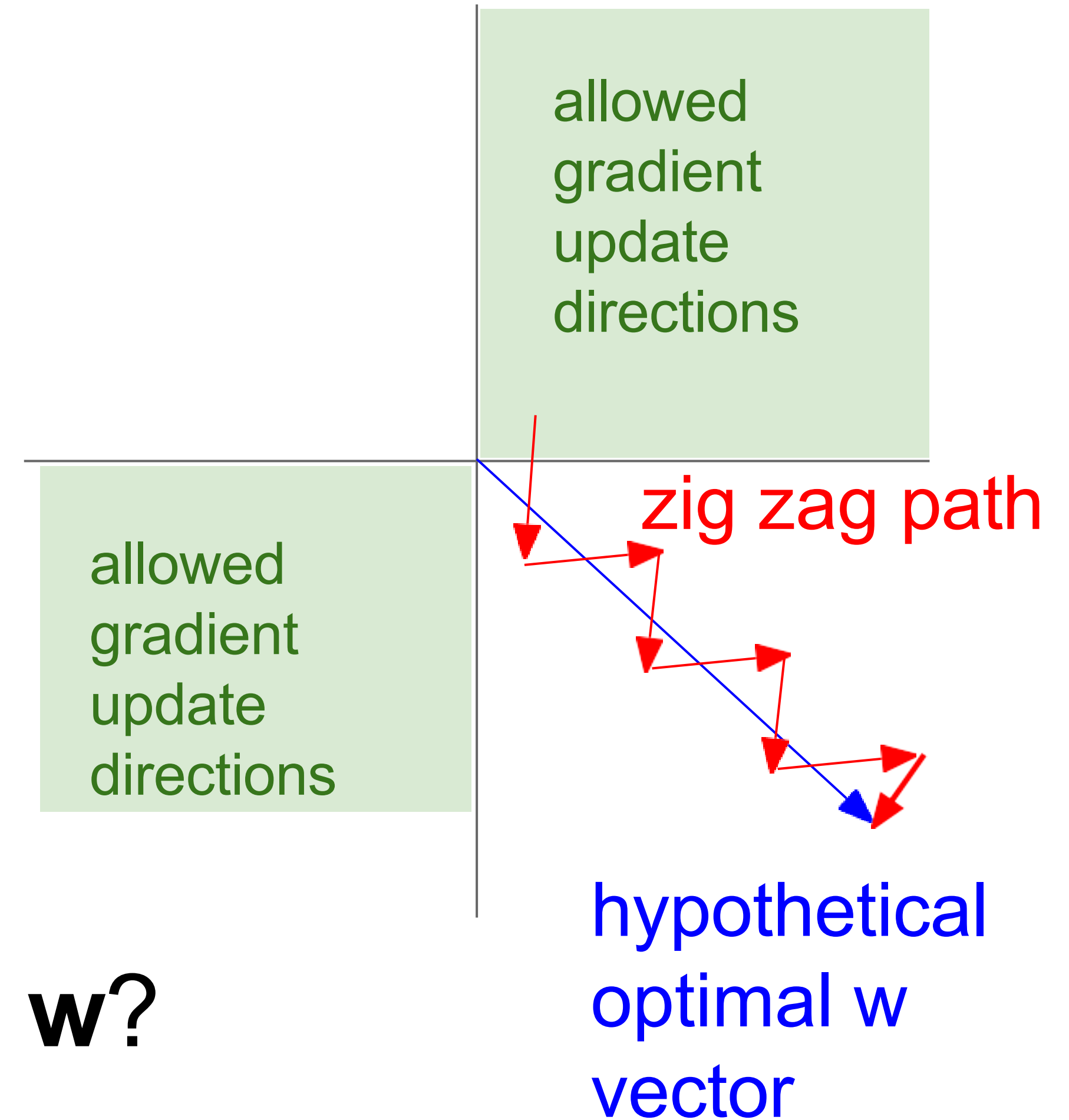


What can we say about the gradients on \mathbf{w} ?

Always all positive or all negative :(

Consider what happens when the input to a neuron is always positive...

$$f\left(\sum_i w_i x_i + b\right)$$

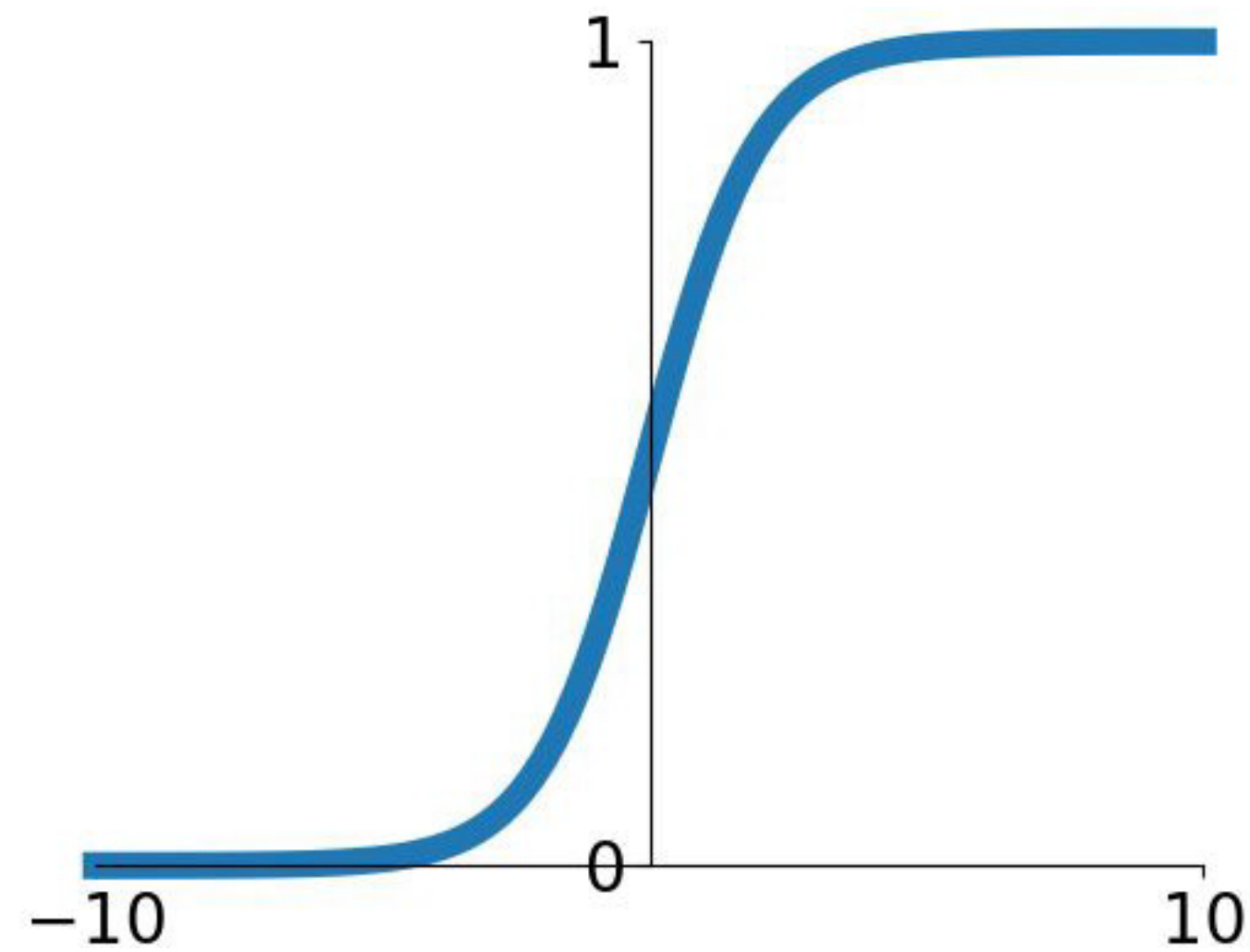


What can we say about the gradients on \mathbf{w} ?

Always all positive or all negative :(

(For a single element! Minibatches help)

Activation Functions

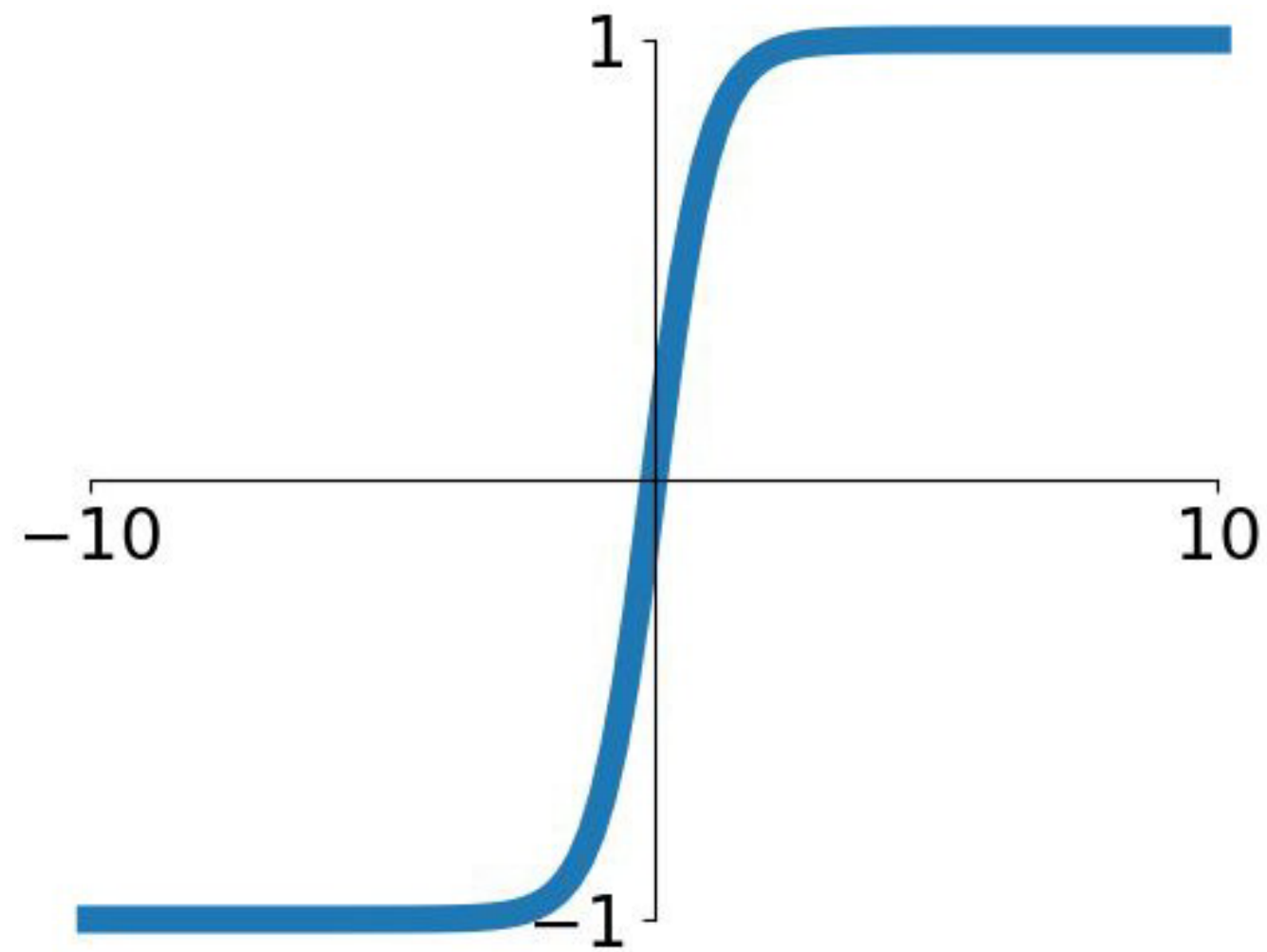


Sigmoid

$$\sigma(x) = 1 / (1 + e^{-x})$$

- Squashes numbers to range [0,1]
 - Historically popular since they have nice interpretation as a saturating “firing rate” of a neuron
1. Saturated neurons “kill” the gradients
 2. Sigmoid outputs are not zero-centered
 3. $\exp()$ is a bit compute expensive

Activation Functions

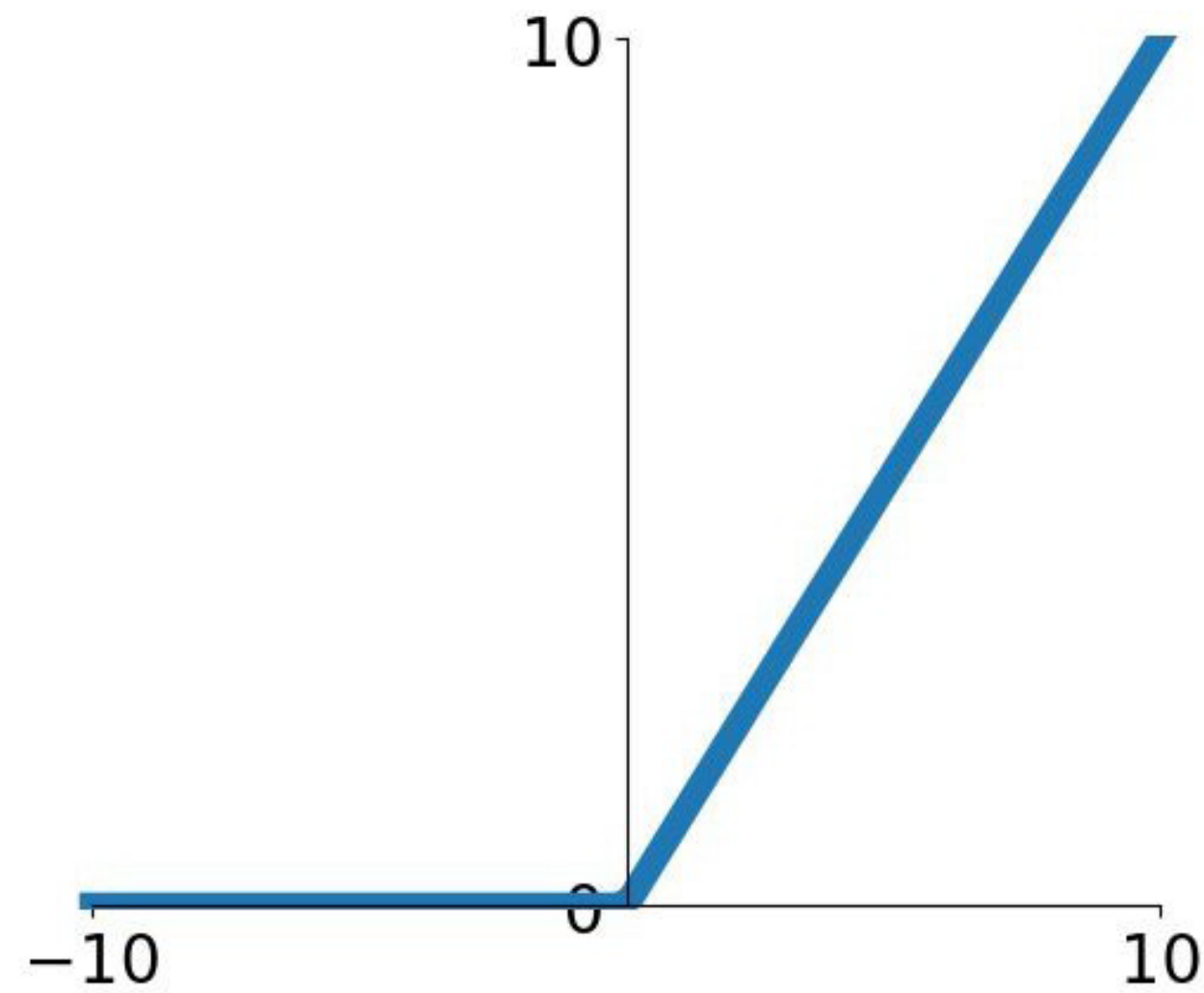


$\tanh(x)$

- Squashes numbers to range $[-1,1]$
- zero centered (nice)
- still kills gradients when saturated :(

[LeCun et al., 1991]

Activation Functions

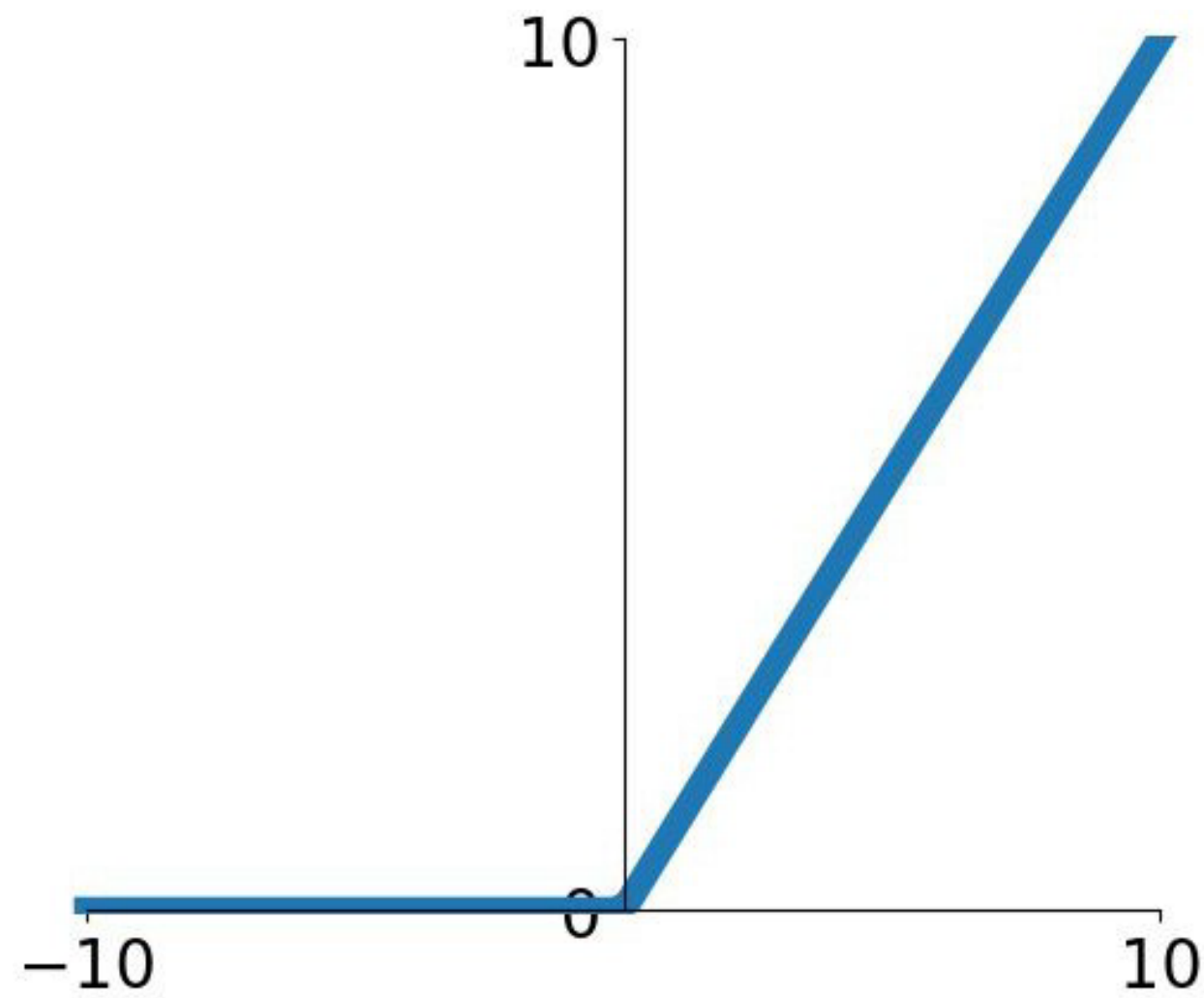


ReLU
(Rectified Linear Unit)

- Computes $f(x) = \max(0, x)$
- Does not saturate (in +region)
- Very computationally efficient
- Converges much faster than sigmoid/tanh in practice (e.g. 6x)

[Krizhevsky et al., 2012]

Activation Functions

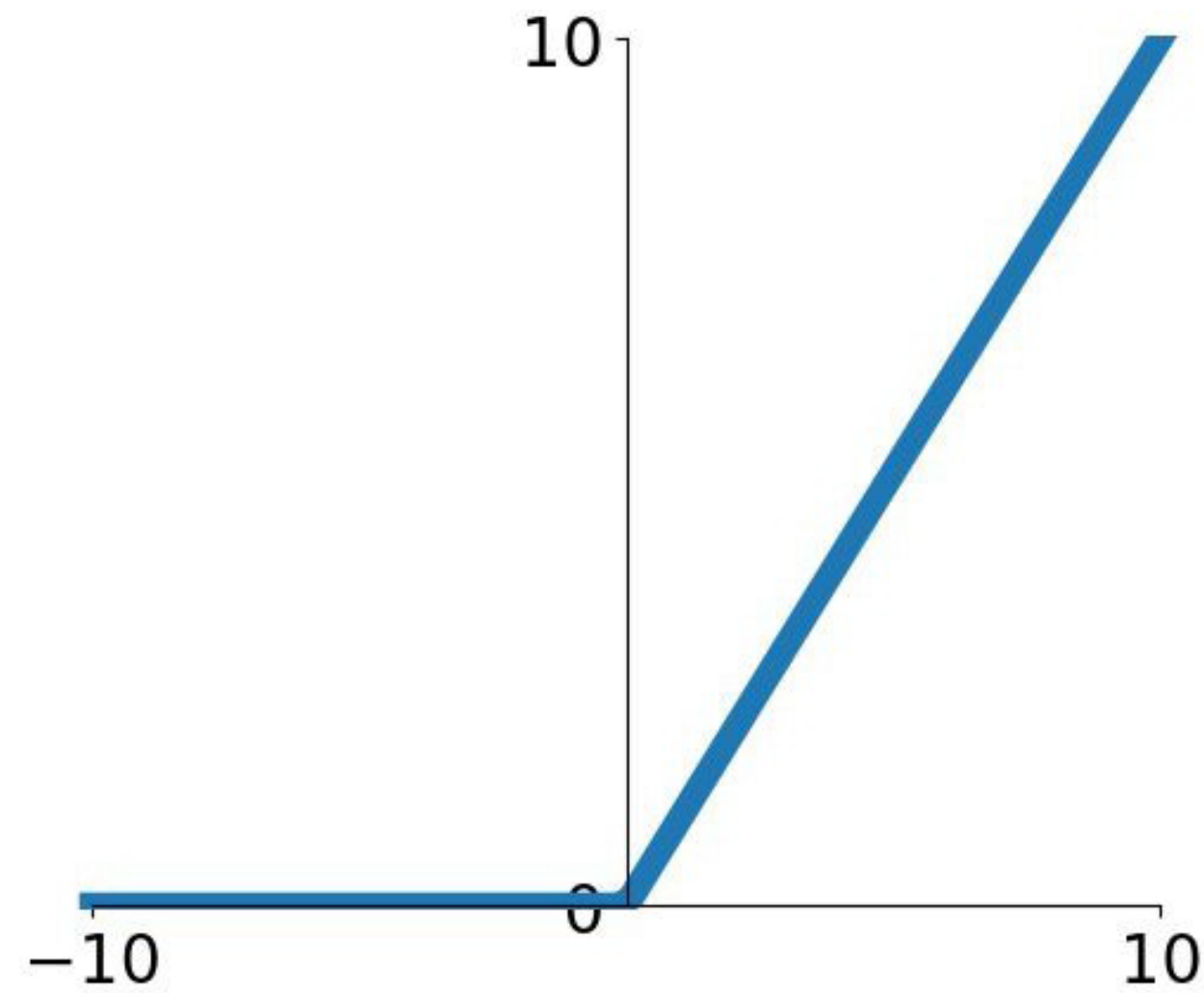


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- Not zero-centered output

Activation Functions

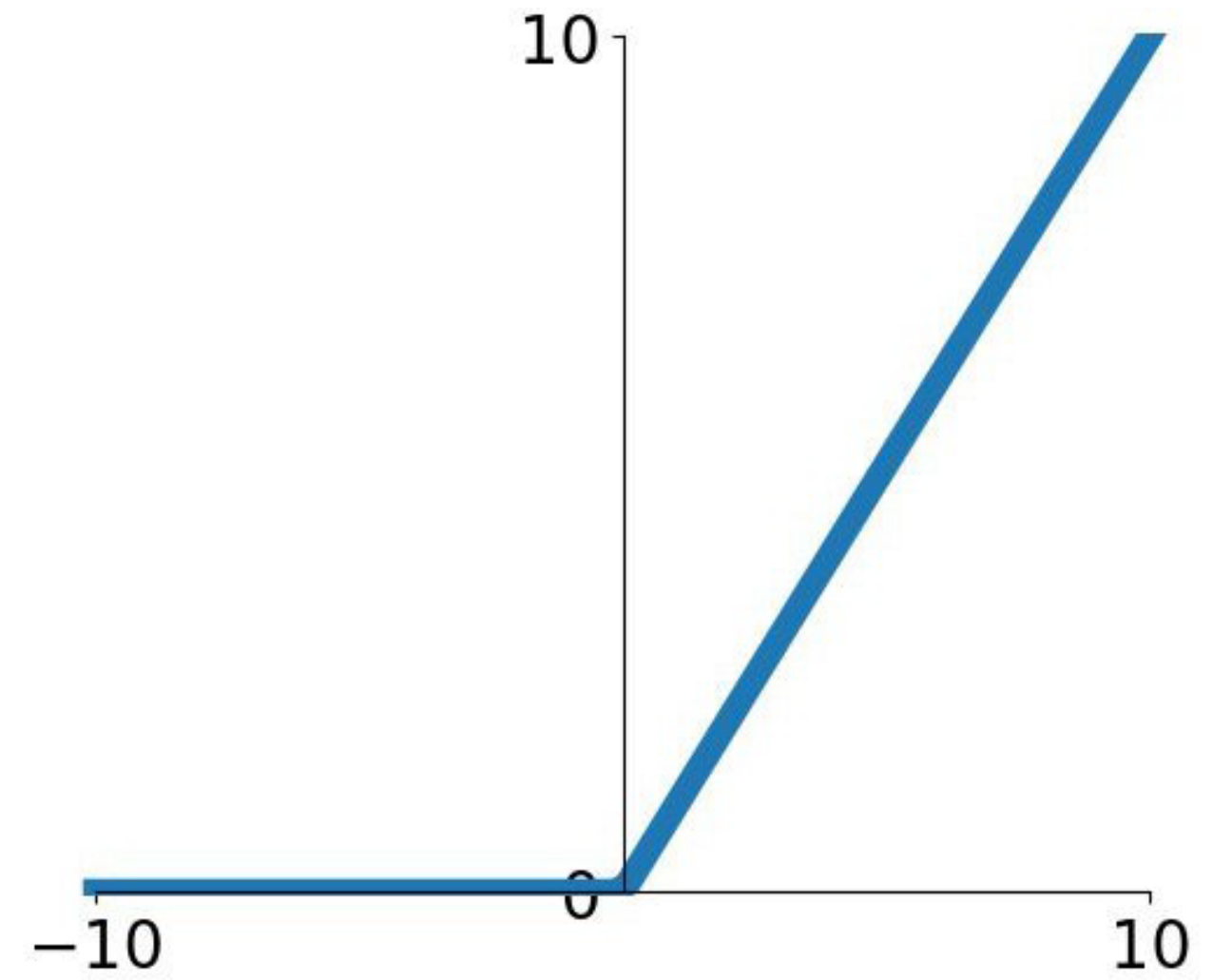
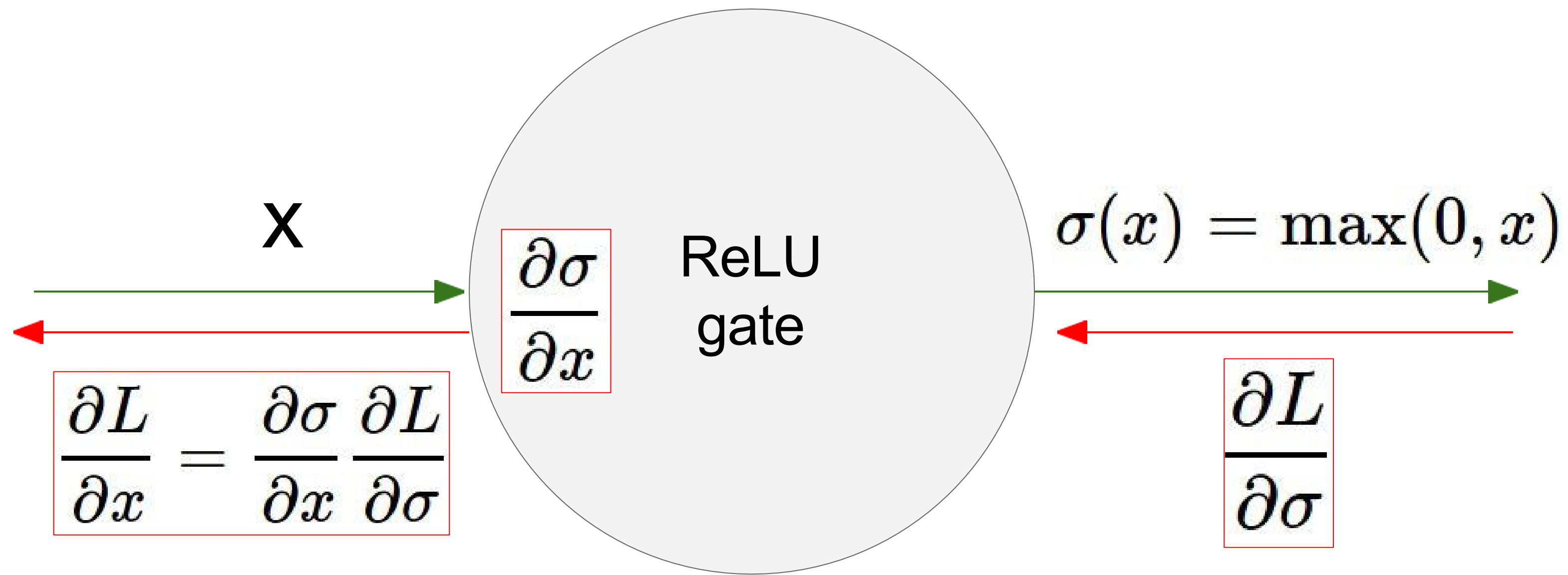


ReLU (Rectified Linear Unit)

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- Very computationally efficient
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- Not zero-centered output
- An annoyance:

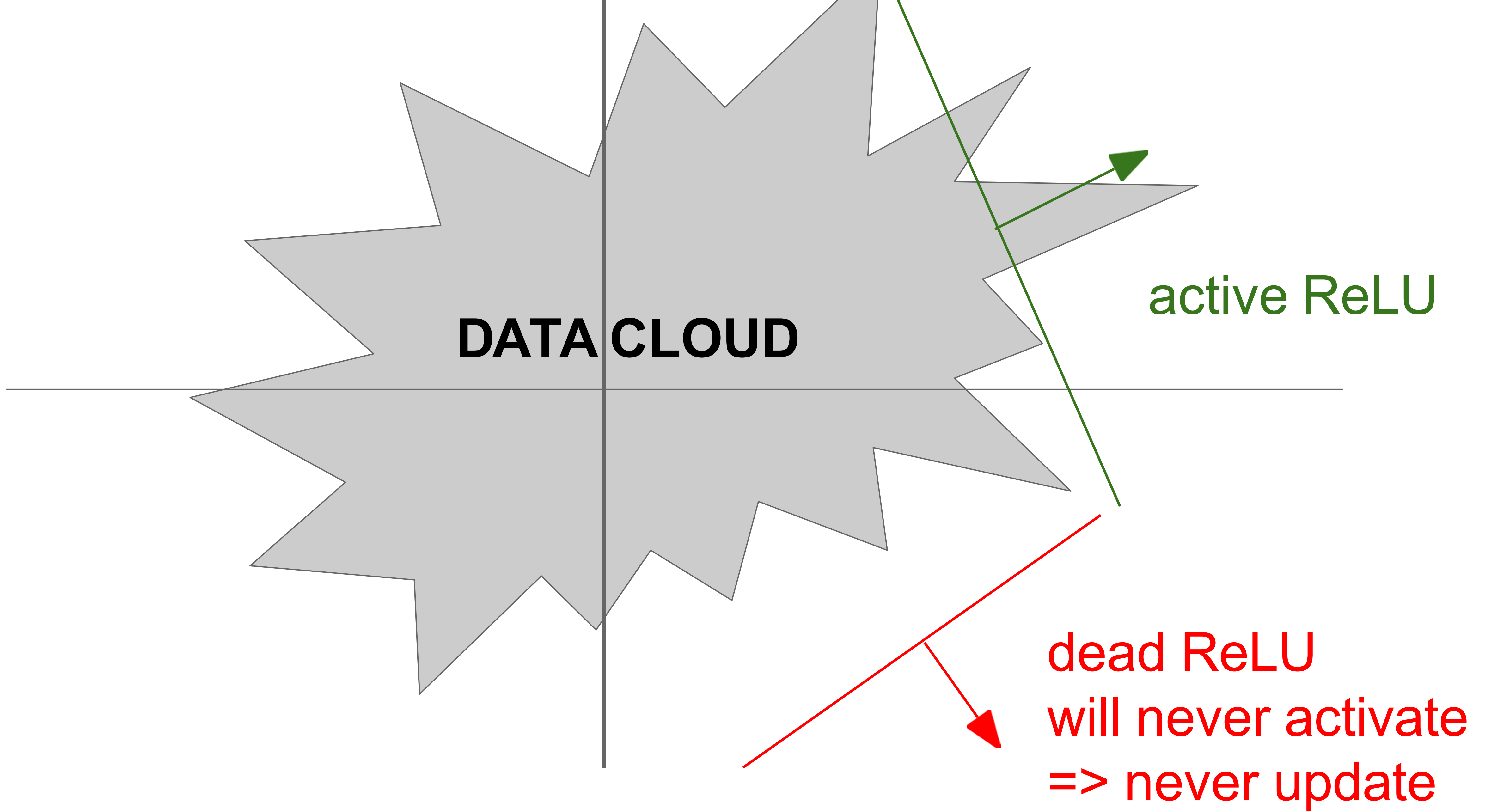
hint: what is the gradient when $x < 0$?



What happens when $x = -10$?

What happens when $x = 0$?

What happens when $x = 10$?





DATA CLOUD

active ReLU

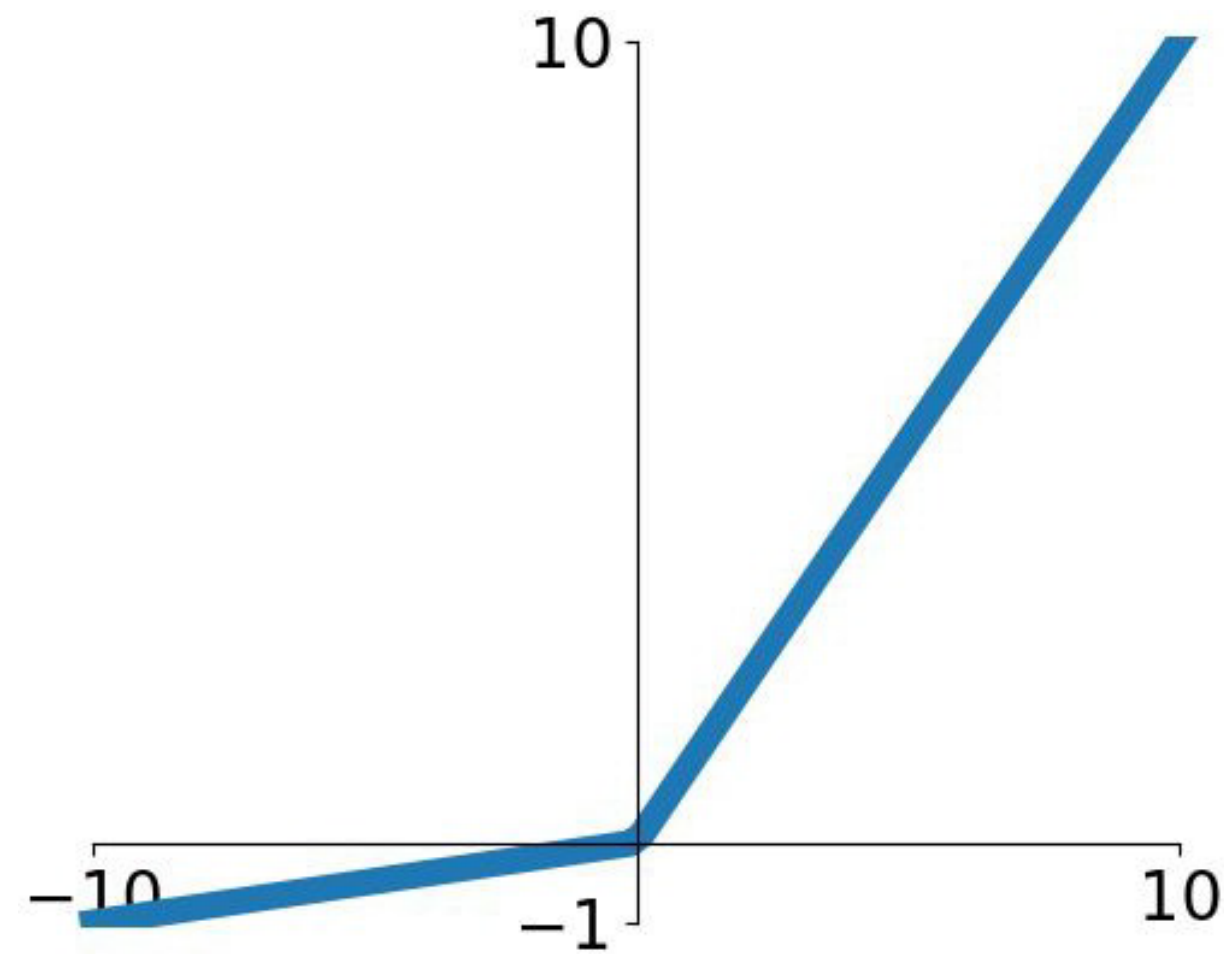
=> people like to initialize
ReLU neurons with slightly
positive biases (e.g. 0.01)

dead ReLU
will never activate
=> never update

Activation Functions

[Mass et al., 2013]

[He et al., 2015]



- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- **will not “die”.**

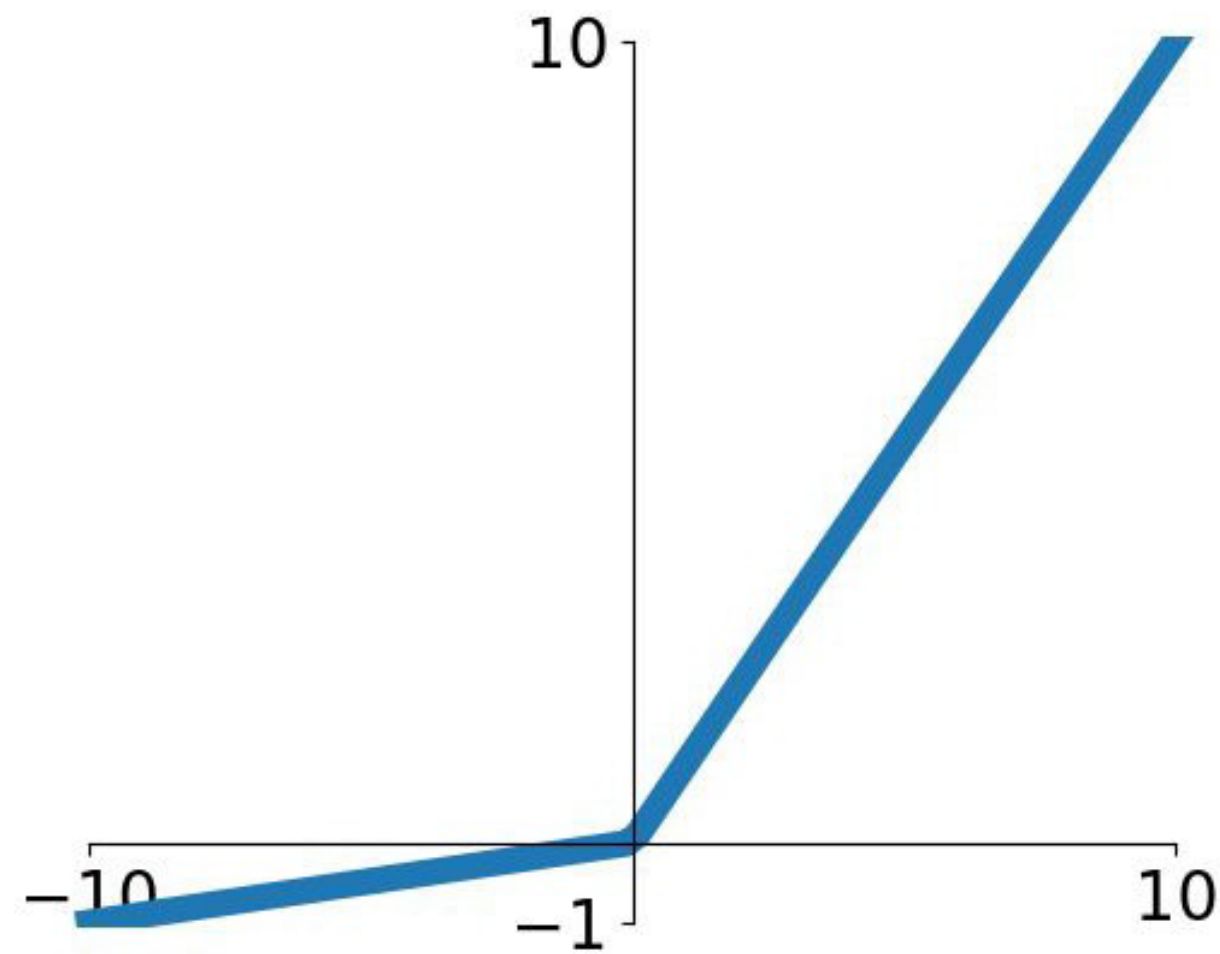
Leaky ReLU

$$f(x) = \max(0.01x, x)$$

Activation Functions

[Mass et al., 2013]

[He et al., 2015]



Leaky ReLU

$$f(x) = \max(0.01x, x)$$

- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- **will not “die”.**

Parametric Rectifier (PReLU)

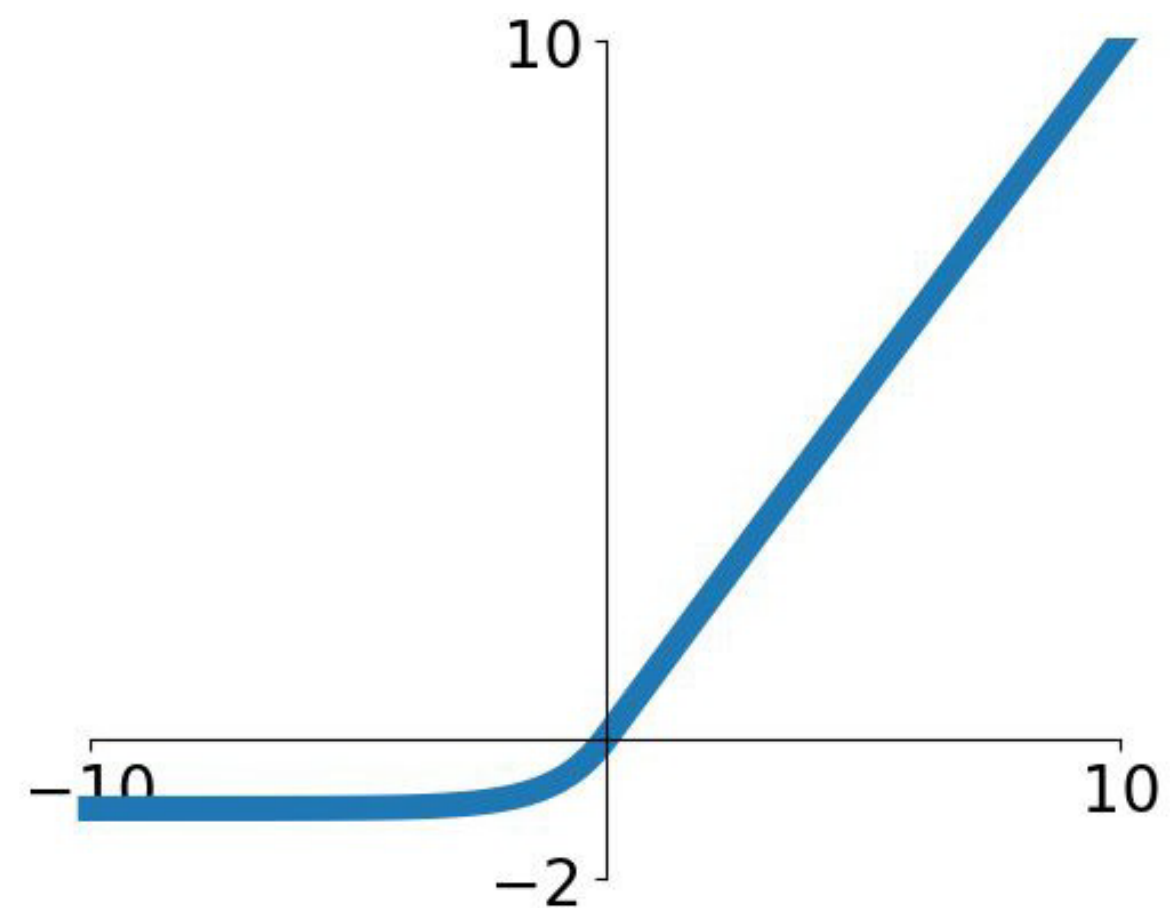
$$f(x) = \max(\alpha x, x)$$

backprop into α
(parameter)

Activation Functions

[Clevert et al., 2015]

Exponential Linear Units (ELU)



$$f(x) = \begin{cases} x & \text{if } x > 0 \\ \alpha (\exp(x) - 1) & \text{if } x \leq 0 \end{cases}$$

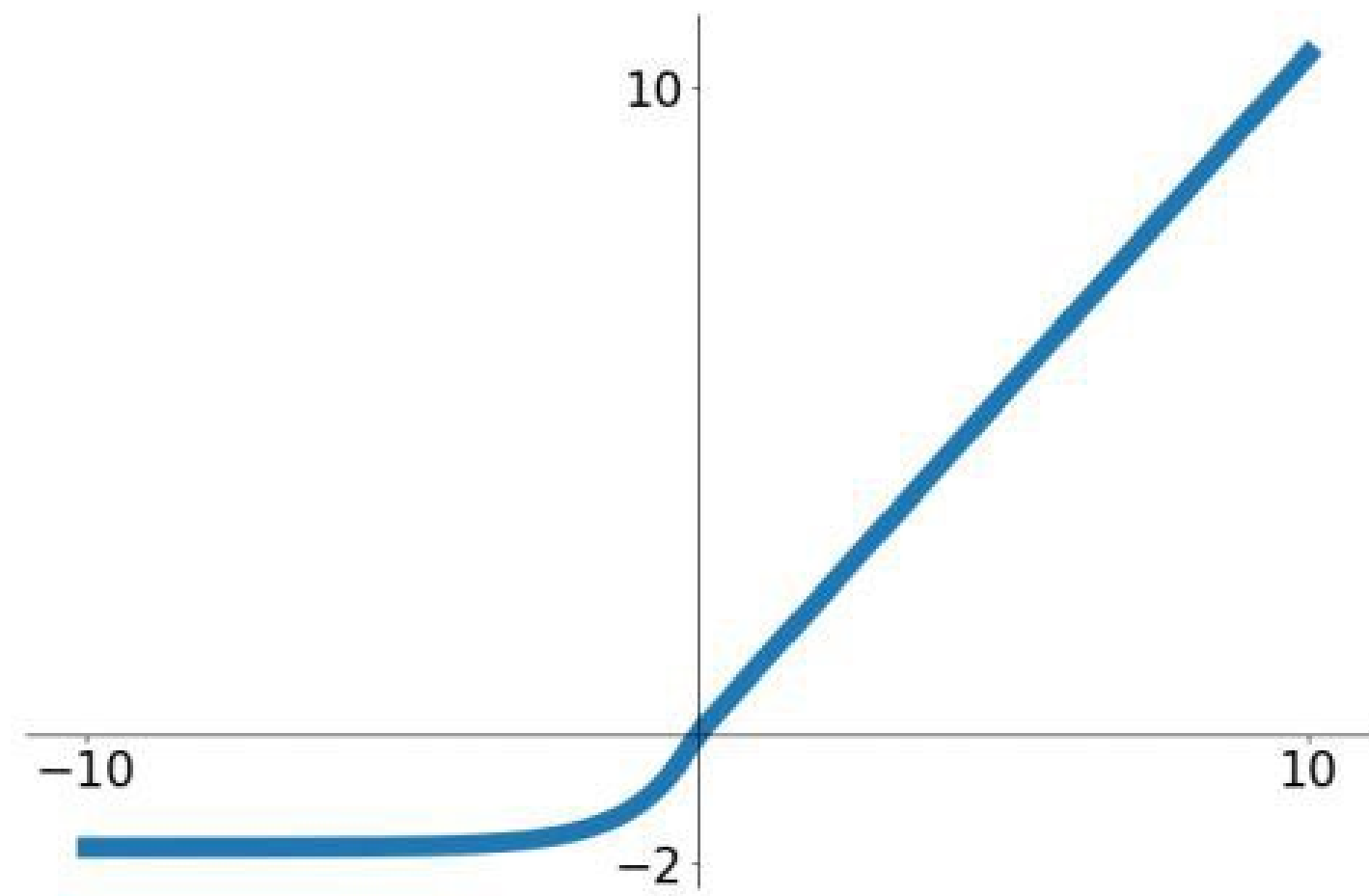
(Alpha default = 1)

- All benefits of ReLU
- Closer to zero mean outputs
- Negative saturation regime compared with Leaky ReLU adds some robustness to noise
- **Computation requires exp()**

Activation Functions

[Klambauer et al. ICLR 2017]

Scaled Exponential Linear Units (SELU)



- Scaled version of ELU that works better for deep networks
- “Self-normalizing” property;
- Can train deep SELU networks without BatchNorm
 - (will discuss more later)

$$f(x) = \begin{cases} \lambda x & \text{if } x > 0 \\ \lambda \alpha (e^x - 1) & \text{otherwise} \end{cases}$$

$$\alpha = 1.6733, \lambda = 1.0507$$

Maxout “Neuron”

[Goodfellow et al., 2013]

- Does not have the basic form of dot product -> nonlinearity
- Generalizes ReLU and Leaky ReLU
- Linear Regime! Does not saturate! Does not die!

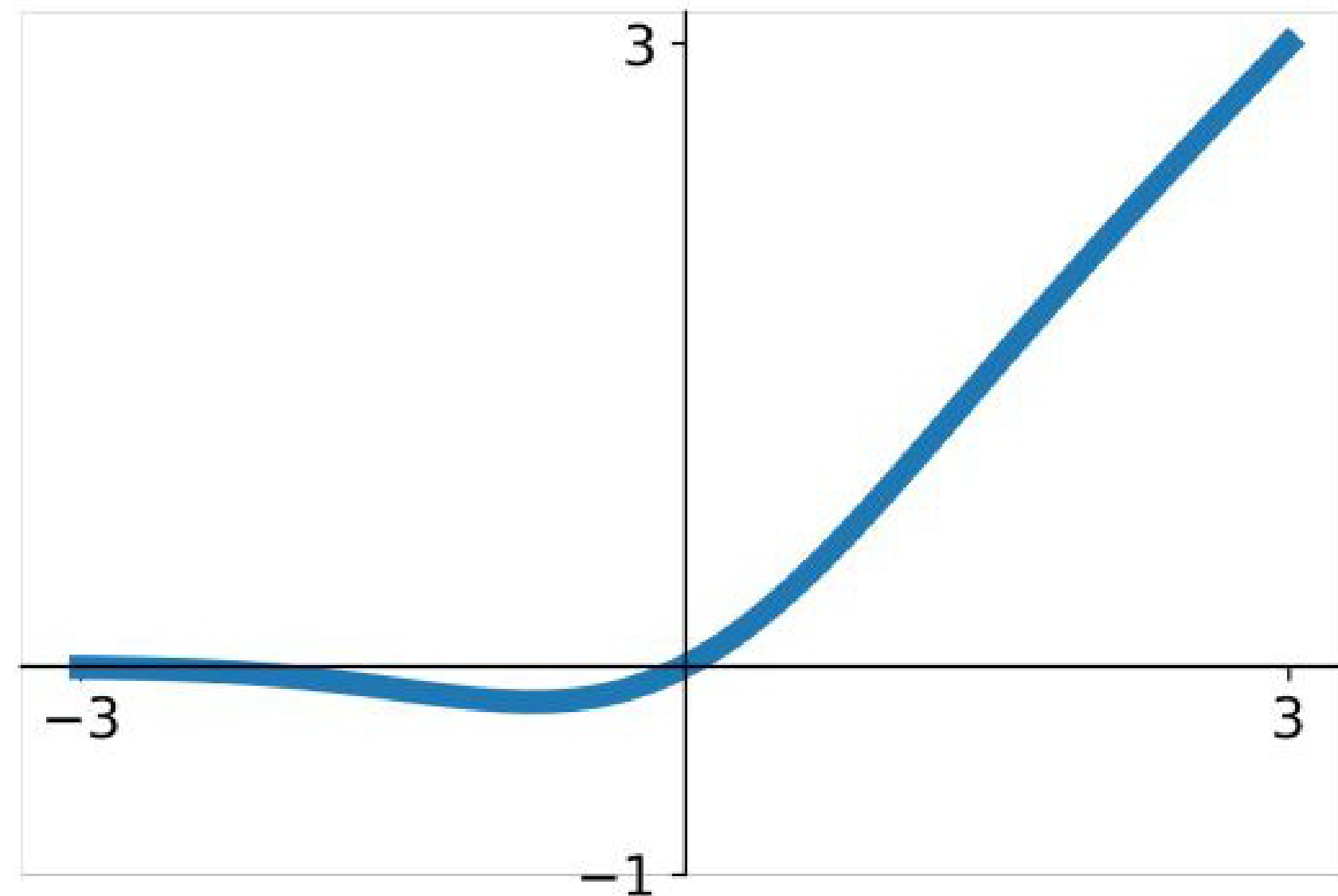
$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

Problem: doubles the number of parameters/weights :(

Activation Functions

[Hendrycks and Gimpel, Gaussian Error Linear Units (GELUs), 2016]

GeLU



$X \sim N(0, 1)$

$$\begin{aligned} \text{gelu}(x) &= xP(X \leq x) = \frac{x}{2} (1 + \text{erf}(x/\sqrt{2})) \\ &\approx x\sigma(1.702x) \end{aligned}$$

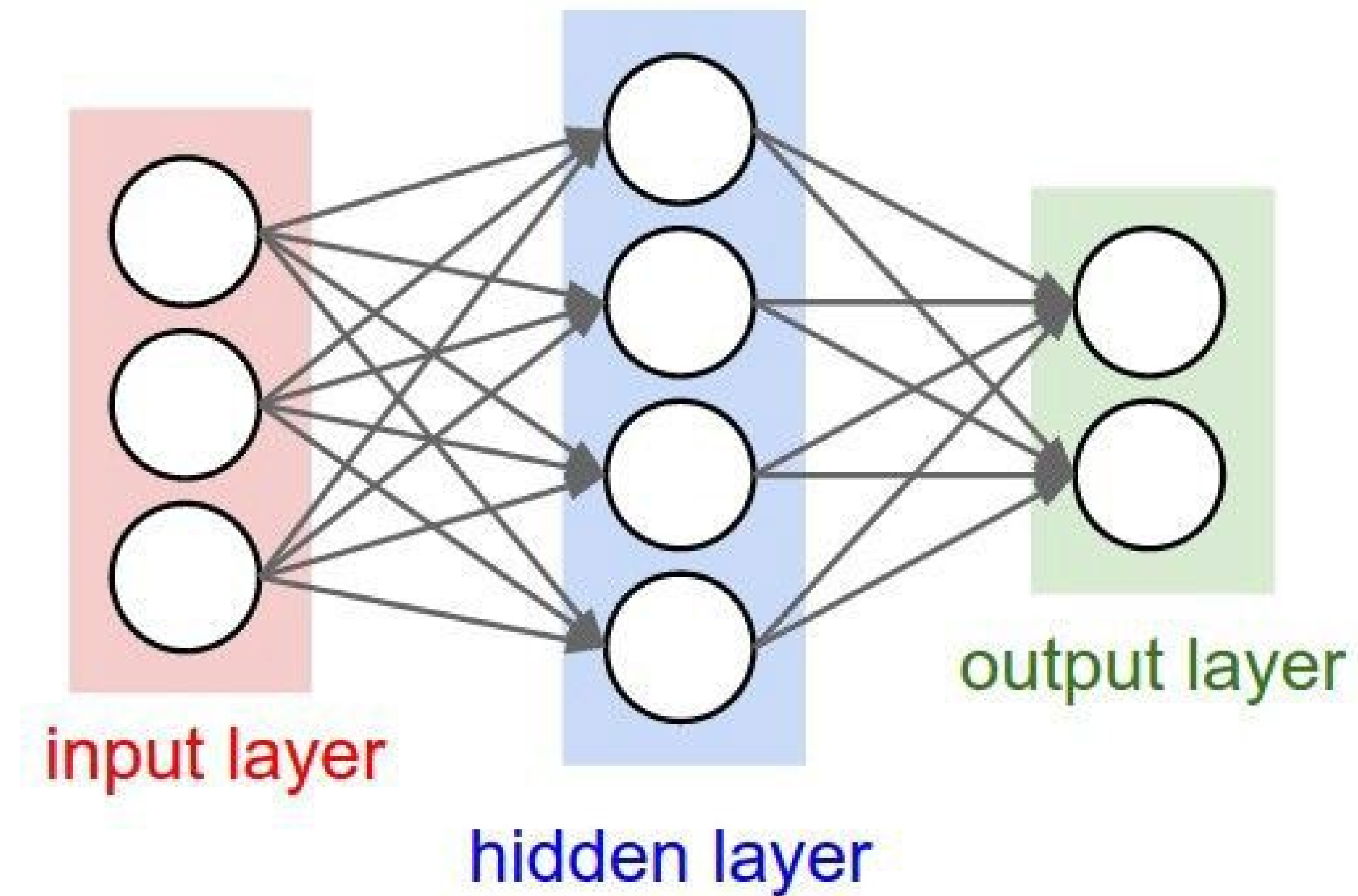
- Idea: Multiply input by 0 or 1 at random; large values more likely to be multiplied by 1, small values more likely to be multiplied by 0 (data-dependent dropout)
- Take expectation over randomness
- Common in Transformers (BERT, GPT, ViT)

TLDR: In practice:

- Use **ReLU**. Be careful with your learning rates
- Use GeLU is using transformers
- Try out **Leaky ReLU / Maxout / ELU / SELU**
 - To squeeze out some marginal gains
- Don't use **sigmoid** or **tanh**

Weight Initialization

- Q: what happens when $W=\text{constant init}$ is used?



- First idea: **Small random numbers**
(gaussian with zero mean and $1e-2$ standard deviation)

```
W = 0.01 * np.random.randn(Din, Dout)
```

- First idea: **Small random numbers**
(gaussian with zero mean and $1e-2$ standard deviation)

```
W = 0.01 * np.random.randn(Din, Dout)
```

Works ~okay for small networks, but problems with deeper networks.

Weight Initialization: Activation statistics

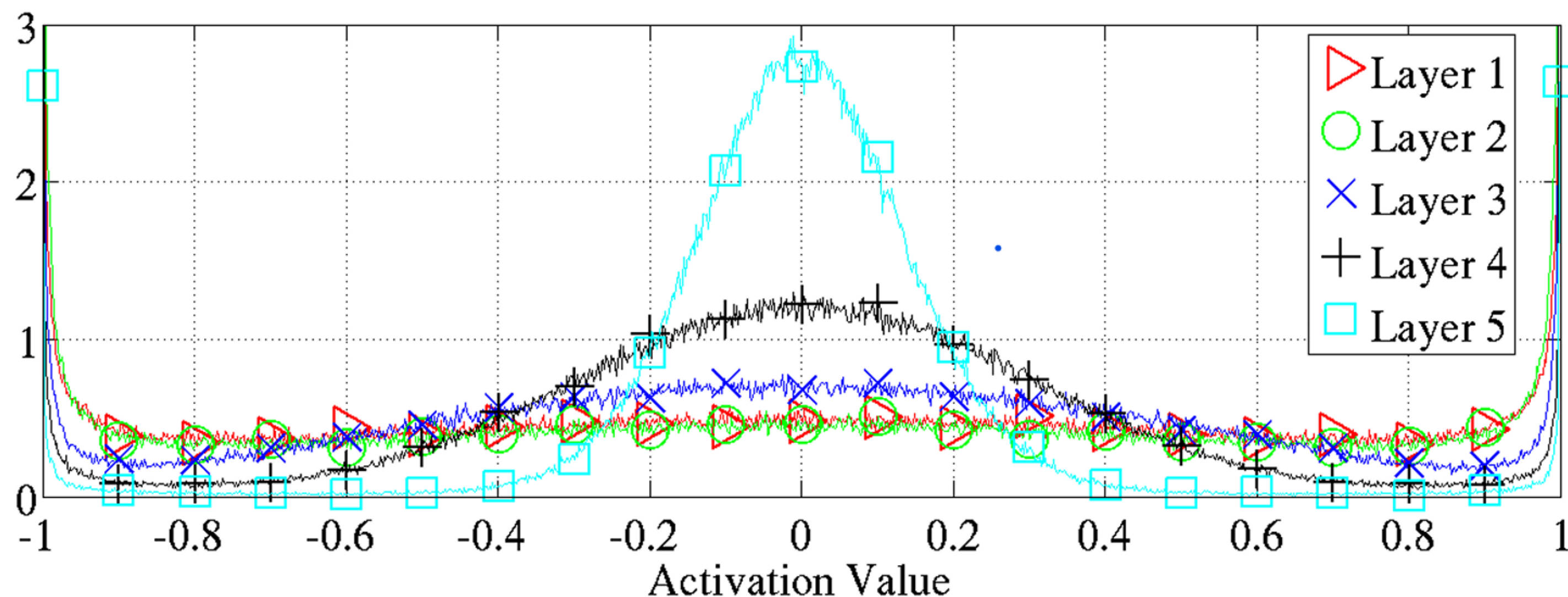
```
dims = [4096] * 7      Forward pass for a 6-layer
hs = []               net with hidden size 4096
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = 0.01 * np.random.randn(Din, Dout)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

What will happen to the activations for the last layer?

Weight Initialization: Activation statistics

```
dims = [4096] * 7      Forward pass for a 6-layer
hs = []               net with hidden size 4096
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = 0.01 * np.random.randn(Din, Dout)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

What will happen to the activations for the last layer?



dL/dW start to mostly be 0
→ no learning

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7          “Xavier” initialization:
hs = []                    std = 1/sqrt(Din)
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

Goal:

Initialize weights s.t.

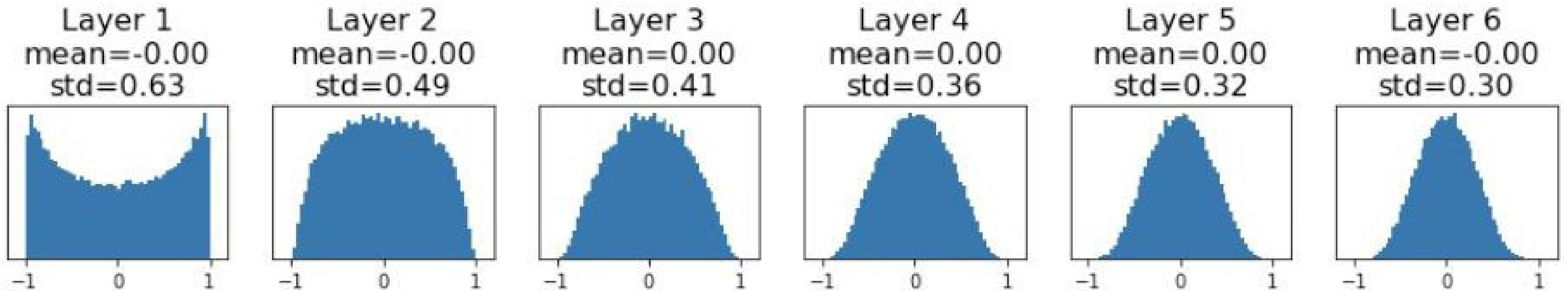
std.dev of activations are ~ same for all layers

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
 $\text{std} = 1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!



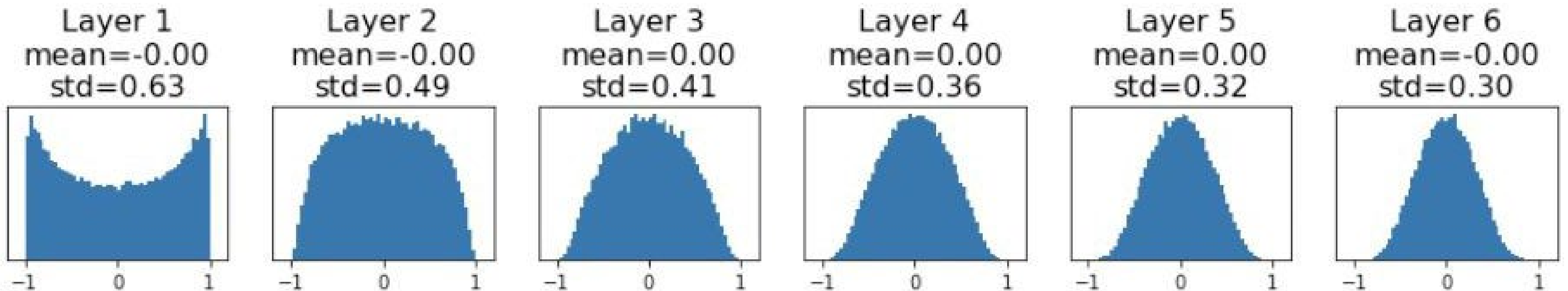
Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
 $\text{std} = 1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$



Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
std = 1/sqrt(Din)

“Just right”: Activations are nicely scaled for all layers!

For conv layers, Din is filter_size² * input_channels

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{Din} w_{Din}$

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
std = $1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{in}})$

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
std = $1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{in}})$

We want: $\text{Var}(y) = \text{Var}(x_i)$

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
std = $1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{in}})$

We want: $\text{Var}(y) = \text{Var}(x_i)$

$\text{Var}(y) = \text{Var}(x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}})$
[substituting value of y]

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
std = $1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{in}})$

We want: $\text{Var}(y) = \text{Var}(x_i)$

$$\begin{aligned}\text{Var}(y) &= \text{Var}(x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}) \\ &= D_{in} \text{Var}(x_i w_i)\end{aligned}$$

[Assume all x_i, w_i are iid]

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
 $\text{std} = 1/\sqrt{D_{\text{in}}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{\text{in}}} w_{D_{\text{in}}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{\text{in}}})$

We want: $\text{Var}(y) = \text{Var}(x_i)$

$$\begin{aligned}\text{Var}(y) &= \text{Var}(x_1 w_1 + x_2 w_2 + \dots + x_{D_{\text{in}}} w_{D_{\text{in}}}) \\ &= D_{\text{in}} \text{Var}(x_i w_i) \\ &= D_{\text{in}} \text{Var}(x_i) \text{Var}(w_i)\end{aligned}$$

[Assume all x_i, w_i are zero mean]

Weight Initialization: “Xavier” Initialization

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

“Xavier” initialization:
 $\text{std} = 1/\sqrt{D_{in}}$

“Just right”: Activations are nicely scaled for all layers!

For conv layers, D_{in} is $\text{filter_size}^2 * \text{input_channels}$

Let: $y = x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}$

Assume: $\text{Var}(x_1) = \text{Var}(x_2) = \dots = \text{Var}(x_{D_{in}})$

We want: $\text{Var}(y) = \text{Var}(x_i)$

$$\begin{aligned}\text{Var}(y) &= \text{Var}(x_1 w_1 + x_2 w_2 + \dots + x_{D_{in}} w_{D_{in}}) \\ &= D_{in} \text{Var}(x_i w_i) \\ &= D_{in} \text{Var}(x_i) \text{Var}(w_i)\end{aligned}$$

[Assume all x_i, w_i are iid]

So, $\text{Var}(y) = \text{Var}(x_i)$ only when $\text{Var}(w_i) = 1/D_{in}$

Weight Initialization: What about ReLU?

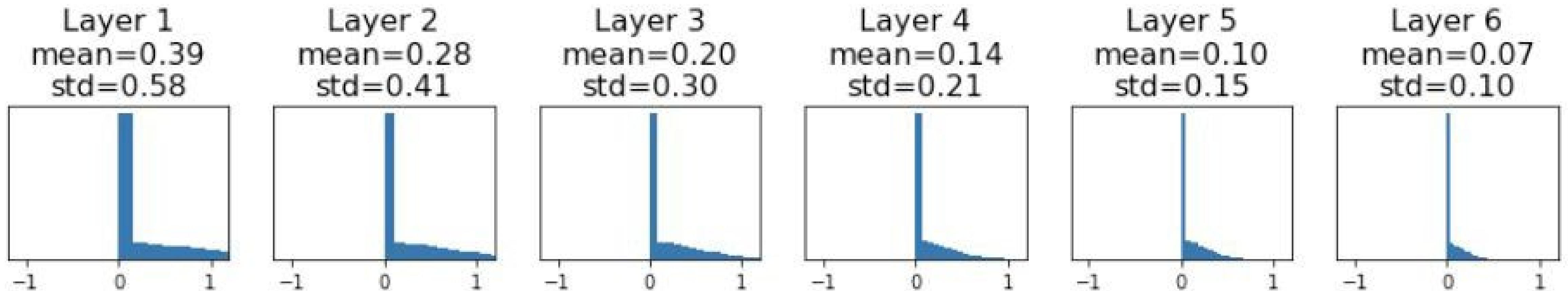
```
dims = [4096] * 7      Change from tanh to ReLU
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.maximum(0, x.dot(W))
    hs.append(x)
```

Weight Initialization: What about ReLU?

```
dims = [4096] * 7      Change from tanh to ReLU
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.maximum(0, x.dot(W))
    hs.append(x)
```

Xavier assumes zero centered activation function

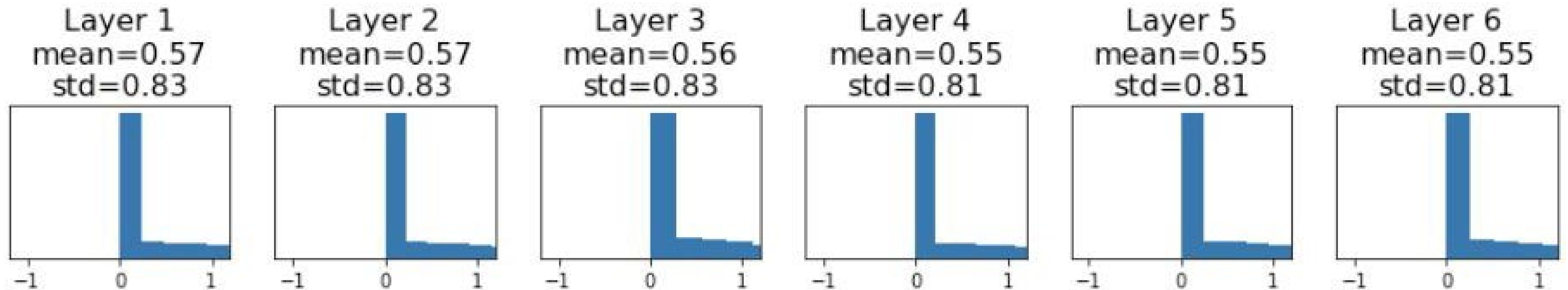
Activations collapse to zero again, no learning =(



Weight Initialization: Kaiming

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) * np.sqrt(2/Din)
    x = np.maximum(0, x.dot(W))
    hs.append(x)
```

“Just right”: Activations are nicely scaled for all layers!



Proper initialization is (was?) an active area of research...

Understanding the difficulty of training deep feedforward neural networks

by Glorot and Bengio, 2010

Exact solutions to the nonlinear dynamics of learning in deep linear neural networks by Saxe et al, 2013

Random walk initialization for training very deep feedforward networks by Sussillo and Abbott, 2014

Delving deep into rectifiers: Surpassing human-level performance on ImageNet classification by He et al., 2015

Data-dependent Initializations of Convolutional Neural Networks by Krähenbühl et al., 2015

All you need is a good init, Mishkin and Matas, 2015

Fixup Initialization: Residual Learning Without Normalization, Zhang et al, 2019

The Lottery Ticket Hypothesis: Finding Sparse, Trainable Neural Networks, Frankle and Carbin, 2019