

## Finding All Implied FD's

Motivation: Suppose we have a relation  $ABCD$  with some FD's  $F$ . If we decide to decompose  $ABCD$  into  $ABC$  and  $AD$ , what are the FD's for  $ABC$ ,  $AD$ ?

- Example:  $F = AB \rightarrow C, C \rightarrow D, D \rightarrow A$ .  
It looks like just  $AB \rightarrow C$  holds in  $ABC$ , but in fact  $C \rightarrow A$  follows from  $F$  and applies to relation  $ABC$ .
- Problem is exponential in worst case.

## Algorithm

For each set of attributes  $X$  compute  $X^+$ .

- Eliminate some “obvious” dependencies that follow from others:
  1. *Trivial FD's*: right side is a subset of left side.
    - ❖ Consequence: no point in computing  $\emptyset^+$  or closure of full set of attributes.
  2. Eliminate  $XY \rightarrow Z$  if  $X \rightarrow Z$  holds.
    - ❖ Consequence: If  $X^+$  is all attributes, then there is no point in computing closure of supersets of  $X$ .
  3. Eliminate FD's whose right sides are not single attributes.

## Example

Example:  $F = AB \rightarrow C, C \rightarrow D, D \rightarrow A$ . What FD's follow?

- $A^+ = A; B^+ = B$  (nothing).
- $C^+ = ACD$  (add  $C \rightarrow A$ ).
- $D^+ = AD$  (nothing new).
- $(AB)^+ = ABCD$  (add  $AB \rightarrow D$ ; skip all supersets of  $AB$ ).
- $(BC)^+ = ABCD$  (nothing new; skip all supersets of  $BC$ ).
- $(BD)^+ = ABCD$  (add  $BD \rightarrow C$ ; skip all supersets of  $BD$ ).
- $(AC)^+ = ACD; (AD)^+ = AD; (CD)^+ = ACD$  (nothing new).
- $(ACD)^+ = ACD$  (nothing new).
- All other sets contain  $AB, BC$ , or  $BD$ , so skip.
- Thus, the only interesting FD's that follow from  $F$  are:  $C \rightarrow A, AB \rightarrow D, BD \rightarrow C$ .

## Normalization

Goal = BCNF = Boyce-Codd Normal Form = all FD's follow from the fact “key  $\rightarrow$  everything.”

- Formally,  $R$  is in BCNF if every nontrivial FD for  $R$ , say  $X \rightarrow A$ , has  $X$  a superkey.

## Why?

1. Guarantees no redundancy due to FD's.
2. Guarantees no *update anomalies* = one occurrence of a fact is updated, not all.
3. Guarantees no *deletion anomalies* = valid fact is lost when tuple is deleted.

## Example of Problems

Drinkers(name, addr, beersLiked, manf, favoriteBeer)

name	addr	beersLiked	manf	favoriteBeer
Janeway	Voyager	Bud	A.B.	WickedAle
Janeway	???	WickedAle	Pete's	???
Spock	Enterprise	Bud	???	Bud

FD's:

1. name  $\rightarrow$  addr
  2. name  $\rightarrow$  favoriteBeer
  3. beersLiked  $\rightarrow$  manf
- ???'s are redundant, since we can figure them out from the FD's.
  - Update anomalies: If Janeway gets transferred to the *Intrepid*, will we change addr in each of her tuples?
  - Deletion anomalies: If nobody likes Bud, we lose track of Bud's manufacturer.

Each of the given FD's is a BCNF violation:

- Key = {name, beersLiked}
  - ❖ Each of the given FD's has a left side a proper subset of the key.

### Another Example

Beers(name, manf, manfAddr).

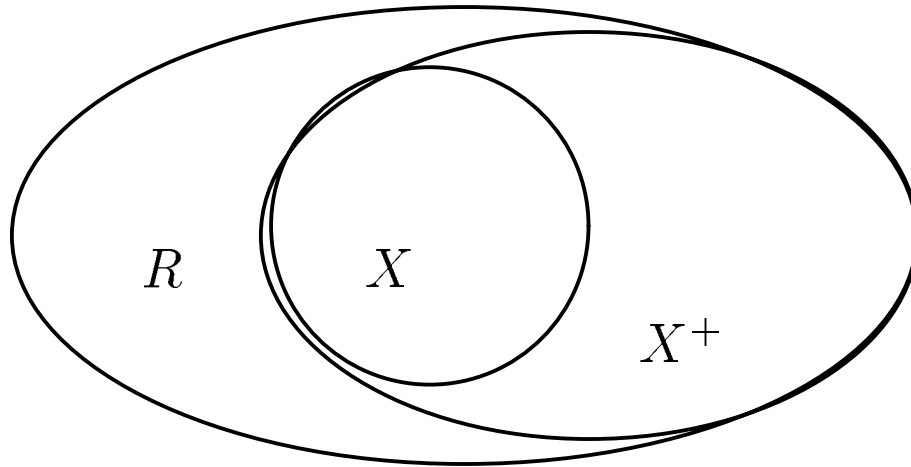
- FD's = name  $\rightarrow$  manf, manf  $\rightarrow$  manfAddr.
- Only key is name.
  - ❖ manf  $\rightarrow$  manfAddr violates BCNF with a left side unrelated to any key.

## Decomposition to Reach BCNF

Setting: relation  $R$ , given FD's  $F$ . Suppose relation  $R$  has BCNF violation  $X \rightarrow A$ .

- Notice: we need only look among FD's of  $F$ , because any nontrivial FD that follows from them must contain one of their left sides in its left side.
- ◆ Thus, any FD that follows and has a non-superkey as a left side means there is an FD in  $F$  with the same property.

1. *Expand* right side to include  $X^+$ .
  - ❖ Cannot be all attributes — why?
2. Decompose  $R$  into  $X^+$  and  $(R - X^+) \cup X$ .



3. Find the FD's for the decomposed relations.
  - ❖ Project the FD's from  $F$  = calculate all consequents of  $F$  that involve only attributes from  $X^+$  or only from  $(R - X^+) \cup X$ .



## Example

$R = \text{Drinkers}(\text{name}, \text{addr}, \text{beersLiked}, \text{manf}, \text{favoriteBeer})$

$F =$

1.  $\text{name} \rightarrow \text{addr}$
2.  $\text{name} \rightarrow \text{favoriteBeer}$
3.  $\text{beersLiked} \rightarrow \text{manf}$

Pick BCNF violation  $\text{name} \rightarrow \text{addr}$ .

- Expand right side:

$\text{name} \rightarrow \text{addr favoriteBeer}.$

- Decomposed relations:

$\text{Drinkers1}(\text{name}, \text{addr}, \text{favoriteBeer})$   
 $\text{Drinkers2}(\text{name}, \text{beersLiked}, \text{manf})$

- Projected FD's (skipping a lot of work that leads nowhere interesting):
  - ❖ For  $\text{Drinkers1}$ :  $\text{name} \rightarrow \text{addr}$  and  $\text{name} \rightarrow \text{favoriteBeer}.$
  - ❖ For  $\text{Drinkers2}$ :  $\text{beersLiked} \rightarrow \text{manf}.$

- BCNF violations?
  - ❖ For Drinkers1, name is key and all left sides are superkeys.
  - ❖ For Drinkers2, {name, beersLiked} is the key, and  $\text{beersLiked} \rightarrow \text{manf}$  violates BCNF.

## Decompose Drinkers2

- Expand: nothing.
- Decompose:
  - Drinkers3(beersLiked, manf)
  - Drinkers4(name, beersLiked)
- Resulting relations are all in BCNF:
  - Drinkers1(name, addr, favoriteBeer)
  - Drinkers3(beersLiked, manf)
  - Drinkers4(name, beersLiked)

## Why Decomposition “Works”?

What does it mean to “work”? Why can’t we just tear sets of attributes apart as we like?

- Answer: the decomposed relations need to represent the same information as the original.

## Projection and Join

- The operations that relate original and decomposed relations.
- Suppose  $R$  is decomposed into  $S$  and  $T$ . We *project*  $R$  onto  $S$  by:
  1. Eliminate columns of  $R$  not in  $S$ .
  2. Eliminate duplicate rows.

## Example

$R =$

name	addr	beersLiked	manf	favoriteBeer
Janeway	Voyager	Bud	A.B.	WickedAle
Janeway	Voyager	WickedAle	Pete's	WickedAle
Spock	Enterprise	Bud	A.B.	Bud

- Project onto Drinkers1(name, addr, favoriteBeer):

name	addr	favoriteBeer
Janeway	Voyager	WickedAle
Spock	Enterprise	Bud

- Project onto Drinkers3(beersLiked, manf):

beersLiked	manf
Bud	A.B.
WickedAle	Pete's

- Project onto Drinkers4(name, beersLiked):

name	beersLiked
Janeway	Bud
Janeway	WickedAle
Spock	Bud

## Reconstruction of Original

Can we figure out the original relation from the decomposed relations?

- Sometimes, if we (natural) *join* the relations.
- $R \bowtie S$ :
  - ✦ Schema = union of attributes of  $R$  and  $S$ .
  - ✦ Tuples = all formed from a tuple  $r$  from  $R$  and  $s$  from  $S$  that agree in all common attributes.

### Example

Drinkers3  $\bowtie$  Drinkers4 =

name	beersLiked	manf
Janeway	Bud	A.B.
Janeway	WickedAle	Pete's
Spock	Bud	A.B.

- Join of above with Drinkers1 = original  $R$ .

## Theorem

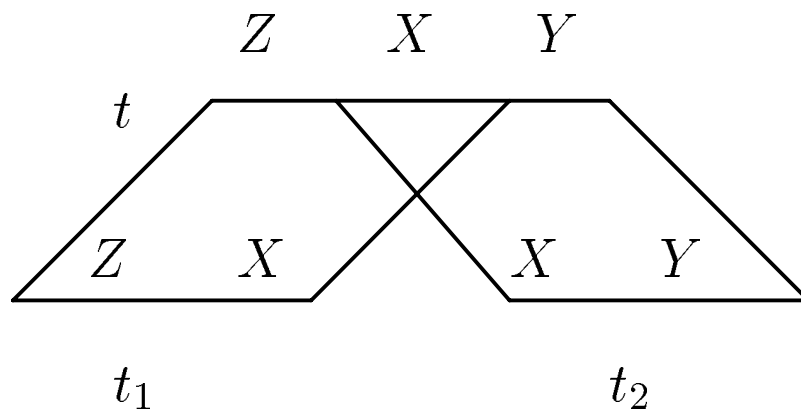
Suppose we decompose a relation with schema  $XYZ$  into  $XY$  and  $XZ$  and project the relation for  $XYZ$  onto  $XY$  and  $XZ$ . Then  $XY \bowtie XZ$  is *guaranteed* to reconstruct  $XYZ$  if and only if either  $X \rightarrow Y$  or  $X \rightarrow Z$  holds.

- Notice that whenever we decompose because of a BCNF violation, one of these FD's must hold.

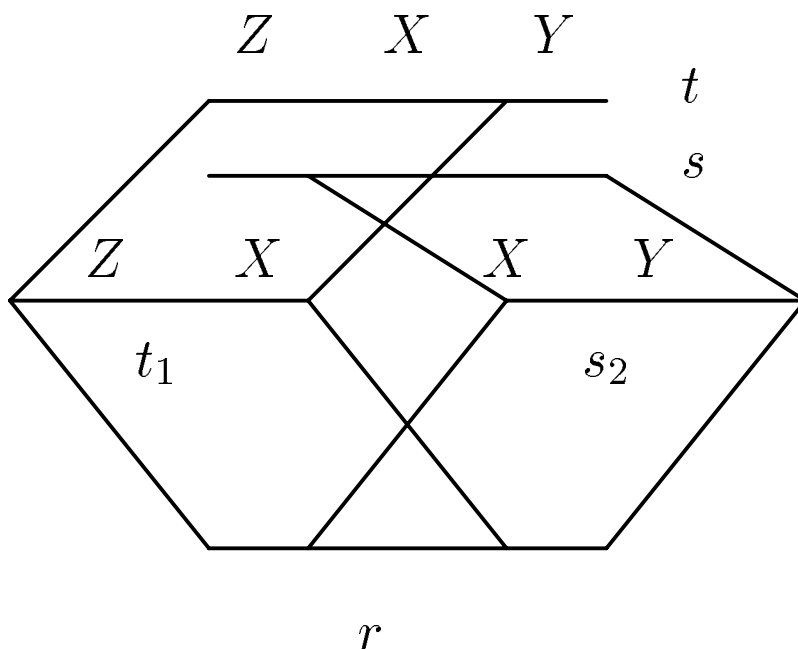
## Proof (if)

1. Anything you project comes back in the join.

◆ Doesn't depend on FD's.



2. Anything that comes back in the join was in the original  $XYZ$ .



- Notice that  $t_1$  and  $s_2$  agree on  $X$ .
- If  $X \rightarrow Y$ , then  $r = t$ .
- If  $X \rightarrow Z$ , then  $r = s$ .
- Either way,  $r$  is in original  $XYZ$ .



## Proof (only-if)

If neither  $X \rightarrow Y$  nor  $X \rightarrow Z$  holds, then we can find an example  $XYZ$  relation where the project-join returns too much.

$Z$	$X$	$Y$
$z1$	$x$	$y1$
$z2$	$x$	$y2$

$Z$	$X$
$z1$	$x$
$z2$	$x$

$X$	$Y$
$x$	$y1$
$x$	$y2$

$Z$	$X$	$Y$
$z1$	$x$	$y1$
$z1$	$x$	$y2$
$z2$	$x$	$y1$
$z2$	$x$	$y2$