3 Syntax

Some Preliminaries

- For the next several weeks we'll look at how one can define a programming language
- What is a language, anyway?

"Language is a system of gestures, grammar, signs, sounds, symbols, or words, which is used to represent and communicate concepts, ideas, meanings, and thoughts"

- Human language is a way to communicate representations from one (human) mind to another
- What about a programming language? A way to communicate representations (e.g., of data or a procedure) between human minds and/or machines

Introduction

We usually break down the problem of *defining* a programming language into two parts

defining the PL's syntax
defining the PL's semantics

Syntax - the **form** or structure of the expressions, statements, and program units

Semantics - the **meaning** of the expressions, statements, and program units

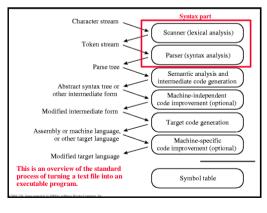
Note: There is not always a clear boundary between the two

Why and How

Why? We want specifications for several communities:

- · Other language designers
- Implementers
- Machines?
- Programmers (the users of the language)

How? One ways is via natural language descriptions (e.g., user's manuals, text books) but there are a number of more formal techniques for specifying the syntax and semantics



Syntax Overview

- · Language preliminaries
- · Context-free grammars and BNF
- · Syntax diagrams

Introduction

A sentence is a string of characters over some alphabet (e.g., def add1(n): return n + 1)

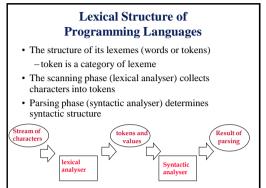
A language is a set of sentences

A lexeme is the lowest level syntactic unit of a language (e.g., *, *add1*, *begin*)

A token is a category of lexemes (e.g., *identifier*)

Formal approaches to describing syntax:

- · Recognizers used in compilers
- · Generators what we'll study



Formal Grammar

- A (formal) grammar is a set of rules for strings in a formal language
- The rules describe how to form strings from the language's alphabet that are valid according to the language's syntax
- A grammar does not describe the meaning of the strings or what can be done with them in whatever context — only their form

Adapted from Wikipedia

Grammars

Context-Free Grammars

- · Developed by Noam Chomsky in the mid-1950s.
- · Language generators, meant to describe the syntax of natural languages.
- Define a class of languages called context-free languages.

Backus Normal/Naur Form (1959)

- Invented by John Backus to describe Algol 58 and refined by Peter Naur for Algol 60.
- · BNF is equivalent to context-free grammars



human languages

Linguistic Theory, Syntax, Semantics, Philosophy of Language



· Chomsky & Backus independently came up with equiv-

alent formalisms for specifying the syntax of a language

was taken at the 1974 ACM conference on the history of programming languages. Top: John McCarthy, Fritz Bauer, Joe Wegstein, Bottom: John Backus, Peter Naur, Alan Perlis

BNF (continued)

A *metalanguage* is a language used to describe another language.

In BNF, abstractions are used to represent classes of syntactic structures -- they act like syntactic variables (also called nonterminal symbols), e.g.

<while stmt> ::= while <logic expr> do <stmt>

This is a *rule*; it describes the structure of a while statement

BNF

- A rule has a left-hand side (LHS) which is a **single** *non-terminal* symbol and a right-hand side (RHS), one or more *terminal* or *non-terminal* symbols
- A grammar is a finite, nonempty set of rules
- A non-terminal symbol is "defined" by its rules.
- Multiple rules can be combined with the vertical-bar (|) symbol (read as "or")
- These two rules:
- <value> ::= <const>
- <value> ::= <ident>

are equivalent to this one:

<value> ::= <const> | <ident>

Non-terminals, pre-terminals & terminals

• A non-terminal symbol is any symbol that is in the LHS of a rule. These represent abstractions in the language (e.g., *if-then-else-statement* in

<if-then-else-statement> ::= if <test>
then <statement> else <statement>

- A terminal symbol is any symbol that is not on the LHS of a rule. AKA *lexemes*. These are the literal symbols that will appear in a program (e.g., *if, then, else* in rules above).
- A pre-terminal symbol is one that appears as a LHS of rule(s), but in every case, the RHSs consist of single terminal symbol, e.g., <digit> in

<digit> ::= 0 | 1 | 2 | 3 ... 7 | 8 | 9

BNF

- · Repetition is done with recursion
- E.g., Syntactic lists are described in BNF using recursion
- An <ident_list> is a sequence of one or more <ident>s separated by commas.

<ident list> ::= <ident> |

<ident> , <ident_list>

BNF Example

Here is an example of a simple grammar for a subset of English

A sentence is noun phrase and verb phrase followed by a period.

<sentence> ::= <nounPhrase> <verbPhrase> .
<nounPhrase> ::= <article> <noun>
<article> ::= a | the
<noun> ::= man | apple | worm | penguin
<verbPhrase> ::= <verb>|<verb> <nounPhrase>
<verb> ::= eats | throws | sees | is

Derivations

- A derivation is a repeated application of rules, starting with the start symbol and ending with a sentence consisting of just all terminal symbols
- It demonstrates, or proves that the derived sentence is "generated" by the grammar and is thus in the language that the grammar defines
- As an example, consider our baby English grammar

```
<sentence> ::= <nounPhrase><verbPhrase>.
<nounPhrase> ::= <article><noun>
<article> ::= a | the
<noun> ::= man | apple | worm | penguin
<verbPhrase> ::= <verb> | <verb><nounPhrase> i:= eats | throws | sees | is
```

Derivation using BNF

Here is a derivation for "the man eats the apple."

<sentence> -> <nounPhrase><verbPhrase>.
 <article><noun><verbPhrase>.
 the<noun><verbPhrase>.
 the<noun><verbPhrase>.
 the man <verbPhrase>.
 the man <verbPhrase>.
 the man <verbPhrase>.
 the man eats <nounPhrase>.
 the man eats <article> < noun>.
 the man eats the <noun>.
 the man eats the apple.

Derivation

Every string of symbols in the derivation is a *sentential form*

A *sentence* is a sentential form that has only terminal symbols

A *leftmost derivation* is one in which the leftmost nonterminal in each sentential form is the one that is expanded in the next step

A derivation may be either leftmost or rightmost or something else

Another BNF Example

<program> -> <stmts> Note: There is some variation in notation <stmts> -> <stmt> for BNF grammars. | <stmt> ; <stmts> Here we are using -> in the rules instead <stmt> -> <var> = <expr> of ::=. $\langle var \rangle \rightarrow a | b | c | d$ <expr> -> <term> + <term> | <term> - <term> <term> -> <var> | const Here is a derivation: <program> => <stmts> => <stmt> => <var> = <expr> => a = <expr> $a = \langle term \rangle + \langle term \rangle$ $a = \langle var \rangle + \langle term \rangle$ $a = b + \langle term \rangle$ \Rightarrow a = b + const

Finite and Infinite languages

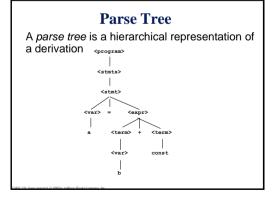
- A simple language may have a finite number of sentences
- The set of strings representing integers between -10**6 and +10**6 is a finite language
- A finite language can be defined by enumerating the sentences, but using a grammar might be much easier
- Most interesting languages have an infinite number of sentences

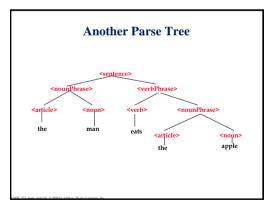
Is English a finite or infinite language?

- Assume we have a finite set of words
- Consider adding rules like the following to the previous example <sentence>::= <sentence><conj><sentence>. <conj> ::= and | or | because
- Hint: Whenever you see recursion in a BNF it's likely that the language is infinite.

-When might it not be?

–The recursive rule might not be reachable. There might be epsilons.





Grammar

- A grammar is **ambiguous** *if and only if* (iff) it generates a sentential form that has two or more distinct parse trees
- Ambiguous grammars are, in general, very undesirable in *formal languages*
 - Can you guess why?
- We can eliminate ambiguity by revising the grammar

Ambiguous English Sentences

- I saw the man on the hill with a telescope
- Time flies like an arrow
- Fruit flies like a banana
- <u>Buffalo buffalo Buffalo buffalo</u> buffalo buffalo Buffalo buffalo

See: Syntactic Ambiguity

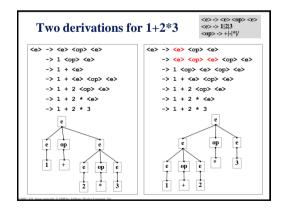
An ambiguous grammar

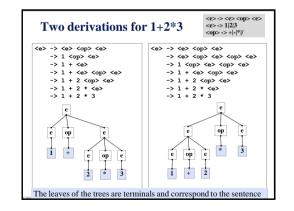
Here is a simple grammar for expressions that is ambiguous

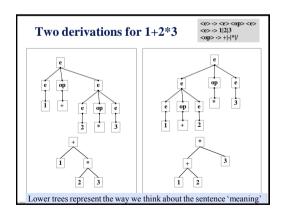
<e> -> <e> <op> <e>
<e> -> 1|2|3
<op> -> +|-|*|/

 Fyi... In a programming language, an expression is some code that is evaluated and produces a value. A statement is code that is executed and does something but does not produce a value.

The sentence 1+2*3 can lead to two different parse trees corresponding to 1+(2*3) and (1+2)*3







Operators

- The traditional operator notation introduces many problems.
- · Operators are used in
 - Prefix notation: Expression (* (+ 1 3) 2) in Lisp
 - Infix notation: Expression (1 + 3) * 2 in Java
 - Postfix notation: Increment foo++ in C
- Operators can have one or more operands - Increment in C is a one-operand operator: *foo*++
- Subtraction in C is a two-operand operator: foo bar
- Conditional expression in C is a three-operand operators: (foo == 3 ? 0 : 1)

Operator notation

- So, how do we interpret expressions like
 - (a) 2 + 3 + 4

(b) 2 + 3 * 4

- · Concepts:
 - Explaining rules in terms of operator precedence and associativity
 - -Realizing the rules in grammars

Operators: Precedence and Associativity

- <u>Precedence and associativity</u> deal with the evaluation order within expressions
- Precedence rules specify order in which operators of different precedence level are evaluated, e.g.: "*" Has a higher precedence that "+", so "*" groups more tightly than "+"
- What is the results of 4 * 5 ** 6 ?
- A language's precedence hierarchy should match our intuitions, but the result's not always perfect, as in this Pascal example: if A<B and C<D then A := 0:
- Pascal relational operators have lowest precedence! if A < B and C < D then A := 0;

Fortran	Pascal	С	Ada
		++, (post-inc., dec.)	
**	not	++, (pre-inc., dec.), +, - (unary), & (address of), * (contents of), 1 (logical not), ~ (bit-wise not)	abs (absolute value), not, **
*, /	*, /, div, mod, and	 * (binary), /, % (modulo division) 	*, /, mod, rem
+, -	+, - (unary and binary), or	+, - (binary)	+, - (unary)
		<<, >> (left and right bit shift)	+, - (binary), & (concatenation)
.eq., .ne., .lt., .le., .gt., .ge. (comparisons)		<, >, <=, >= (inequality tests)	=, /=, <=, >, >= (comparisons)

Operator Precedence: Precedence Table

& (bit-wise and)	
^ (bit-wise exclusive or)	
(bit-wise inclusive or)	
&& (logical and)	and, or, xor (logical operators)
11 (logical or)	
$?: \ (\mathrm{ifelse})$	
=, +=, -=, *=, /=, ½=, >>=, <<=, &=, ^=, = (assignment)	
, (sequencing)	
	<pre></pre>

Operators: Associativity

- Associativity rules specify order in which operators of the same precedence level are evaluated
- Operators are typically either left associative or right associative.
- \bullet Left associativity is typical for +, , * and /

• So A + B + C

- -Means: (A + B) + C
- -And not: A + (B + C)
- Does it matter?

Operators: Associativity

- For + and * it doesn't matter in theory (though it can in practice) but for and / it matters in theory, too.
- · What should A-B-C mean?

 $(A - B) - C \neq A - (B - C)$

- What is the results of 2 ** 3 ** 4 ?
 2 ** (3 ** 4) = 2 ** 81 = 2417851639229258349412352
 (2 ** 3) ** 4 = 8 ** 4 = 4096
- · Languages diverge on this case:
- In Fortran, ** associates from right-to-left, as in normally the case for mathematics
- In Ada, ** doesn't associate; you must write the previous expression as 2 ** (3 ** 4) to obtain the expected answer

Associativity in C

• In C, as in most languages, most of the operators associate left to right

a + b + c => (a + b) + c

- The various assignment operators however associate right to left
- = += -= *= /= %= >>= <<= &= ^= |=
- Consider a += b += c, which is interpreted as
- a += (b += c)
- and not as
- (a += b) += c
- Why?

Precedence and associativity in Grammar

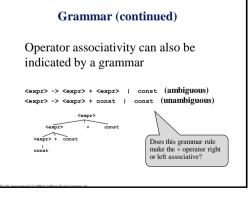
If we use the parse tree to indicate precedence levels of the operators, we cannot have ambiguity

An unambiguous expression grammar:

<expr> -> <expr> - <term> | <term>

<term> -> <term> / const | const

Precedence and associativity in Grammar Sentence: const - const / const Derivation: <expr> => <expr> - <term> => <term> - <term> => const - <term> => const - <term> / const Parse tree: => const - const / const <expr> <expr> <term: <term> <term> / const const cons



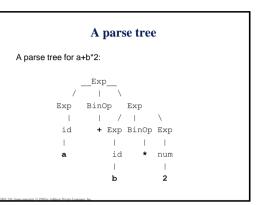
An Expression Grammar

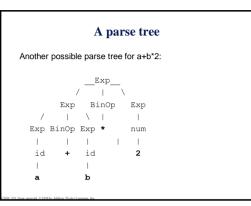
Here's a grammar to define simple arithmetic expressions over variables and numbers.

Exp ::= num Exp ::= id Exp ::= UnOp Exp Exp := Exp BinOp Exp Exp ::= (' Exp ')' UnOp ::= '+' UnOp ::= '-' BinOp ::= '+' | '-' | '*' | '/ Here's another common notation variant where single quotes are used to indicate terminal symbols and unquoted symbols are taken as non-terminals.



A derivation of a+b*2 using the expression grammar:





Precedence

- Precedence refers to the order in which operations are evaluated
- Usual convention: exponents > mult, div > add, sub
- Deal with operations in categories: exponents, mulops, addops.
- A revised grammar that follows these conventions:

```
Exp ::= Exp AddOp Exp
Exp ::= Term
Term ::= Term MulOp Term
Term ::= Factor
Factor ::= '(' + Exp + ')`
Factor ::= num | id
AddOp ::= '+' | '-'
MulOp ::= '+' | '/'
```

Associativity

- Associativity refers to the order in which two of the same operation should be computed
- 3+4+5 = (3+4)+5, left associative (all BinOps)
- $3^{4}5 = 3^{(4^{5})}$, right associative
- Conditionals right associate but have a wrinkle: an else clause associates with closest *unmatched if*
 - if a then if b then c else d
 - = if a then (if b then c else d)

Adding associativity to the grammar

Adding associativity to the BinOp expression grammar

```
Exp ::= Exp AddOp Term

Exp ::= Term

Term ::= Term MulOp Factor

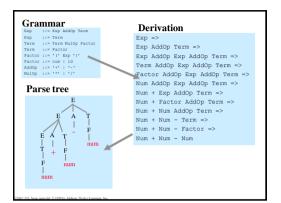
Term ::= Factor

Factor ::= '(' Exp ')'

Factor ::= num | id

AddOp ::= '+' | '-'

MulOp ::= '*' | '/'
```



Example: conditionals

- Most languages allow two conditional forms, with and without an else clause:
- if x < 0 then x = -x
- if x < 0 then x = -x else x = x+1
- But we'll need to decide how to interpret:
- if x < 0 then if y < 0 x = -1 else x = -2
- To which if does the else clause attach?
- This is like the syntactic ambiguity in attachment of prepositional phrases in English
- the man near a cat with a hat

Example: conditionals

- All languages use standard rule to determine which if expression an else clause attaches to
- The rule:
- An else clause attaches to the nearest if to its left that does not yet have an else clause
- Example:
- **if** x < 0 **then if** y < 0 x = -1 **else** x = -2
- if x < 0 then if y < 0 x = -1 else x = -2

Example: conditionals

- Goal: to create a correct grammar for conditionals.
- It needs to be non-ambiguous and the precedence is else with nearest unmatched if

Statement ::= Conditional | 'whatever' Conditional ::= 'if' test 'then' Statement 'else' Statement Conditional ::= 'if' test 'then' Statement

- The grammar is ambiguous. The first Conditional allows unmatched ifs to be Conditionals
 - Good: if test then (if test then whatever else whatever)
 - **Bad:** if test then (if test then whatever) else whatever
- Goal: write a grammar that forces an else clause to attach to the nearest if w/o an else clause

Example: conditionals

The final unambiguous grammar

Syntactic Sugar

- Syntactic sugar: syntactic features designed to make code easier to read or write while alternatives exist
- Makes the language *sweeter* for humans to use: things can be expressed more clearly, concisely, or in an alternative style that some prefer
- Syntactic sugar can be removed from language without effecting what can be done
- All applications of the construct can be systematically replaced with equivalents that don't use it

adapted from Wikipedia

Extended BNF

Syntactic sugar: doesn't extend the expressive power of the formalism, but does make it easier to use, i.e., more readable and more writable

•Optional parts are placed in brackets ([])

<proc_call> -> ident [(<expr_list>)]

•Put alternative parts of RHSs in parentheses and separate them with vertical bars

<term> -> <term> (+ | -) const

•Put repetitions (0 or more) in braces ({}) <ident> -> letter {letter | digit}

BNF vs EBNF

BNF:

EBNF:

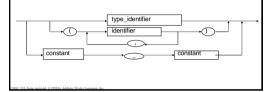
<expr> -> <term> { (+ | -) <term>}
<term> -> <factor> { (* | /) <factor>}

Syntax Graphs

Syntax Graphs - Put the terminals in circles or ellipses and put the nonterminals in rectangles; connect with lines with arrowheads

e.g., Pascal type declarations

Provides an intuitive, graphical notation.



Parsing

- A grammar describes the strings of tokens that are syntactically legal in a PL
- A recogniser simply accepts or rejects strings.
- A generator produces sentences in the language described by the grammar
- A *parser* construct a derivation or parse tree for a sentence (if possible)
- Two common types of parsers are:
 - bottom-up or data driven
 - top-down or hypothesis driven
- A *recursive descent parser* is a way to implement a top-down parser that is particularly simple.

Parsing complexity

- How hard is the parsing task?
- Parsing an arbitrary <u>context free grammar</u> is O(n³), e.g., it can take time proportional the cube of the number of symbols in the input. This is bad!

• LL(n) : Left to right.

Leftmost derivation.

look ahead at most n

• LR(n) : Left to right,

ahead at most n

Right derivation, look

symbols.

symbols.

- If we constrain the grammar somewhat, we can always parse in linear time. This is good!
- · Linear-time parsing
 - LL parsers
 - » Recognize LL grammar
 - » Use a top-down strategy - LR parsers
 - » Recognize LR grammar
 - » Recognize LR grannina
 - » Use a bottom-up strategy

Parsing complexity

- How hard is the parsing task?
- Parsing an arbitrary context free grammar is $O(n^3)$ in the worst case.
- E.g., it <u>can</u> take time proportional the cube of the number of symbols in the input
- So what?
- This is bad!

Parsing complexity

- If it takes t₁ seconds to parse your C program with n lines of code, how long will it take to take if you make it twice as long?
 - $time(n) = t_1 time(2n) = 2^{3*} time(n)$
 - 8 times longer
- Suppose v3 of your code is has 10n lines?
 - 10^3 or 1000 times as long
- Windows Vista was said to have ~50M lines of code

Linear complexity parsing

- · Practical parsers have time complexity that is linear in the number of tokens, i.e., O(n)
- If v2.0 or your program is twice as long, it will take twice as long to parse
- This is achieved by modifying the grammar so it can be parsed more easily
- Linear-time parsing
- LL parsers » Recognize LL grammar
- » Use a top-down strategy
- LR parsers
- LL(n) : Left to right. Leftmost derivation. look ahead at most n symbols. • LR(n) : Left to right.

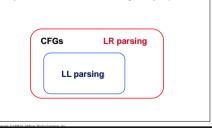
Right derivation, look

ahead at most n

Recursive Decent Parsing

- Each nonterminal in the grammar has a subprogram associated with it; the subprogram parses all sentential forms that the nonterminal can generate
- The recursive descent parsing subprograms are built directly from the grammar rules
- · Recursive descent parsers, like other topdown parsers, cannot be built from leftrecursive grammars (why not?)

Hierarchy of Linear Parsers Basic containment relationship - All CFGs can be recognized by LR parser - Only a subset of all the CFGs can be recognized by LL parsers



Recursive Decent Parsing Example

Example: For the grammar:

<term> -> <factor> { (* | /) <factor> }

We could use the following recursive descent parsing subprogram (e.g., one in C)

```
void term() {
              /* parse first factor*/
 factor();
  while (next token == ast code ||
       next token == slash code) {
   lexical(); /* get next token */
    factor(); /* parse next factor */
```

The	uncomputable		
Chomsky	Turing machines	Phrase structure	complex
hierarchy	Linear-bounded automata	Context- sensitive	1
	Push-down automata	Context-free	
	Finite state automata	Regular	crude
 The Chomsky hierarchy has four types of language 	machines and their associated	grammars	
•They form a strict hierarcl languages < context-sensit			
 The syntax of computer la context free languages. 	inguages are usually o	lescribable by regu	lar or

» Recognize LR grammar » Use a bottom-up strategy symbols.

Summary

- The syntax of a programming language is usually defined using BNF or a context free grammar
- In addition to defining what programs are syntactically legal, a grammar also encodes meaningful or useful abstractions (e.g., block of statements)
- Typical syntactic notions like operator precedence, associativity, sequences, optional statements, etc. can be encoded in grammars
- A parser is based on a grammar and takes an input string, does a derivation and produces a parse tree.