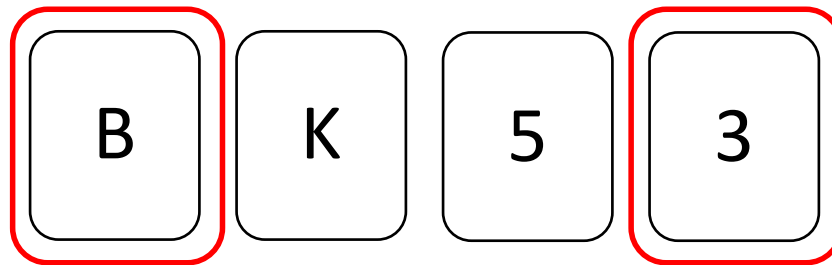


## Midterm Problems: For Fun

- I claim that every card with a B on the front has a 5 on the back. Of the following cards, how many and which do you have to flip over to tell whether my rule is true for these cards?



1

## First-Order Logic & Higher-Order Logic, Knowledge-Based Agents



*Material from Dr. Marie desJardin, Some material adopted from notes by Andreas Geyer-Schulz and Chuck Dyer*

2

## Bookkeeping

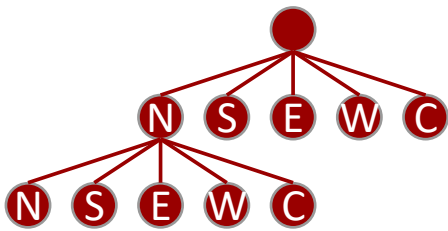
- HW4 out on 10/31 🍁🔥, due 11/20
- **No HW5**—effort should be going into project at this point
- Designs will be graded this week—read the comments!
  - Some people will be asked to turn in a second version
  - Please do this ASAP
- Today's class:
  - Midterm review (quickly)
  - Review/finalize propositional logic
  - Converting to CNF
  - First-order logic
  - Knowledge-based agents

3

## Midterm Problems: Agents and Search

- Possible actions are N, S, E, W, and Clean
  - So branching factor is...
- For search algorithms, the “and why” mattered
  - Did you convey an understanding of the algorithm and how it would work in this world?
  - **Given that the robot must interleave exploration and action**, how would (e.g.) DFS work?

	1	2	3	4	5
A	?	?	?	?	?
B	?	?	?	?	?
C					?
D			🏠		?
E	🏠	👁️		🏠	?
F	🏠				?



UCS: **complete** with path costs of 0?

4

## Midterm Problems: Local Search

- State space
  - What is a single state (like the starting state)?
  - And another one you can get to from there?
  - What then is the set of all possible states?
  -
- Core operation is...
- Evaluation function should measure **for any given state** whether that state is “good”—e.g., how many boxes are filled? Is everything in a box?
- Most local search algorithms work; all have local minima



5

## Midterm Problems: Constraint Satisfaction

- What are the random variables?
  - That is, what are you trying to assign values to?
- What's the domain? That is, what values can they take?
- What are the **explicit** constraints? What do we know about values?

	B	A	S	E
+	B	A	L	L
	G	A	M	E

6

## Midterm Problems: Constraint Satisfaction

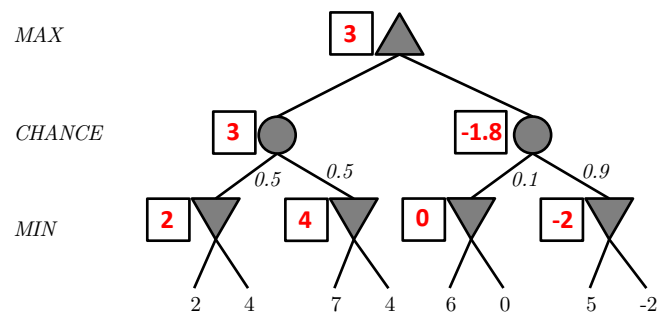
- What's the Minimum Remaining Values heuristic?
  - Start by assigning values to variables that have the fewest remaining available values
- How would you apply it here?
  - Take one of B, A, S, E, L, G, M; which has fewest available values?
- 

	B	A	S	E	
+	B	A	L	L	
	G	A	M	E	S

7

## Midterm Problems: Expectiminimax

- Utility values are backed up the tree, multiplied by the appropriate chance value
- 15 (alpha-beta pruning) was **not graded or counted**—chance nodes mean you need bounds on the evaluation function to prune anything (this is in the book)



8

## Midterm Problems: Nash Equilibria

- Some people got confused with Pareto Optimality (not the same thing!)
- No player benefits by **unilaterally** changing strategy while others stay fixed
- Nash equilibria are +1/-1. Let's go case by case:
  - 
  - 
  - 
  -

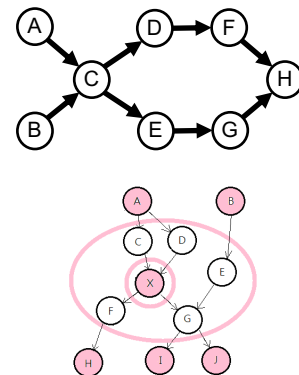
	Straight	Swerve
Straight	-10, -10	+1, -1
Swerve	-1, +1	0, 0

.ht

9

## Midterm Problems: Bayesian Belief Networks

- Capture relationships among nodes: **directed** edges mean a node is influenced by another node
  - Eating questionable food increases the odds of having an upset stomach
- A node is conditionally independent of other nodes in the network given its parents, children, and children's parents (its Markov blanket)
  - Is  $D \perp\!\!\!\perp E \mid A, B$ ? No—they share a parent
  - Is  $A \perp\!\!\!\perp C \mid D$ ? No—C is a child of A
  - Is  $A \perp\!\!\!\perp H \mid C$ ? Yes—C “deactivates” the connection



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## Midterm Problems: Bayesian Belief Networks

$$P(A, D \mid B) =$$

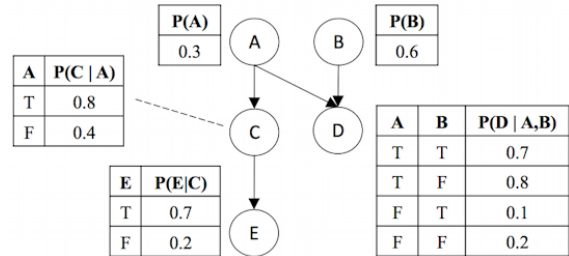
$$P(D \mid A, B) P(A \mid B) =$$

$$P(D \mid A, B) P(A) =$$

(since A and B are independent)

$$0.8 * 0.3 = 0.24$$

- Please consider 21 and try to work through it, **given independences**
  - Start with  $P(A \mid C) = P(C \mid A)P(A) / P(C)$



11

## First-Order Logic

Chapter 8

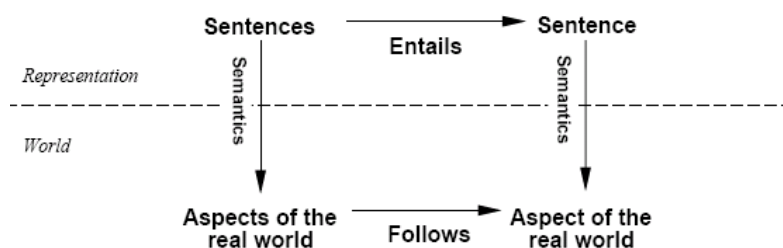
12

## Review

- Definitions:
  - Syntax, Semantics, Sentences, Propositions, Entails, Follows, Derives, Inference, Sound, Complete, Model, Satisfiable, Valid (or Tautology), etc.
- Syntactic Transformations:
  - E.g.,  $(A \Rightarrow B) \Leftrightarrow (\neg A \vee B)$
- Truth Tables
  - Negation, Conjunction, Disjunction, Implication, Equivalence (Biconditional)
  - Inference by Model Enumeration

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## Review: Schematic perspective



*If KB is true in the real world,  
then any sentence  $\alpha$  **entailed** by KB  
is also true in the real world.*

For example: If I tell you (1) Sue is Mary's sister, and (2) Sue is Amy's mother, then it necessarily follows in the world that Mary is Amy's aunt, even though I told you nothing at all about aunts. This sort of reasoning pattern is what we hope to capture.

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## Review: Logic

---

- If a problem domain can be represented formally, then a decision maker can use **logical reasoning** to make rational decisions
- Many types of logic
  - Propositional Logic (Boolean logic)
  - First-Order Logic (aka first-order predicate calculus)
  - Non-Monotonic Logic
  - Markov Logic
- A logic includes:
  - syntax: what is a correctly-formed sentence?
  - semantics: what is the meaning of a sentence?
  - Inference procedure (reasoning, entailment): what sentence logically follows given knowledge?

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## Review: Propositional Logic

---

- A **symbol** in Propositional Logic (PL) is a symbolic variable whose value must be either True or False, and which stands for a natural language statement that could be either true or false
  - A = "Smith has chest pain"
  - B = "Smith is depressed"
  - C = "It is raining"

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## Review: Semantics

- An **interpretation** is a complete True / False assignment to all propositional symbols
  - Example symbols: P means "It is hot", Q means "It is humid", R means "It is raining"
  - There are 8 interpretations (TTT, ..., FFF)
- The semantics (meaning) of a sentence is the set of interpretations in which the sentence evaluates to True
- Example: the semantics of the sentence  $P \vee Q$  is the set of 6 interpretations:
  - $P=\text{True}, Q=\text{True}, R=\text{True or False}$
  - $P=\text{True}, Q=\text{False}, R=\text{True or False}$
  - $P=\text{False}, Q=\text{True}, R=\text{True or False}$
- **A model of a set of sentences is an interpretation in which all the sentences are true**

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## Review: Knowledge Base (KB)

- A knowledge base, KB, is a set of sentences
  - Example KB:
    - $\text{HaveLecture} \Leftrightarrow (\text{TodayIsTuesday} \vee \text{TodayIsThursday})$
    - $\neg \text{HaveLecture}$
- It is equivalent to a single long sentence: the conjunction of all sentences
  - $(\text{HaveLecture} \Leftrightarrow (\text{TodayIsTuesday} \vee \text{TodayIsThursday})) \wedge \neg \text{HaveLecture}$
- **A model of a KB is an interpretation in which all sentences in KB are true**

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## Review: Entailment

- **Entailment** is the relation of a sentence  $\beta$  *logically following* from other sentences  $\alpha$  (e.g., KB):  $\alpha \models \beta$
- $\alpha \models \beta$  if and only if, in every interpretation in which  $\alpha$  is true,  $\beta$  is also true; i.e., whenever  $\alpha$  is true, so is  $\beta$
- Deduction theorem:  $\alpha \models \beta$  if and only if  $\alpha \Rightarrow \beta$  is valid (always true)
- Proof by contradiction (refutation, *reductio ad absurdum*):  $\alpha \models \beta$  if and only if  $\alpha \wedge \neg\beta$  is unsatisfiable
- There are  $2^n$  interpretations to check, if KB has  $n$  symbols

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## Review: Entailment vs. Inference

- If your knowledge base KB entails  $p$ , then all interpretations that evaluate KB to True also evaluate  $p$  to True
  - (interpretation = assignment of 'true' or 'false' values to variables)
  - $KB \models p$
- Inference is a procedure for deriving a new sentence  $q$  from KB following some algorithm
  - $KB \vdash q$
  - Inference is **sound** if it derives only sentences that are entailed by the KB
  - Inference is **complete** if anything entailed by the KB can also be inferred from the KB

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## So—how do we stay correct?

**Is this inference correct?**

**How do you know?**  
**How can you tell?**

How can we **make correct** inferences?  
How can we **avoid incorrect** inferences?



"Einstein Simplified:  
Cartoons on Science"  
by Sydney Harris, 1992,  
Rutgers University  
Press

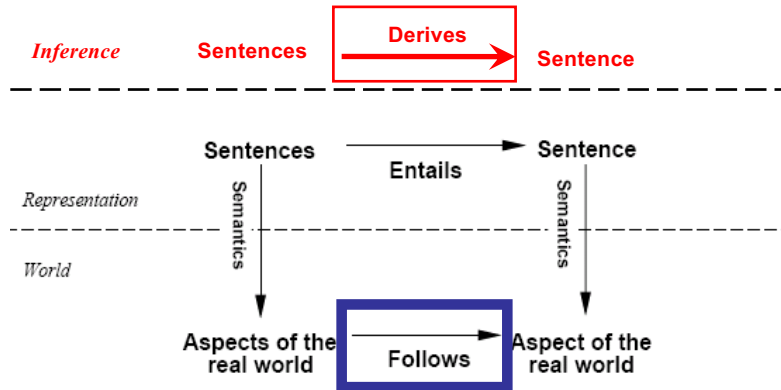
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## So—how do we stay correct?

- All men are people;
- Half of all people are women;
- Therefore, half of all men are women. **Is this inference correct?**
- Penguins are black and white;
- Some old TV shows are black and white;
- Therefore, some penguins are old TV shows. **How do you know?**  
**How can you tell?**

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## Schematic perspective



*If KB is true in the real world,  
then any sentence  $\alpha$  derived from KB  
by a sound inference procedure  
is also true in the real world.*

23

## Conjunctive Normal Form (CNF)

We'd like to prove:  $KB \models \alpha$   
(This is equivalent to  $KB \wedge \neg \alpha$  is unsatisfiable.)

We first rewrite  $KB \wedge \neg \alpha$  into **conjunctive normal form (CNF)**.

A "conjunction of disjunctions"

$$(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$$

Clause

Clause

literals

- Any KB can be converted into CNF.

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## Review: Equivalence & Implication

- Equivalence is a conjoined double implication
  - $(X \Leftrightarrow Y) = [(X \Rightarrow Y) \wedge (Y \Rightarrow X)]$
- Implication is (NOT antecedent OR consequent)
  - $(X \Rightarrow Y) = (\neg X \vee Y)$
  - How do we know this is true?
  - We can always use a truth table:

p	q	$\neg p$	$p \Rightarrow q$	$\neg p \vee q$
T	T	F	T	T
T	F	F	F	F
F	T	T	T	T
F	F	T	T	T

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## Review: de Morgan's rules

- How to bring  $\neg$  inside parentheses
  - (1) Negate everything inside the parentheses
  - (2) Change operators to "the other operator"
- $\neg(X \wedge Y \wedge \dots \wedge Z) = (\neg X \vee \neg Y \vee \dots \vee \neg Z)$
- $\neg(X \vee Y \vee \dots \vee Z) = (\neg X \wedge \neg Y \wedge \dots \wedge \neg Z)$

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## Review: Boolean Distributive Laws

- Both of these laws are valid:
- AND distributes over OR
  - $X \wedge (Y \vee Z) = (X \wedge Y) \vee (X \wedge Z)$
  - $(W \vee X) \wedge (Y \vee Z) = (W \wedge Y) \vee (X \wedge Y) \vee (W \wedge Z) \vee (X \wedge Z)$
- OR distributes over AND
  - $X \vee (Y \wedge Z) = (X \vee Y) \wedge (X \vee Z)$
  - $(W \wedge X) \vee (Y \wedge Z) = (W \vee Y) \wedge (X \vee Y) \wedge (W \vee Z) \wedge (X \vee Z)$

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## Conjunctive Normal Form (CNF)

1. Replace all  $\Leftrightarrow$  using iff/biconditional elimination
  - $\alpha \Leftrightarrow \beta \equiv (\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)$
2. Replace all  $\Rightarrow$  using implication elimination
  - $\alpha \Rightarrow \beta \equiv \neg \alpha \vee \beta$
3. Move all negations inward using
  - double-negation elimination
    - $\neg(\neg \alpha) \equiv \alpha$
  - de Morgan's rule
    - $\neg(\alpha \vee \beta) \equiv \neg \alpha \wedge \neg \beta$
    - $\neg(\alpha \wedge \beta) \equiv \neg \alpha \vee \neg \beta$
4. Apply distributivity of  $\vee$  over  $\wedge$ 
  - $\alpha \wedge (\beta \vee \gamma) \equiv (\alpha \wedge \beta) \vee (\alpha \wedge \gamma)$  + 1 more

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## Example Conversion of Sentence into CNF

- $A \Leftrightarrow (B \vee C)$  starting sentence
- $(A \Rightarrow (B \vee C)) \wedge ((B \vee C) \Rightarrow A)$  iff/biconditional elimination
- $(\neg A \vee B \vee C) \wedge (\neg(B \vee C) \vee A)$  implication elimination
- $(\neg A \vee B \vee C) \wedge ((\neg B \wedge \neg C) \vee A)$  move negations inward
- $(\neg A \vee B \vee C)$   $\wedge (\neg B \vee A) \wedge (\neg C \vee A)$  distribute  $\vee$  over  $\wedge$

called a  
"clause"

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## Example: Conversion to CNF

**Example:**  $B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$

1. Eliminate  $\Leftrightarrow$  by replacing  $\alpha \Leftrightarrow \beta$  with  $(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)$ .  
 $= (B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$
2. Eliminate  $\Rightarrow$  by replacing  $\alpha \Rightarrow \beta$  with  $\neg \alpha \vee \beta$  and simplify.  
 $= (\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg(P_{1,2} \vee P_{2,1}) \vee B_{1,1})$
3. Move  $\neg$  inwards using de Morgan's rules and simplify.  

$$\neg(\alpha \vee \beta) \equiv (\neg \alpha \wedge \neg \beta), \neg(\alpha \wedge \beta) \equiv (\neg \alpha \vee \neg \beta)$$
 $= (\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge ((\neg P_{1,2} \wedge \neg P_{2,1}) \vee B_{1,1})$
4. Apply distributive law ( $\wedge$  over  $\vee$ ) and simplify.  
 $= (\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg P_{1,2} \vee B_{1,1}) \wedge (\neg P_{2,1} \vee B_{1,1})$

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## Example: Conversion to CNF

- Example:  $B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$
- From the previous slide we had:
  - $= (\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg P_{1,2} \vee B_{1,1}) \wedge (\neg P_{2,1} \vee B_{1,1})$
- 5. KB is the conjunction of all of its sentences (all are true),
  - so write each clause (disjunct) as a sentence in KB:
- KB =
 

...  
 $(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1})$   
 $(\neg P_{1,2} \vee B_{1,1})$   
 $(\neg P_{2,1} \vee B_{1,1})$   
 ...

Often, Won't Write " $\wedge$ "  
 (we know it is there)
- Can do this in Propositional Logic, but often we want to use First Order Logic

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## First-Order Logic

- First-order logic (FOL) models the world in terms of
  - **Objects**, which are things with individual identities
  - **Properties** of objects that distinguish them from other objects
  - **Relations** that hold among sets of objects
  - **Functions**, which are a subset of relations where there is only one "value" for any given "input"
- Examples:
  - Objects: students, lectures, companies, cars ...
  - Relations: brother-of, bigger-than, outside, part-of, has-color, occurs-after, owns, visits, precedes, ...
  - Properties: blue, oval, even, large, ...
  - Functions: father-of, best-friend, second-half, one-more-than ...

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## FOL Contains

---

- **Constant symbols**, which represent individuals in the world
  - Mary
  - 3
  - Green
- **Function symbols**, which map individuals to individuals
  - father-of(Mary) = John
  - color-of(Sky) = Blue
- **Predicate symbols**, which map individuals to truth values
  - greater(5,3)
  - green(Grass)
  - color(Grass, Green)

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## FOL Contains

---

- **Variable symbols**
  - E.g., x, y, foo
- **Connectives**
  - Same as in PL: not ( $\neg$ ), and ( $\wedge$ ), or ( $\vee$ ), implies ( $\Rightarrow$ ), if and only if (biconditional  $\leftrightarrow$ )
- **Quantifiers**
  - Universal  $\forall x$  or ( $Ax$ )
  - Existential  $\exists x$  or ( $Ex$ )

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## Sentences: Terms and Atoms

- A **term** (denoting a real-world individual) is:
  - A constant symbol: *John*, or
  - A variable symbol:  $x$ , or
  - An n-place function of n terms  
 $x$  and  $f(x_1, \dots, x_n)$  are terms, where each  $x_i$  is a term  
*is-a(John, Professor)*
  - A term with no variables is a **ground term**.
- An **atomic sentence** is an n-place predicate of n terms
  - Has a truth value ( $t$  or  $f$ )

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## First-Order Logic (FOL)

- Propositional logic assumes the world contains facts.
- First-order logic (like natural language) assumes the world contains
  - **Objects:** people, houses, numbers, colors, baseball games, wars, ...
  - **Functions:** father of, best friend, one more than, plus, ...
    - Function arguments are objects; function returns an object
  - Objects generally correspond to English NOUNS
  - **Predicates/Relations/Properties:** red, round, prime, brother of, bigger than, part of, between...
    - Predicate arguments are objects; predicate returns a truth value
  - Predicates generally correspond to English VERBS
    - **First argument is generally the subject, the second the object**
    - Hit(Bill, Ball) usually means "Bill hit the ball."
    - Likes(Bill, IceCream) usually means "Bill likes IceCream."
    - Verb(Noun1, Noun2) usually means "Noun1 verb noun2."

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## Syntax of FOL: Atomic Sentences

- Atomic sentences in logic state facts that are true or false.
- Properties and  $n$ -ary relations do just that:
  - `LargerThan(2, 3)` is false.
  - `Married(Father(Richard), Mother(John))` could be true or false.
- Note: Functions refer to objects, do not state facts, and form no sentence:
  - `Brother(Pete)` refers to John (his brother) and is neither true nor false.
  - `Plus(2, 3)` refers to the number 5 and is neither true nor false.
- `BrotherOf( Pete, Brother(Pete) )` is True.

↑  
Binary relation  
is a truth value.

↑  
Function refers to John, an object in the  
world, i.e., John is Pete's brother.

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## Syntax of FOL: Variables

- **Variables** range over objects in the world.
- A **variable** is like a **term** because it represents an object.
- A **variable** may be used wherever a **term** may be used.
  - **Variables** may be arguments to functions and predicates.
- (A **term with NO variables** is called a **ground term**.)
- (A **variable not bound by a quantifier** is called **free**.)

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## Syntax of FOL: Basic syntax elements are symbols

- Constant Symbols (correspond to English nouns)
  - Stand for objects in the world. E.g., KingJohn, 2, France, ...
- Predicate Symbols (correspond to English verbs)
  - Stand for relations (**maps a tuple of objects to a truth-value**)
    - E.g., Brother(Richard, John), greater\_than(3,2), ...
- Function Symbols (correspond to English nouns)
  - Stand for functions (**maps a tuple of objects to an object**)
    - E.g., Sqrt(3), LeftLegOf(John), ...
- Model (world) = set of domain objects, relations, functions
- Interpretation maps symbols onto the model (world)
  - Very many interpretations are possible for each KB and world!
  - Job of the KB is to rule out models inconsistent with our knowledge.

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## Syntax of FOL: Basic elements

- Constants      KingJohn, 2, UMBC,...
- Predicates      BrotherOf, >, ...      (return true or false)
- Functions      Sqrt, LeftLegOf, ...      (return some object)
- Variables      x, y, a, b, ...
- Quantifiers       $\forall, \exists$
- Connectives       $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$  (standard)
- Equality      = (but causes difficulties....)

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## Sentences: Terms and Atoms

- A **complex sentence** is formed from atomic sentences connected by the same logical connectives as in propositional logic:

$\neg P, P \vee Q, P \wedge Q, P \Rightarrow Q, P \leftrightarrow Q$  where  $P$  and  $Q$  are sentences

$has-a(x, Bachelors) \wedge is-a(x, human)$

does NOT SAY everyone with a bachelors' is human  
what **does** it say?

$has-a(John, Bachelors) \wedge is-a(John, human)$

$has-a(Mary, Bachelors)$



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## Quantifiers

- Universal quantification**

- $\forall x P(x)$  means that  $P$  holds for **all** values of  $x$  in its domain
- States universal truths
- E.g.:  $\forall x \text{dolphin}(x) \Rightarrow \text{mammal}(x)$

- Existential quantification**

- $\exists x P(x)$  means that  $P$  holds for **some** value of  $x$  in the domain associated with that variable
- Makes a statement about some object without naming it
- E.g.,  $\exists x \text{mammal}(x) \wedge \text{lays-eggs}(x)$



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## Sentences: Quantification

- **Quantified sentences** adds quantifiers  $\forall$  and  $\exists$

$\forall x \text{ has-a}(x, \text{Bachelors}) \Rightarrow \text{is-a}(x, \text{human})$

$\exists x \text{ has-a}(x, \text{Bachelors})$

$\forall x \exists y \text{ Loves}(x, y)$

Everyone who has a bachelors' is human.

There exists some who has a bachelors'.

Everybody loves somebody.

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## Sentences: Well-Formedness

- A **well-formed formula (wff)** is a sentence containing no “free” variables. That is, all variables are “bound” by universal or existential quantifiers.
- $(\forall x)P(x,y)$  has  $x$  bound as a universally quantified variable, but  $y$  is free: It is NOT wff

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## Quantifiers: Uses of $\forall$

- Universal quantifiers **often** used with “implies” to form “rules”:  
 $(\forall x) \text{ student}(x) \Rightarrow \text{smart}(x)$   
 “All students are smart”
- Universal quantification **rarely\*** used to make blanket statements about every individual in the world:  
 $(\forall x) \text{ student}(x) \wedge \text{smart}(x)$   
 “Everyone in the world is a student and is smart”

\*Deliberately, anyway

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## Quantifiers: Uses of $\exists$

- Existential quantifiers are **usually** used with “and” to specify a list of properties about an individual:
  - $(\exists x) \text{ student}(x) \wedge \text{smart}(x)$
  - “There is a student who is smart”
- A common mistake is to represent this English sentence as the FOL sentence:
  - $(\exists x) \text{ student}(x) \Rightarrow \text{smart}(x)$
  - But what happens when there is a person who is not a student?

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## Translation with Quantifiers

- Universal statements typically use **implications**
  - All  $S(x)$  is  $P(x)$ :
    - $\forall x( S(x) \Rightarrow P(x) )$
  - No  $S(x)$  is  $P(x)$ :
    - $\forall x( S(x) \Rightarrow \neg P(x) )$
- Existential statements typically use **conjunctions**
  - Some  $S(x)$  is  $P(x)$ :
    - $\exists x ( S(x) \wedge P(x) )$
  - Some  $S(x)$  is not  $P(x)$ :
    - $\exists x ( S(x) \wedge \neg P(x) )$

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## Quantifier Scope

- Switching the order of universal quantifiers does not change the meaning:
  - $(\forall x)(\forall y)P(x,y) \leftrightarrow (\forall y)(\forall x) P(x,y)$
- Similarly, you can switch the order of existential quantifiers:
  - $(\exists x)(\exists y)P(x,y) \leftrightarrow (\exists y)(\exists x) P(x,y)$
- Switching the order of universals and existentials does change meaning:
  - Everyone likes someone:  $(\forall x)(\exists y) \text{ likes}(x,y)$
  - Someone is liked by everyone:  $(\exists y)(\forall x) \text{ likes}(x,y)$

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## Connections between All and Exists

- We can relate sentences involving  $\forall$  and  $\exists$  using De Morgan's laws:
  - $(\forall x) \neg P(x) \leftrightarrow \neg(\exists x) P(x)$
  - $\neg(\forall x) P \leftrightarrow (\exists x) \neg P(x)$
  - $(\forall x) P(x) \leftrightarrow \neg(\exists x) \neg P(x)$
  - $(\exists x) P(x) \leftrightarrow \neg(\forall x) \neg P(x)$

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## Quantified Inference Rules

- Universal instantiation
  - $\forall x P(x) \therefore P(A)$
- Universal generalization
  - $P(A) \wedge P(B) \dots \therefore \forall x P(x)$
- Existential instantiation
  - $\exists x P(x) \therefore P(F)$   $\leftarrow$  skolem constant F
- Existential generalization
  - $P(A) \therefore \exists x P(x)$

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## Universal Instantiation (a.k.a. Universal Elimination)

- If  $(\forall x) P(x)$  is true, then  $P(C)$  is true, where  $C$  is any constant in the domain of  $x$
- Example:
  - $(\forall x) \text{eats}(\text{Ziggy}, x) \Rightarrow \text{eats}(\text{Ziggy}, \text{IceCream})$
- The variable symbol can be replaced by any ground term, i.e., any constant symbol or function symbol applied to ground terms only

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## Existential Instantiation (a.k.a. Existential Elimination)

- Variable is replaced by a **brand-new constant**
  - I.e., not occurring in the KB
- From  $(\exists x) P(x)$  infer  $P(c)$ 
  - Example:
    - $(\exists x) \text{eats}(\text{Ziggy}, x) \Rightarrow \text{eats}(\text{Ziggy}, \text{Stuff})$
  - “Skolemization” – create a new term that instantiates existence
- *Stuff* is a **skolem constant**
- Easier than manipulating the existential quantifier

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## Existential Generalization (a.k.a. Existential Introduction)

- If  $P(c)$  is true, then  $(\exists x) P(x)$  is inferred.
- Example
  - $\text{eats}(\text{Ziggy}, \text{IceCream}) \Rightarrow (\exists x) \text{eats}(\text{Ziggy}, x)$
- All instances of the given constant symbol are replaced by the new variable symbol
- Note that the variable symbol cannot already exist anywhere in the expression

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## Translating English to FOL

- **Every gardener likes the sun.**
  - $\forall x \text{gardener}(x) \Rightarrow \text{likes}(x, \text{Sun})$
- **You can fool some of the people all of the time.**
  - $\exists x \forall t \text{person}(x) \wedge \text{time}(t) \Rightarrow \text{can-fool}(x, t)$
- **You can fool all of the people some of the time.**
  - $\forall x \exists t (\text{person}(x) \Rightarrow \text{time}(t) \wedge \text{can-fool}(x, t))$
  - $\forall x (\text{person}(x) \Rightarrow \exists t (\text{time}(t) \wedge \text{can-fool}(x, t)))$

← Equivalent
- **All purple mushrooms are poisonous.**
  - $\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \text{poisonous}(x)$

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## Translating English to FOL

- **No purple mushroom is poisonous.**
  - $\neg \exists x \text{ purple}(x) \wedge \text{mushroom}(x) \wedge \text{poisonous}(x)$
  - $\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \neg \text{poisonous}(x)$

⇔ Equivalent
- **There are exactly two purple mushrooms.**
  - $\exists x \exists y \text{ mushroom}(x) \wedge \text{purple}(x) \wedge \text{mushroom}(y) \wedge \text{purple}(y) \wedge \neg(x=y) \wedge \forall z (\text{mushroom}(z) \wedge \text{purple}(z)) \Rightarrow ((x=z) \vee (y=z))$
- **Mary is not tall.**
  - $\neg \text{tall}(\text{Mary})$
- **X is above Y iff X is on directly on top of Y or there is a pile of one or more other objects directly on top of one another starting with X and ending with Y.**
  - $\forall x \forall y \text{ above}(x,y) \leftrightarrow (\text{on}(x,y) \vee \exists z (\text{on}(x,z) \wedge \text{above}(z,y)))$

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## Semantics of FOL

- **Domain M:** the set of all objects in the world (of interest)
- **Interpretation I:** includes
  - Assign each constant to an object in M
  - Define each function of n arguments as a mapping  $M^n \Rightarrow M$
  - Define each predicate of n arguments as a mapping  $M^n \Rightarrow \{T, F\}$
  - Therefore, every ground predicate with any instantiation will have a truth value
  - In general there is an infinite number of interpretations because  $|M|$  is infinite
- **Define logical connectives:**  $\sim, \wedge, \vee, \Rightarrow, \Leftrightarrow$  as in PL
- **Define semantics of  $(\forall x)$  and  $(\exists x)$** 
  - $(\forall x) P(x)$  is true iff P(x) is true under all interpretations
  - $(\exists x) P(x)$  is true iff P(x) is true under some interpretation

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## Terminology

- **Model:** an interpretation of a set of sentences such that every sentence is True
- A sentence is
  - **Satisfiable** if it is true under some interpretation
  - **Valid** if it is true under all possible interpretations
  - **Inconsistent** if there does not exist any interpretation under which the sentence is true
- **Logical consequence:**  $S \models X$  if all models of  $S$  are also models of  $X$

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## Axioms, Definitions and Theorems

- **Axioms** are facts and rules that attempt to capture all of the (important) facts and concepts about a domain; axioms can be used to prove **theorems**
  - Mathematicians don't want any unnecessary (dependent) axioms –ones that can be derived from other axioms
  - Dependent axioms can make reasoning faster, however
  - Choosing a good set of axioms for a domain is a kind of design problem
- A definition of a predicate is of the form " $p(X) \leftrightarrow \dots$ " and can be decomposed into two parts
  - **Necessary** description: " $p(x) \Rightarrow \dots$ "
  - **Sufficient** description " $\dots \Rightarrow p(x)$ "
  - Some concepts don't have complete definitions (e.g.,  $\text{person}(x)$ )

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## Necessary and Sufficient

- p is **necessary** for q
  - $\neg p \Rightarrow \neg q$  (“no p, no q!”)
- p is **sufficient** for q
  - $p \Rightarrow q$  (“p is all we need to know!”)
- Note that  $\neg p \Rightarrow \neg q$  is equivalent to  $q \Rightarrow p$
- So if p is necessary and sufficient for q, then p iff q.

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## More on Definitions

- Examples: define father(x, y) by parent(x, y) and male(x)
  - parent(x, y) is a **necessary (but not sufficient)** description of father(x, y)
    - $\text{father}(x, y) \Rightarrow \text{parent}(x, y)$
  - $\text{parent}(x, y) \wedge \text{male}(x) \wedge \text{age}(x, 35)$  is a **sufficient (but not necessary)** description of father(x, y):
    - $\text{father}(x, y) \leftarrow \text{parent}(x, y) \wedge \text{male}(x) \wedge \text{age}(x, 35)$
  - $\text{parent}(x, y) \wedge \text{male}(x)$  is a **necessary and sufficient** description of father(x, y)
    - $\text{parent}(x, y) \wedge \text{male}(x) \leftrightarrow \text{father}(x, y)$

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## Converting FOL to CNF

- Eliminate biconditionals and implications
- Move  $\neg$  inwards
- **Standardize variables apart** by renaming them: each quantifier should use a different variable
- **Skolemize:** each existential variable is replaced by a Skolem constant or Skolem function of the enclosing universally quantified variables.
  - For instance,  $\exists x \text{ Rich}(x)$  becomes  $\text{Rich}(G1)$  where  $G1$  is a new Skolem constant
  - “Everyone has a heart”  $[\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Heart}(y) \wedge \text{Has}(x,y)]$  becomes  $\forall x \text{ Person}(x) \Rightarrow \text{Heart}(H(x)) \wedge \text{Has}(x, H(x))$ , where  $H$  is a new symbol (Skolem function)
- **Drop universal quantifiers**
  - For instance,  $\forall x \text{ Person}(x)$  becomes  $\text{Person}(x)$ .
- Distribute  $\wedge$  over  $\vee$

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## Summary: First Order Logic (FOL)

- Uses the same logical symbols as Propositional Logic (PL)
- Adds: variables, quantification, predicates and functions
  - Names of terms: **constants, variables, predicates, functions**
- Existential and universal quantifiers can be used to create rules
- Need to be able to translate English to and from FOL
- Some extensions...

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## Higher-Order Logic

- FOL only allows to quantify over variables, and variables can only range over objects.
- HOL allows us to quantify over relations
- Example: (quantify over functions)
  - “two functions are equal iff they produce the same value for all arguments”
  - $\forall f \forall g (f = g) \leftrightarrow (\forall x f(x) = g(x))$
- Example: (quantify over predicates)
  - $\forall r \text{ transitive}(r) \Rightarrow (\forall xyz) r(x,y) \wedge r(y,z) \Rightarrow r(x,z)$
- More expressive, but undecidable.

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## Expressing Uniqueness

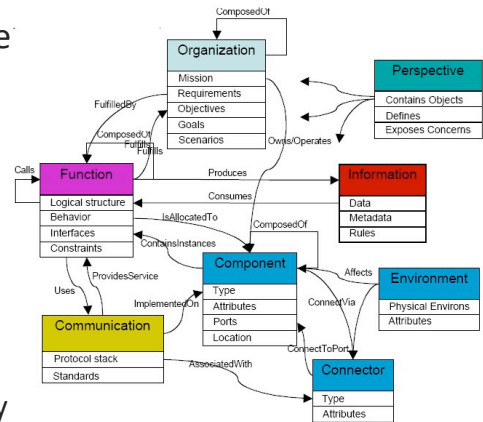
- Sometimes we want to say that there is a single, unique object that satisfies a certain condition
- “There exists a unique  $x$  such that  $\text{king}(x)$  is true”
  - $\exists x \text{ king}(x) \wedge \forall y (\text{king}(y) \Rightarrow x=y)$
  - $\exists x \text{ king}(x) \wedge \neg \exists y (\text{king}(y) \wedge x \neq y)$
- Iota operator: “ $\iota x P(x)$ ” means “the unique  $x$  such that  $p(x)$  is true”
  - “The unique ruler of Freedonia is dead”
  - $\text{dead}(\iota x \text{ ruler}(\text{freedonia}, x))$

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## Knowledge bases/ontologies

- Ontology: the study of what there is—an inventory of what exists
- **An** ontology: a hierarchical categorization system for things in the world
- A formally represented corpus of knowledge
  - Defined by some *grammar*
  - Incorporates *rules* (implicitly or explicitly)
  - Not divided into tables: more like a *graph*
  - Often hierarchical
- Usually incorporate background knowledge (not purely domain-specific)
  - Although many are **in** a domain, such as biology



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## Ontological knowledge

- Assertions

We'll do more on ontologies when we get to knowledge representation.

- (implies (isa ?X Cup) (isa ?X Container))
- Combine them to draw conclusions:
  - (isa ?WHAT Mug-17) → ??????

This is a formal representation space than can underpin questions like "What type of thing is a mug?" or "Who is Dr. M's brother?"

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## Notational differences

- Different symbols for and, or, not, implies, ...
  - $\forall \exists \Rightarrow \Leftrightarrow \wedge \vee \neg \bullet \supset$
  - $p \vee (q \wedge r)$
  - $p + (q * r)$
  - etc
- Prolog
  - `cat(X) :- furry(X), meows(X), has(X, claws)`
- Lispy notations
  - (forall ?x (implies (and (furry ?x)
  - (meows ?x)
  - (has ?x claws))
  - (cat ?x)))

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## Exercise: FOL translation

- |  |  |
|--|--|
| 1. Everything is bitter or sweet.                      | 8. No frog is green.                                 |
| 2. Either everything is bitter or everything is sweet. | 9. Some frogs are not green.                         |
| 3. There is somebody who is loved by everyone.         | 10. A mechanic likes Bob.                            |
| 4. Nobody is loved by no one.                          | 11. A mechanic likes herself.                        |
| 5. If someone is noisy, everybody is annoyed           | 12. Every mechanic likes Bob.                        |
| 6. Frogs are green.                                    | 13. Some mechanic likes every nurse.                 |
| 7. Frogs are not green.                                | 14. There is a mechanic who is liked by every nurse. |

*Exercises: [disi.unitn.it/~bernardi/Courses/LSNL/Slides/fl1.pdf](http://disi.unitn.it/~bernardi/Courses/LSNL/Slides/fl1.pdf)*

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## Exercise: FOL translation

1.  $\forall x (\text{bitter}(x) \vee \text{sweet}(x))$
2.  $\forall x (\text{bitter}(x)) \vee \forall x (\text{sweet}(x))$
3.  $\exists x \forall y (\text{loves}(y, x))$
4.  $\neg \exists x \neg \exists y (\text{loves}(y, x))$
5.  $\exists x (\text{noisy}(x)) \Rightarrow \forall y (\text{annoyed}(y))$
6.  $\forall x (\text{frog}(x) \Rightarrow \text{green}(x))$
7.  $\forall x (\text{frog}(x) \Rightarrow \neg \text{green}(x))$
8.  $\neg \exists x (\text{frog}(x) \wedge \text{green}(x))$
9.  $\exists x (\text{frog}(x) \wedge \neg \text{green}(x))$
10.  $\exists x (\text{mech.}(x) \wedge \text{likes}(x, \text{Bob}))$
11.  $\exists x (\text{mech.}(x) \wedge \text{likes}(x, x))$
12.  $\forall x (\text{mech.}(x) \Rightarrow \text{likes}(x, \text{Bob}))$
13.  $\exists x \forall y (\text{mech}(x) \wedge \text{nurse}(y) \Rightarrow \text{likes}(x, y))$
14.  $\exists x (\text{mech}(x) \wedge \forall y (\text{nurse}(y) \Rightarrow \text{likes}(y, x)))$

*Exercises: [disi.unitn.it/~bernardi/Courses/LSNL/Slides/f11.pdf](http://disi.unitn.it/~bernardi/Courses/LSNL/Slides/f11.pdf)*